

## CSE 102

### Homework Assignment 1

#### Solutions

1. (Problem 3.1-1) Let  $f(n)$  and  $g(n)$  asymptotically positive functions. Prove that  $f(n) + g(n) = \Theta(\max(f(n), g(n)))$ .

**Proof:**

Since  $f(n)$  and  $g(n)$  are asymptotically positive, we know that there exists a positive constant  $n_0$  such that  $f(n) > 0$  and  $g(n) > 0$  for all  $n \geq n_0$ . For any such  $n$  we have

$$\begin{aligned} 0 &\leq \max(f(n), g(n)) \\ &\leq \min(f(n), g(n)) + \max(f(n), g(n)) \\ &\leq 2 \cdot \max(f(n), g(n)). \end{aligned}$$

But  $f(n) + g(n) = \min(f(n), g(n)) + \max(f(n), g(n))$ , and therefore

$$0 \leq 1 \cdot \max(f(n), g(n)) \leq f(n) + g(n) \leq 2 \cdot \max(f(n), g(n))$$

for all  $n \geq n_0$ , showing that  $f(n) + g(n) = \Theta(\max(f(n), g(n)))$ . ■

2. Prove or disprove: If  $f(n) = \Theta(g(n))$ , then  $f(n)^2 = \Theta(g(n)^2)$ .

**Proof:**

Since  $f(n) = \Theta(g(n))$ , there are positive constants  $c_1, c_2$  and  $n_0$  such that

$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$$

for all  $n \geq n_0$ . Squaring this inequality gives  $0 \leq c_1^2 g(n)^2 \leq f(n)^2 \leq c_2^2 g(n)^2$ , showing that  $f(n)^2 = \Theta(g(n)^2)$ . ■

3. Prove or disprove: If  $f(n) = \Theta(g(n))$ , then  $2^{f(n)} = \Theta(2^{g(n)})$ .

**Counter Example:**

Let  $f(n) = 2n$  and  $g(n) = n$ . Then  $f(n) = \Theta(g(n))$ , but  $2^{2n} = 4^n = \omega(2^n)$ , and therefore  $2^{f(n)} = \omega(2^{g(n)})$ , whence  $2^{f(n)} \neq \Theta(2^{g(n)})$ . ■

4. Let  $f(n)$  and  $g(n)$  be asymptotically positive functions, and assume that  $\lim_{n \rightarrow \infty} g(n) = \infty$ . Prove that if  $f(n) = \Theta(g(n))$ , then  $\ln(f(n)) = \Theta(\ln(g(n)))$ .

**Proof:**

Assume  $f(n) = \Theta(g(n))$ . Then there exist positive constants  $c_1, c_2$  and  $n_0$  such that

$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$$

for all  $n \geq n_0$ . Since  $\lim_{n \rightarrow \infty} g(n) = \infty$ , the constant  $n_0$  can be chosen large enough so that

$$1 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$$

for all  $n \geq n_0$ . Take  $\ln()$  of all terms in the preceding inequality to get

$$0 \leq \ln(c_1) + \ln(g(n)) \leq \ln(f(n)) \leq \ln(c_2) + \ln(g(n))$$

for all  $n \geq n_0$ . Since  $\lim_{n \rightarrow \infty} g(n) = \infty$ , the term  $\ln(g(n))$  dominates the constants  $\ln(c_1)$  and  $\ln(c_2)$ , whence  $\ln(c_1) + \ln(g(n)) = \Omega(\ln(g(n)))$  and  $\ln(c_2) + \ln(g(n)) = O(\ln(g(n)))$ . The desired result  $\ln(f(n)) = \Theta(\ln(g(n)))$  now follows by Exercise 4 on page 4 of the handout on asymptotic growth rates. ■

5. (Problem 3.2-8) Show that if  $f(n) \ln f(n) = \Theta(n)$ , then  $f(n) = \Theta(n/\ln n)$ . Hint: use the result of the preceding problem.

