

HW 1. (Classical Geometries. ) Due Monday, Oct 1, 2012, i.e.: Next class.

1. A gradient flow. Let  $\mathbb{T}$  be the flat torus with standard coordinates  $\theta_1, \theta_2 \bmod 2\pi$ . Let  $V = -\cos(\theta_1)\cos(\theta_2)$ . Locate the equilibria. Describe each type (source, saddle, sink). Sketch the flow lines .

2. Hamiltonian flows. For  $M = T^*\mathbb{R} = \mathbb{R} \times \mathbb{R}$  let  $H = (1/2)p^2 + P(x)$  where  $P$  is a polynomial. Sketch the phase portraits in case

- $P$  is linear
- $P$  is homogeneous quadratic.
- $P$  is cubic. Do a few cases.
- $P = (x - 1)^2(x + 1)^2$ .

3. Again as in 2. Again  $P$  is polynomial. Is the flow complete? Find a proof or a counterexample.

4. N-dimensional oscillator. This has for its Hamiltonian  $H(q, p) = (1/2)\langle p, p \rangle^2 + \langle q, Aq \rangle$  where  $p, q \in \mathbb{R}^n$ , where we use the standard inner product  $\langle \cdot, \cdot \rangle$  to identify  $\mathbb{R}^n$  with its dual, and where  $A$  is a positive definite symmetric matrix. Prove that the closure of the typical orbit is a  $k$ -torus, for some  $k \leq N$ . Describe the maximal  $k$  in terms of the eigenvalues of  $A$ .

5. Guckenheimer-Holmes. Exer. 5.1.2 and 5.1.3 of p. 234.

These exercises are on the Smale Horseshoe and are best solved using symbolic dynamics. Let  $\Gamma \subset I^2$  be the subset of the square  $S = I^2$  which never leaves the square in forward or backward time.

5.1.2. Show that all the periodic orbits are of saddle type. Locate the periodic orbits with period 4 or less and write out their symbol sequence. Show that  $\Lambda$  contains a countable infinity of heteroclinic and homoclinic orbits. Show that  $\Lambda$  contains an uncountable number of orbits which are not periodic.

5.1.3 Show that  $\Lambda$  contains a dense orbit.

6. A gradient system in  $\mathbb{R}^n$  is given by  $\dot{x} = -\nabla V(x), x \in \mathbb{R}^n$  where  $V$  is a smooth function. What is special about the linearization of a gradient system at an equilibrium, in comparison to a general linear system  $\dot{x} = Ax$  with  $A$  a general  $n$  by  $n$  matrix.

7. Newton's equations on  $\mathbb{R}^n$  are equations of the form  $\ddot{x} = -\nabla V(x), x \in \mathbb{R}^n$  where  $V$  is a smooth function, called the potential.

a) First orderize the system by introducing  $v = \dot{x}$  so as to make it an ODE on  $\mathbb{R}^n \times \mathbb{R}^n = T\mathbb{R}^n$ .

b. What is special about the linearization of Newton's equations at an equilibrium in in comparison to a general linear system  $\dot{x} = Ax$  with  $A$  a general  $2n$  by  $2n$  matrix.

8. Take the Cantor set to be the product space  $\mathbb{Z}_2^{\mathbb{N}_+}$ , ( $\mathbb{N}_+$  is the set of all positive integers) endowed with the product topology. An element of the Cantor set is then an infinite sequence  $(\sigma_i)_{i \in \mathbb{N}}$  of 1's and 0's;  $\sigma_i \in \{0, 1\}$ . the sequence labelled by the positive integers. Consider the map  $F$  which sends to

$$F: C_2 \rightarrow [0, 1]; F_2(\sigma) = \sum_{i \in \mathbb{N}_+} \sigma_i 2^{-i}$$

a) Show that  $F_2$  is continuous and onto.

b) If we give  $\mathbb{Z}_2$  the “coin-flip” measure (each element has probability 1/2) then the Cantor set inherits a probability measure. (The product of probability spaces is a probability space, so that the Cantor set has a probability measure on it. ) Show that  $F_2$  is an isomorphism in the sense of measure theory: it is onto, and the map is measure preserving:  $\mu(F_2^{-1}(I)) = |I|$  for any interval  $I$ .

hint: consider dyadic intervals.

d) Show that  $F_2$  is a measure preserving semi-conjugacy between the Bernoulli shift on the Cantor set and the doubling map (mod 1) on the interval.

e) Repeat (a)-(c) for  $F_N : \mathbb{Z}_N^{\mathbb{N}_+} \rightarrow I$ .

f) Use the fact that there is a bijective map from two disjoint copies of  $\mathbb{N}_+$  to  $\mathbb{N}_+$  to define an onto map  $C \rightarrow I \times I$ . Repeat, to establish the existence of an onto map from the Cantor set ONTO the  $n$ -cube. Onto any compact  $n$ -manifold.

9. Show that the doubling map  $S^1 \rightarrow S^1$  is measure preserving.

10. Prove that rotation of the circle is NOT mixing.

11. Prove that the suspension of a map is NOT a mixing flow.

12. Construct a homeomorphism of the plane  $\mathbb{R}^2 = \mathbb{C}$  which maps the spiral  $\exp(1 + i)t, t \in \mathbb{R}$  to the ray  $y = 0, x > 0$ .

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