## **Riemann-Roch**

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## Riemann-Roch, the Canonical Class and Llne bundles

Goals: Understand K. How K and divisors D can be viewed as line bundles.

Topological and holomorphic classification of line bundles.

The space of holo. one-forms.

**DIVISORS.** A divisor is an element of the free Abelian group generated by the points  $p \in X$ . We write Div(X) for the group of all divisors on X.

Example: D = p - q where  $p, q \in X$ .

Equivalently, a divisor is a map  $D: X \to \mathbb{Z}$  whose support is finite with addition being pointwise addition.

We write

$$D = \sum m_i p_i$$

or sometimes  $D = \Sigma D(p)p$ .

Degree. The degree map is the homomorphism

### DIVISORS AND PRINCIPAL DIVISORS

For  $f \in \mathcal{M}(X)$  set

$$(f) = (f)_0 - (f)_\infty$$
 (1)  
=  $\Sigma ord_p(f)p$  (2)

 $(f)_0 = \sum m_i p_i$  where the sum is over the zeros of f and where the integers  $m_i$  are the multiplicities of these zeros.

 $(f)_{\infty}$  we just saw.

In the last equality above for (f) the integer " $ord_p$ " denotes the order of f at a point p. If  $f(p) \neq 0, \infty$  then  $ord_p(f) = 0$ . If f(p) = 0 then  $ord_p(f)$  is the usual multiplicity of that zero p. If  $f(p) = \infty$  then  $ord_p(f) = -m$  where m is the order of the pole, or, what is the same, the order of the zero at p for the function 1/f.

#### On order.

Order is a multiplicative homomorphism

$$ord_{\rho}: \mathcal{M}(X)_{\rho} \setminus \{0\} \cong \mathbb{C}(z) \setminus \{0\} \to \mathbb{Z}.$$

Thus  $ord_p(1) = 0$ ,  $ord_p(f/g) = ord_p(f) - ord_p(g)$ .  $\mathcal{M}_p$  denotes the germs of meromorphic functions at  $p \in X$ .

REFS. Miranda p 26 re 'order". Donaldson section 11.1.2. on 'Valuations" (or wiki for same) The order is the valuation associated to the field  $\mathbb{C}(x)$  at the origin.

"The number of poles equals the number of zeros"

Lemma If  $f \in \mathcal{M}(X)$  then deg(f) = 0.

PROOF. This lemma follows directly from the characterization of degree of a map  $F: X \to Y$  in terms of multiplicities of the points in the pre-image of any point in the target, independent of the point. Apply to  $F = f: X \to \mathbb{CP}^1$ . Write *c* for the degree of *F*. Then  $c = deg((f)_0) = -deg((f)_\infty)$ . Cf also Miranda p 49 prop 4.12.

#### Definition

$$L(D) = \{f \in \mathcal{M} : D + (f) \ge 0\} \subset \mathcal{M}(X)$$

a vector subspace over  $\mathbb{C}$  of  $\mathcal{M}(X)$ .

**Example**. D = 3P - Q. Then  $f \in L(D) \iff (f) \ge -D = -3P + Q \iff f$  has a pole of order 3 or less at P a zero at Q and no other poles. f is allowed to have other zeros besides Q.

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Ordering the space of divisors. Define  $D = \sum m_i p_i \ge 0$  iff all  $m_i \ge 0$ 

This relation induces a partial order on the set of all divisors:  $D \ge E \iff D - E \ge 0.$ 

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BASIC FACTS.

a) D \ge 0 \implies \mathbb{C} = \mathbb{C}1 \subset L(D) so dim(L(D)) \ge 1.

b) D \le E \implies L(D) \subset L(E).

c) deg(D) < 0 \implies L(D) = 0.

d) dim(L(D+p))/L(D)) is 1 or 0. (Here p \in X.)

e) deg(D) = 0 \implies dim(L(D)) is 0 or 1.

f) dim(L(D)) \le deg(D) + 1.

g) dim(L(0)) = 1
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# BREAK OUTS SOLVE THESE

Proofs. Of (b).  $D < E \iff -D > -E$ . Thus  $(f) \ge -D \implies (f) \ge -E$  or  $f \in L(D) \implies f \in L(E)$ .