**Proof:**

Assume  $f(n) \ln f(n) = \Theta(n)$ . Since  $\lim_{n \rightarrow \infty} n = \infty$ , we can, by the result of problem (4), take  $\ln()$  of both sides of this equation to get

$$\ln(f(n)) + \ln(\ln(f(n))) = \Theta(\ln(n)).$$

Since the term  $\ln(\ln(f(n))) = o(\ln(f(n)))$ , we can, by Exercise 9g on page 8 of the handout on asymptotic growth rates, drop the lower order term and write this as  $\ln(f(n)) = \Theta(\ln(n))$ . Substituting this into our assumption gives  $f(n)\Theta(\ln n) = \Theta(n)$ , and therefore

$$f(n) = \Theta(n) \cdot \frac{1}{\Theta(\ln n)} = \Theta(n) \cdot \Theta\left(\frac{1}{\ln n}\right) = \Theta\left(\frac{n}{\ln n}\right)$$

where we have used Exercise 11bc on page 8 of the handout on asymptotic growth rates. ■

6. Consider the statement:  $f(cn) = \Theta(f(n))$ .

- a. Determine a function  $f(n)$  and a constant  $c > 0$  for which the statement is false.

**Example:**

Let  $f(n) = 2^n$  and  $c = 2$ . Then the statement says  $2^{2n} = \Theta(2^n)$ , i.e.  $4^n = \Theta(2^n)$ . This is false since  $4^n = \omega(2^n)$  by Exercise 4e on page 7 of the handout on Asymptotic Growth Rates, and since  $\omega(2^n) \cap \Theta(2^n) = \emptyset$  by Exercise 6 on page 5 of the same handout. ■

- b. Determine a function  $f(n)$  for which the statement is true for all  $c > 0$ .

**Example:**

Let  $f(n) = n$ . Then the statement says  $cn = \Theta(n)$ . This is true for any  $c > 0$  by Exercise 2 on page 3 of the handout on Asymptotic Growth Rates. ■

7. Determine the asymptotic order of the expression  $\sum_{i=1}^n a^i$  where  $a > 0$  is a constant, i.e. find a simple function  $g(n)$  such that the expression is in the class  $\Theta(g(n))$ . (Hint: consider the cases  $a = 1$ ,  $a > 1$ , and  $0 < a < 1$  separately.)

**Solution:** In the case  $a = 1$  we have  $\sum_{i=1}^n a^i = n = \Theta(n)$ . Now assume  $a \neq 1$  and use the formula for the sum of a geometric series:

$$\sum_{i=1}^n a^i = a \left( \sum_{i=0}^{n-1} a^i \right) = a \left( \frac{a^n - 1}{a - 1} \right) = \left( \frac{a}{a - 1} \right) \cdot a^n - \left( \frac{a}{a - 1} \right)$$

If  $a > 1$  then  $a^n \rightarrow \infty$  as  $n \rightarrow \infty$ , so the first term dominates the second, and  $\sum_{i=1}^n a^i = \Theta(a^n)$ . If  $0 < a < 1$  then  $a^n \rightarrow 0$ , so the constant term (which is positive in this case) dominates, and therefore  $\sum_{i=1}^n a^i = \Theta(1)$ . ■

8. Use induction to prove that  $\sum_{k=1}^n k^4 = \frac{n(n+1)(6n^3+9n^2+n-1)}{30}$  for all  $n \geq 1$ .

**Proof:**

I.  $\sum_{k=1}^1 k^4 = 1 = \frac{2 \cdot 15}{30} = \frac{1 \cdot (1+1) \cdot (6 \cdot 1^3 + 9 \cdot 1^2 + 1 - 1)}{30}$ , and the base case is established.

II. Let  $n \geq 1$ . Assume for this  $n$  that

$$\sum_{k=1}^n k^4 = \frac{n(n+1)(6n^3+9n^2+n-1)}{30}$$

We must show that

$$\sum_{k=1}^{n+1} k^4 = \frac{(n+1)(n+2)(6(n+1)^3+9(n+1)^2+(n+1)-1)}{30}$$

We have

$$\begin{aligned} \sum_{k=1}^{n+1} k^4 &= \left( \sum_{k=1}^n k^4 \right) + (n+1)^4 \\ &= \frac{n(n+1)(6n^3+9n^2+n-1)}{30} + (n+1)^4 && \text{(by the induction hypothesis)} \\ &= \frac{(n+1)[n(6n^3+9n^2+n-1)+30(n+1)^3]}{30} \\ &= \frac{(n+1)[6n^4+39n^3+91n^2+89n+30]}{30} \\ &= \frac{(n+1)(n+2)[6n^3+27n^2+37n+15]}{30} \\ &= \frac{(n+1)((n+1)+1)[6(n+1)^3+9(n+1)^2+(n+1)-1]}{30} \end{aligned}$$

Thus the formula holds for  $n + 1$  as well. The formula is valid for all  $n$  by induction. ■