

CSE 101-02 5-26-22

- Student Evaluations of Teaching (SETs)

Open: Mon 5/23

Closes: Sun 6/5, 11:59 PM

Priority Queue chap 6

Use Heap data structure { max ← cover
min

Binary

• Priority Queue $\begin{cases} \text{max} \leftarrow \text{cover} \\ \text{min} \end{cases}$

states:

A state in a P.Q. is a finite Set S of 'records' each with a key attribute.

$$x = (\underbrace{\cdot, \cdot, \cdot, \cdot}_{\text{satellite data}}, \text{key}) \in S$$

$$S = \{ \cdot, \cdot, \cdot, x, \cdot, \cdot \}$$

↑
state

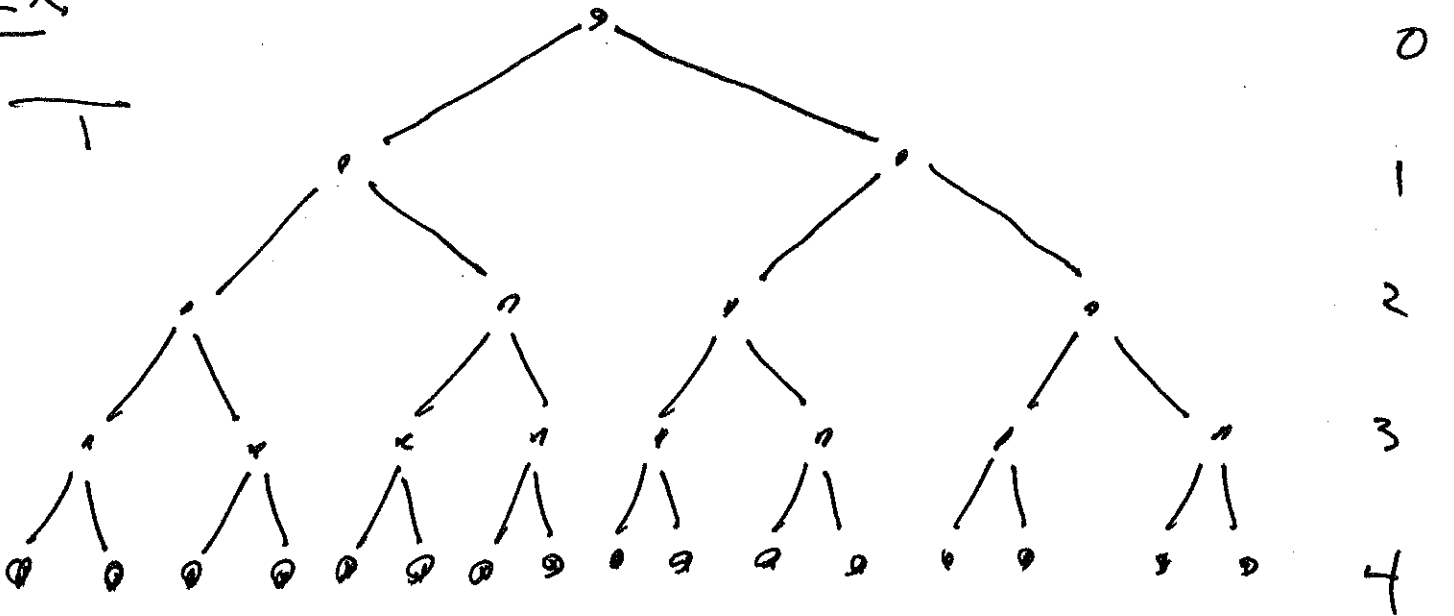
- ADT operations :
 - $\text{Insert}(S, x)$:
insert a new record x into S
 - $\text{Max}(S)$
return record with minimum key.
 - $\text{ExtractMax}(S)$
return and delete record with minimum key
 - $\text{IncreaseKey}(S, x, K)$:
change $\text{key}[x]$ to K if $K > \text{key}[x]$,
else do nothing.

new data structure: Heap $\begin{cases} \text{max} \\ \text{min} \end{cases}$

defn

A complete binary tree (CBT) is a B.T. in which all leaves have same depth, all internal nodes have ≥ 2 children.