Of (c): Say  $deg(D) \leq -1$  and  $f \in L(D)$ . Then  $(f) \geq -D$  so  $deg(f) \geq deg(-D) \geq 1$ . But for any constant or non-constant meromorphic function we have deg(f) = 0. So the only possible  $f \in L(D)$  is the zero function.

Formally, the divisor of the zero functional is  $\sum_{p \in X} (\infty)p$  since the zero functional has infinite contact with z = 0. Thus the divisor of the zero functional is formally infinitely greater than all other finite divisors. We insist  $0 \in L(D)$  for any D. The smallest L(D) can be is  $\{0\}$ .

#### Proofs ct'd

Of (e). Use d): if deg(D) = 0 then by substracting a point from D we get E = D - p with deg(E) < 0 so dim(L(E)) = 0. It follows from D = E + p that dim(L(D)) is either 1 or 0.

Of (d). Equivalent to assertion (d) is:

Theorem

 $dim(L(D + p)) = dim(L(D)) + \epsilon$  where  $\epsilon$  is one or zero

**PROOF** for the case when p is not in the support of D. z –local coordinate centered at p.  $f, g \in L(D + p)$  but  $f, g \notin L(D)$ . Expand in z.  $f = a_{-1}/z + a_0 + \ldots; g = b_{-1}/z + b_0 + \ldots$ Solve a linear equation to find  $\lambda_1, \lambda_2 \in \mathbb{C}$  so that  $F = \lambda_1 f + \lambda_2 g$ has no pole at p. (  $\lambda_1 = b_{-1}, \lambda_2 = -a_{-1}$  work.) Away from p both f, g have the pole and zero structure specified by D. Thus  $F \in L(D)$  and f, g are linearly dependent MOD L(D). In other words,  $dim(L(D + p)/L(D)) \leq 1$ .

ct'd

If the quotient dimension is 1 then  $\epsilon = 1$ . Otherwise L(D + p) = L(D) and  $\epsilon = 0$ QED

#### Of (e).

Keep subtracting points from D. Affter subtracting d + 1 points  $p_1, p_2, \ldots, p_{d+1}$  we get a divisor  $E = D - \sum p_i$  of degree -1 so that, by (b) we have dim(L(E)) = 0. Now add the points  $p_i$  back in one by one. At each addition the dimension increases by at most one, so  $dim(L(D) \le dim(L(E)) + d + 1 = d + 1$ .

Of (f). L(0) consists of the meromorphic functions having no poles. These are the constant functions  $L(0) = \mathbb{C} = \mathbb{C}1$ .

Version 1, RR. [Riemann's version]

Theorem For  $d = deg(D) \ge 0$  we have

$$dim(L(D)) \ge d + 1 - g$$

COR 3:

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$$d+1-g \leq dim(L(D)) \leq d+1$$

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 $g = 0 \implies dim(L(D)) = d + 1$  for  $d \ge -1$ . In particular, if D = (f) we have d = 0 and dim(L(D)) = 1: specifying the poles and zeros of a meromorphic function on  $\mathbb{C}P^1$ specifies the function up to scale.

#### PROOF OF COR. 1 to RR.

Recall statement:  $D = p_1 + \ldots + p_N$  (repeated points allowed).  $N \ge g + 1 \implies \exists f \in L(D), f \text{ not constant..}$ Equivalently  $(f)_{\infty} = D$ .

Proof:  $D \ge 0$  so  $\mathbb{C} \subset L(D)$  and  $dim(L(D)) \ge 1$ . So  $dim(L(D)) \ge 2 \iff \exists f \in L(D)$  with f not constant. Version 1 of RR says  $N + 1 - g \le dim(L(D))$  so  $N \ge g + 1 \implies 2 \le dim(L(D))$ .

Full-blown RR. There is a divisor of degree K called the "canonical divisor" and having degree 2g - 2 such that

Theorem

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$$dim(L(D)) - dim(L(K - D)) = d + 1 - g$$

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