

1. .

We continue with  $X$  compact Riemann surface .

**Proposition 1.1.** *If  $f$  is a meromorphic function on  $X$  then the number of zeros of  $f$  equals the number of poles, both counted with multiplicity*

Proof. View  $f$  as a map  $X \rightarrow \mathbb{C}\mathbb{P}^1$ . Then both numbers are equal to the degree of  $f$ , which is a topological invariant of  $f$  and equals the number of points in the typical preimage of  $f$ .

**Example 1.2.** *A polynomial  $p(z)$ ,  $z \in \mathbb{C}$  has  $n$  zeros. Viewed as a meromorphic map  $\mathbb{C}\mathbb{P}^1 \rightarrow \mathbb{C}\mathbb{P}^1$  it has a pole of order  $n$  at  $\infty$ .*

In Morse theory the following fundamental theorem is proved: If  $X$  is a closed (= compact without boundary) connected manifold and  $f : X \rightarrow \mathbb{R}$  is a smooth function with exactly two critical points, both of which are nondegenerate, then  $X$  is homeomorphic to an  $n$ -sphere.

Compare with:

**Proposition 1.3.** *If our compact Riemann surface admits a meromorphic function with exactly one pole and that pole is simple, then  $X$  is the sphere.*

Let  $p \in X$  be the pole. Being simple, and unique, the degree of  $f$  is 1, Hence away from  $p$ ,  $f$  maps  $X \setminus \{p\}$  in a 1:1 holomorphic fashion onto  $\mathbb{C} = \mathbb{C}\mathbb{P}^1 \setminus \{\infty\}$ . Add back in  $p$  which is sent to infinity, to see that  $f$  is a holomorphic isomorphism  $X \rightarrow \mathbb{C}\mathbb{P}^1$ .

**Corollary 1.4.** *If  $X$  has genus greater than zero and  $f$  is a meromorphic function with exactly one pole, then that pole is not simple.*

For example, on the elliptic curves  $X = \mathbb{C}/\Lambda$  there is a meromorphic function with exactly one pole at the origin. That pole is of order 2 and that function is unique up to scale and is the Weierstrass  $\wp$  function.

## 2. DIFFERENTIALS

After meromorphic functions, the meromorphic one-forms comprise the next most basic space for analysis on a Riemann surface . In local coordinates  $z$  such a one-form has the shape  $f(z)dz$  where  $f(z)$  is a meromorphic function. If  $w$  were to be another holomorphic coordinate with domain overlap with the coordinate  $z$  then we would have  $dz = \frac{dz}{dw}dw$ . It follows that  $g(w)dw = f(z)dz$  if and only if, on the overlap  $f(z(w))\frac{dz}{dw} = g(w)$ . There are more intrinsic perhaps slicker ways to define meromorphic one-forms, but let us hold off on them for a moment.

**Proposition 2.1.** *The only holomorphic one-form on the sphere  $\mathbb{C}\mathbb{P}^1$  is 0.*

We ask the reader to contrast this with the space of holomorphic functions on  $\mathbb{C}\mathbb{P}^1$ , which is one-dimensional, consisting of the constants.

Proof. The overlap relation  $w = \frac{1}{z}$  shows us that the apparently global differential form  $dz$  has a pole of order 2 at  $\infty$ , since  $d(1/w) = -dw/w^2$ . To cancel this pole, we have to multiply  $dz$  by a polynomial in  $w$  of order 2 at least and  $w^2 = \frac{1}{z^2}$  any such attempt to cancel the pole will lead to new poles in the finite  $z$  plane

On the other hand we have:

**Proposition 2.2.** *The space of holomorphic one-forms on a torus  $\mathbb{C}/\Lambda$  has complex dimension 1.*

Proof. Indeed the expression  $dz$  is invariant under translations and so descends as a one-form on the torus and spans the space of holomorphic one-forms.

The previous two propositions concerned genus  $g = 0$  and  $g = 1$  and are special cases of (A) of the following theorem. More generally

**Theorem 2.3.** *If  $X$  is a compact Riemann surface of genus  $g$  then*

A). *The space of holomorphic one-forms on  $X$  forms a finite dimensional vector space of complex dimension  $g$*

B). *If  $\omega$  is a meromorphic differential on a Riemann surface  $X$  then the number of zeros of  $\omega$  minus the number of poles, counted with multiplicity is  $2g - 2$ .*

Note:  $2g - 2 = -2$  for  $\mathbb{C}\mathbb{P}^1$  and  $2g - 2 = 0$  for the torus, fitting the data we have so far.