Ex



observe

$$(\# \text{ nodes at depth } d) = 2^d$$

so if T has n nodes, height h

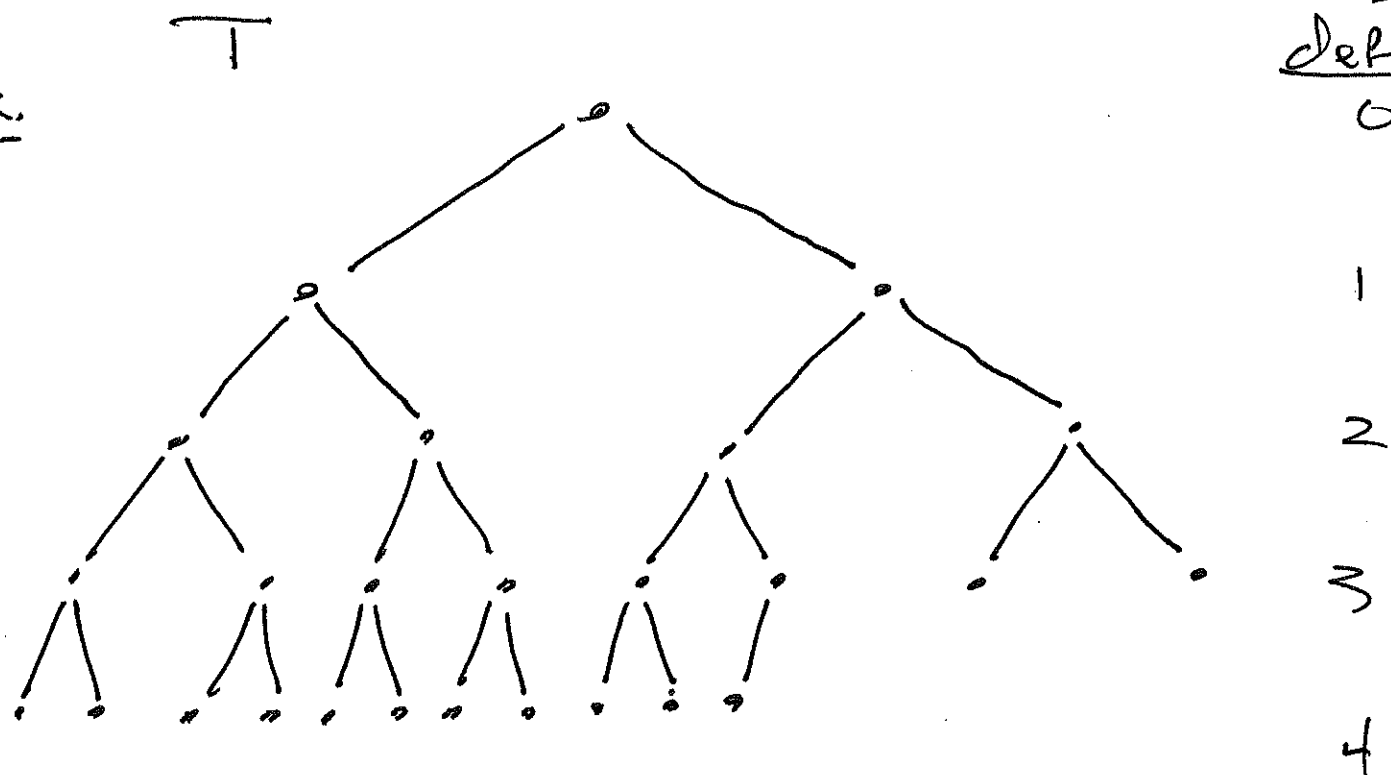
$$n = \sum_{d=0}^h 2^d = \frac{2^{h+1} - 1}{2 - 1} = 2^{h+1} - 1$$

Defn

An Almost Complete Binary Tree (ACBT)

is filled at all levels, except possibly the last (deepest), which is filled left to right.

Ex.



The # of nodes n in an ACBT with height h satisfies

$$2^h - 1 < n \leq 2^{h+1} - 1$$

$$\therefore 2^h \leq n < 2^{h+1}$$

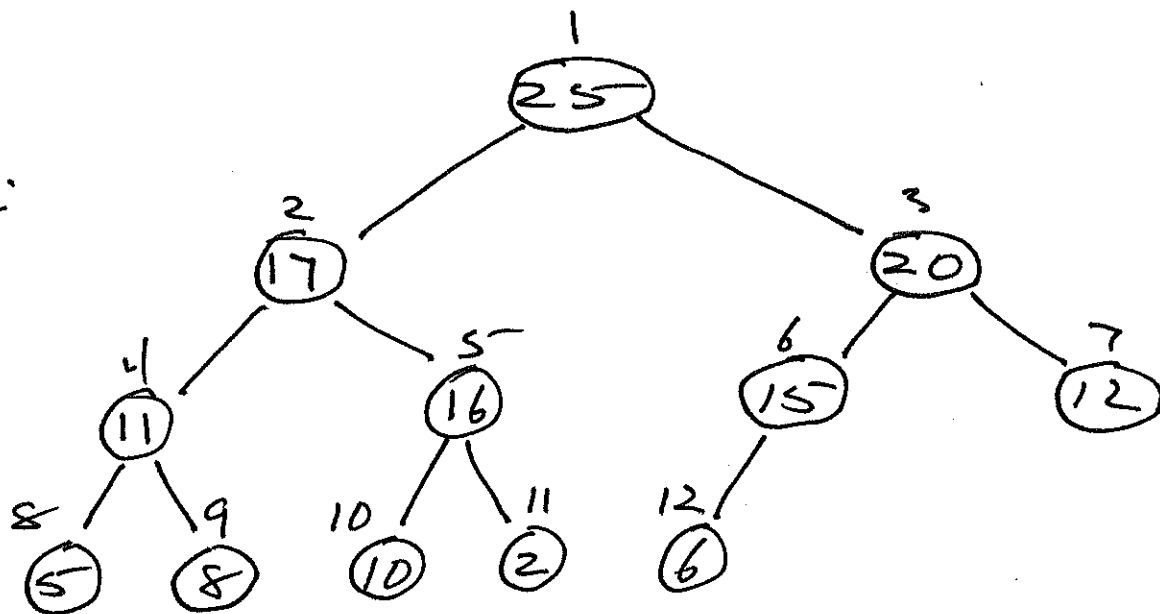
$$\therefore h \leq \lg(n) < h+1$$

$$\therefore h = \lfloor \lg(n) \rfloor$$

6.1 Heaps

A Binary Heap is an array used to represent an ACBT

Ex.
Tree:



Array:

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
A	25	17	20	11	16	15	12	5	8	10	2	6	.	.	.	.

2 attributes: $\text{length}[A] = 16$

$\text{heapSize}[A] = 12$

helper functions:

$$\begin{cases} \text{Parent}(i) = \lfloor \frac{i}{2} \rfloor & (i \geq 2) \\ \text{left}(i) = 2i \\ \text{right}(i) = 2i + 1 \end{cases}$$

heap properties:

* max : $A[\text{Parent}(i)] \geq A[i]$

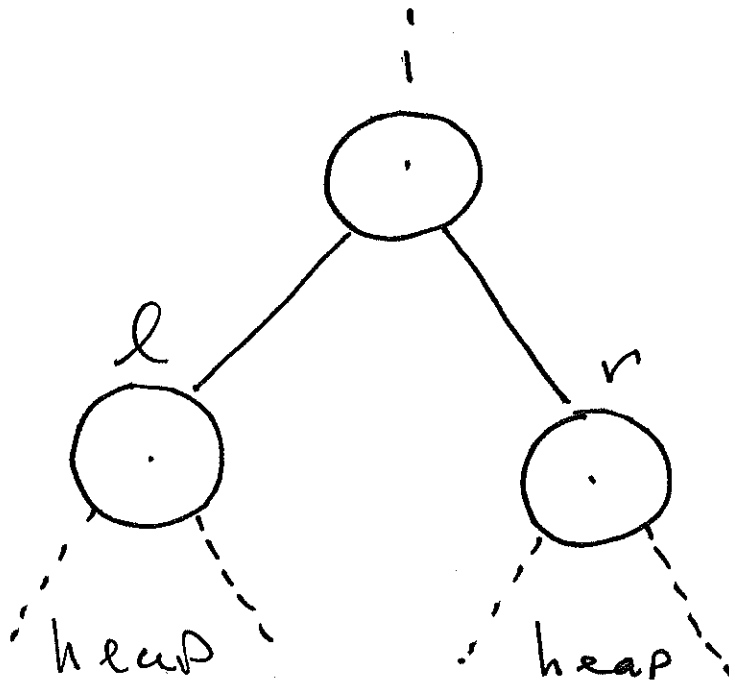
min : $A[\text{Parent}(i)] \leq A[i]$

Operations on a heap:

- Heapify()
- BuildHeap()
- HeapSort()
- P.Q. operations

6.2 Heapify

established (max) heap property
at index i



Pre: subtrees at l, r are
valid heaps, where

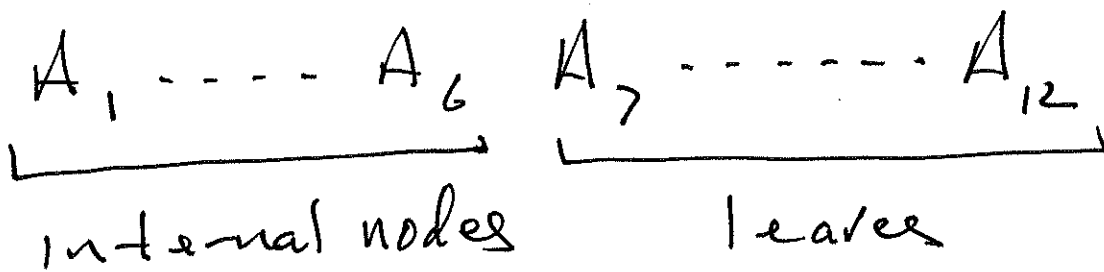
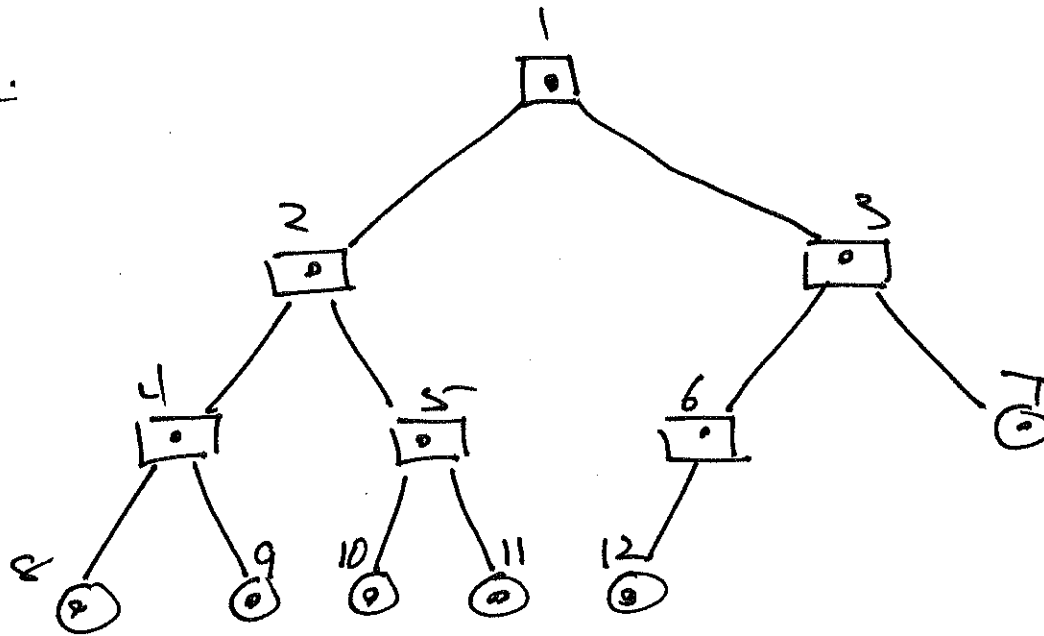
$$l = \text{left}(i)$$

$$r = \text{right}(i)$$

$$\text{runtime} = \Theta(\log n)$$

6.2 Build Heap

Ex.