### 3. DIVISORS

A divisor is a formal finite sum of points on  $X$ :  $D = \sum n_p p$  where the  $n_p = 0$  for all but a finite number of points of  $X$ . Here are two equivalent definitions of a divisor. (A) A divisor is an element in the free Abelian group generated by  $X$ . (B) A divisor is a function  $X \rightarrow \mathbb{Z}$  which is zero at all but a finite number of points.

If  $f$  is a meromorphic function we write  $(f)$  or  $div(f)$  for the sum of its zeros minus its poles, counted with multiplicities

$$(f) = \sum ord_p(f)p.$$

Recall that  $ord_p(f) = 0$  if  $f(p) \neq 0, \infty$ , that in  $\mathbb{C}$  we have  $ord_0(z^k) = k$  and generally

$$ord_p(fg) = ord_p(f) + ord_p(g).$$

a pole  $1/z^k$  counts as order  $-k$ .

If  $\alpha$  is a meromorphic differential then we define its divisor similarly. The order of  $dz$  is taken to be zero, at any point  $p$  in the domain of the holomorphic chart  $z$  and we use the local formula  $ord_p(gdz) = ord_p(g) + ord_p(dz) = ord_p(g)$ . This gives  $(\alpha)$  a well defined meaning as a divisor.

**Definition 3.1.** *For any divisor  $D$  set*

$$deg(D) = \sum n_p \in \mathbb{Z},$$

*thus defining a homomorphism from the group of divisors to the integers.*

**Example 3.2.** *Proposition 1.1 asserts that if  $D = (f)$  is the divisor of a meromorphic function then  $deg(D) = 0$ .*

*Part B of Theorem 2.3 asserts that if  $D = (\alpha)$  is the divisor of a meromorphic one-form then  $deg(D) = 2g - 2$ .*

We have a natural ordering on the divisors induced by the ordering of the integers:  $\sum n_p p \geq \sum m_p p$  if and only if  $n_p \geq m_p$  at all points. The ordering definition is even simpler when we think of divisors as an integer valued functions:  $D \geq E$  if and only if for all  $p \in X$   $D(p) \geq E(p)$ .

The theorem that no Riemann surface admits a global holomorphic function asserts that: if  $D \geq 0$  then the only possible meromorphic functions  $f$  on  $X$  for

which  $(f) = D$  are the constant functions. With this in mind, we introduce a function space associated to a divisor:

$$L(D) := \{f : \text{meromorphic}, (f) \geq -D\}.$$

**Exercise 3.3.** *if  $D < 0$  then  $L(D) = 0$ .*

**Example 3.4.** *a. For any compact  $X$  we have  $L(0) = \mathbb{C}$ , the space of constant functions.*

*b1. For  $X = \mathbb{C}\mathbb{P}^1$  and  $p_\infty = \infty \in \mathbb{C}\mathbb{P}^1$ , in one of the HWs we asked to show:  $L(p_\infty) =$  space of linear functions on  $\mathbb{C}$ . More generally, in the same context:*

*b2. For  $X = \mathbb{C}\mathbb{P}^1$ ,  $p_\infty = \infty \in \mathbb{C}\mathbb{P}^1$  and  $d > 0$  an integer we have that  $L(dp_\infty) \cong$  the space of degree  $d$  polynomials on  $\mathbb{C}$ .*

Let us pause to check part of example (b1). For  $a \neq 0$  the function  $az + b$  has for its divisor  $(az + b) = p_0 - p_\infty \geq -p_\infty$ , consequently,  $az + b \in L(p_\infty)$

**Definition 3.5.** *Write  $\ell(D) = \dim L(D)$ .*

The famous Riemann-Roch theorem concerns the behaviour of  $\ell(D)$  as a function of  $D$ . Before we state it, let us content ourselves with a special case.

**Theorem 3.6.** *For  $X = \mathbb{C}\mathbb{P}^1$  and  $D$  any divisor on  $\mathbb{C}\mathbb{P}^1$  we have  $\ell(D) = 0$  if  $\deg(D) < 0$  and  $\ell(D) = \deg(D) + 1$  if  $\deg(D) > 0$ .*

The space of polynomials of degree  $d$  has dimension  $d + 1$ . And  $\deg(dp_\infty) = d$ . Consequently b2. is a special case of this theorem.

#### 4. RIEMANN-ROCH STATEMENT

To state the Riemann-Roch theorem we need a fact regarding meromorphic one-forms  $\alpha$  and their divisors  $(\alpha)$ .

**Proposition 4.1.** *If  $\alpha, \beta$  are meromorphic one-forms, neither identically zero, then  $\ell((\alpha)) = \ell((\beta))$*

Compare Miranda, p. 138, Lemma 2.3, part (b).

We write  $K$  for the divisor associated to any meromorphic one-form. The above proposition asserts that the integer  $\ell(K)$  makes sense, if we define it to be  $\ell((\beta))$ .

The proposition immediately follows directly from the following lemma, and an observation.

**Lemma 4.2.** *(A) For any divisor  $D$  we have that  $\ell(D)$  is a finite integer: i.e.  $L(D)$  is finite dimensional.*

*(B) If  $g$  is a nonzero meromorphic function then multiplication by  $g^{-1}$  defines a linear isomorphism:  $m_g^{-1} : L(D) \rightarrow L(D + (g))$*

Proof of lemma. (A) is hard and we put it off. For (B): Suppose that  $f \in L(D)$ . Thus  $(f) \geq -D$ . Consequently  $(f) - (g) \geq -D - (g) = -(D + (g))$ . But  $(g^{-1}f) = -(g) + (f)$ . So  $(g^{-1}f) \in L(D + (g))$ . To show the map is invertible multiply by  $g$  to map from  $L(D + (g))$  to  $L(D)$ .

PROOF OF PROPOSITION. Observe, by coordinate computation, that if  $\alpha, \beta$  are meromorphic one-forms as in the proposition then their quotient  $g = \alpha/\beta$  is a well-defined meromorphic function on  $X$ . Since  $g^{-1}\alpha = \beta$  the lemma, part (B) implies that  $L((\alpha)) = L((\beta))$  as complex vector spaces. QED

Inspired by the propositions we write  $K$  to represent the divisor of any meromorphic function.

**Theorem 4.3** (Riemann-Roch).  $\ell(D) - \ell(K - D) = \deg(D) - g + 1$

**Corollary 4.4.**  $\ell(K) = g$

Proof of cor. Take  $D = 0$ . We have seen that  $L(0) = \mathbb{C}$  so that  $\ell(0) = 1$ . The degree  $d$  of 0 is 0 and  $K - 0 = K$ . Apply Riemann-Roch.

This corollary gives us an analytic meaning for the genus: it is the dimension of the space of holomorphic differentials on  $X$ .

#### 5. JACOBIAN; LINEAR EQUIVALENCE; PICARD GROUP..

**Definition 5.1.** A *principal divisor* is the divisor of a meromorphic function.

**Definition 5.2.** Two divisors are “linearly equivalent” if they differ by a principal divisor.

Write the equivalence relation of being ‘linearly equivalent’ as  $E \sim D$ . The definition asserts that two divisors  $D, E$  satisfy  $E \sim D$  if  $E - D = (f)$  for some meromorphic function  $f$ .

**Definition 5.3.** The space of equivalence classes of divisors under linear equivalence is called the “Picard group” of  $X$  and is denoted by  $Pic(X)$

Since the principal divisors form a subgroup of the Abelian group of divisors, the Picard group also forms an Abelian group, namely  $Div(X)/(\text{principal divisors})$ .

Proposition 1.1 asserts that the principal divisors lie in the kernel of the degree homomorphism. It follows that degree induces a well-defined homomorphism, which, by slight abuse of notation, we still call “degree”:

$$\deg : Pic(X) \rightarrow \mathbb{Z}.$$

Write  $Pic(X)_d$  for those elements of the Picard group having degree  $d$ .

**Theorem 5.4.** For each  $d \in \mathbb{Z}$  we have that  $Pic(X)_d$  has the structure of a complex torus  $\mathbb{C}^g/\Lambda(X)$  of complex dimension  $g$ ,  $g$  being the genus of  $X$ . The lattice  $\Lambda(X)$  is sometimes called the “period lattice”. This torus is called the “Jacobian” of the Riemann surface  $X$ .

Remarks. In HW 4 we ask you to prove the theorem in the case  $g = 0$  of the Riemann sphere.

When  $g = 1$  there is an almost canonical identification between  $X$  and its Jacobian.