call Heapify_7 on: 6, 5, 4, 3, 2, 1

rightmost internal node has index!

$$\left\lfloor \frac{n}{2} \right\rfloor$$

$$\boxed{\text{runtime} = \Theta(n)}$$

6.4 HeapSort

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take an unordered array A

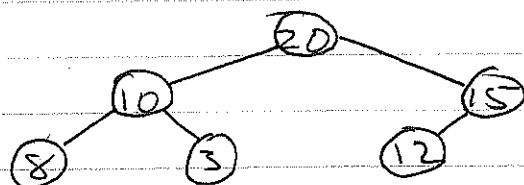
- call $\text{BuildHeap}(A)$
- swap leftmost with rightmost
- decrement heapSize
- call Heapify on root ($\text{ind} = 1$)
- $\vdots$
- repeat.

$$\begin{aligned}\text{runtime} &= \Theta(n) + n \cdot \Theta(\log n) \\ &= \Theta(n + n \log n) \\ &= \Theta(n \log n)\end{aligned}$$

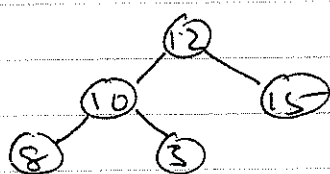
Start: 10 8 12 20 3 15

Ex. AFTER Build-Heap (A) :

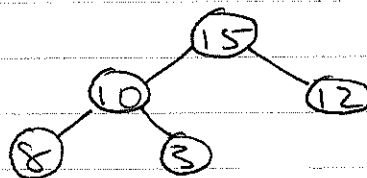
20 10 15 8 3 12 |



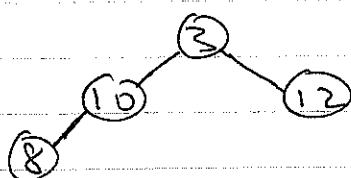
12 10 15 8 3 | 20



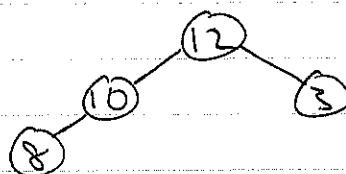
Heapify



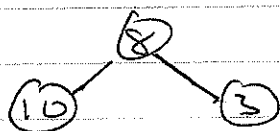
15 10 12 8 3 | 20
3 10 12 8 | 15 20



Heapify



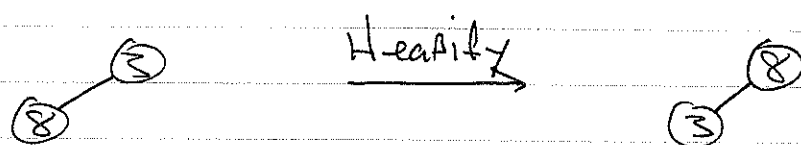
12 10 3 8 | 15 20
8 10 3 | 12 15 20



Heapify



10 8 3 | 12 15 20
3 8 | 10 12 15 20



8	3		10	12	15	20
3	8		10	12	15	20

Run Time

THE CALL TO BUILD-HEAP TAKES $\Theta(n)$ TIME IN WORST CASE. EACH OF THE $n-1$ CALLS TO HEAPIFY TAKES $\Theta(\lg n)$ TIME IN WORST CASE.

THEREFORE THE WORST CASE RUN TIME OF HEAPSORT IS

$$T(n) = \Theta(n) + (n-1)\Theta(\lg n) = \Theta(n \lg n)$$

NOTE THIS IS AS GOOD AS MERGESORT (ASYMPTOTICALLY SPEAKING), BUT HEAPSORT SORTS IN-PLACE, WHILE MERGESORT REQUIRES EXTRA MEMORY.

6.5 Priority Queue $\begin{cases} \text{max} \\ \text{min} \end{cases}$ *

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see Pseudo-code

- Heap Maximum (A)
cost: $\Theta(1)$
- Heap DeleteMax (A)
cost: $\Theta(\log n)$
- Heap ExtractMax (A)
cost: $\Theta(\log n)$
- Heap IncreaseKey (A, i, k)
cost: $\Theta(\log n)$