

CSE 101-01 5-26-22

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• Student Evaluation of Teaching (SETs)

Open Mon. 5/23

close Sun. 6/5 11:59 PM

new ADT: Priority Queue $\begin{cases} \text{min} \\ \text{max} \end{cases}$

State: a finite set^S of records,
with a key field

$$x = (\underbrace{\cdot, \cdot, \cdot, \cdot}_{\text{satellite data}}, \text{key})$$

$$S = \{ \cdot, \cdot, \cdot, x, \cdot, \cdot, \cdot \}$$

Operations : (max priority queue)

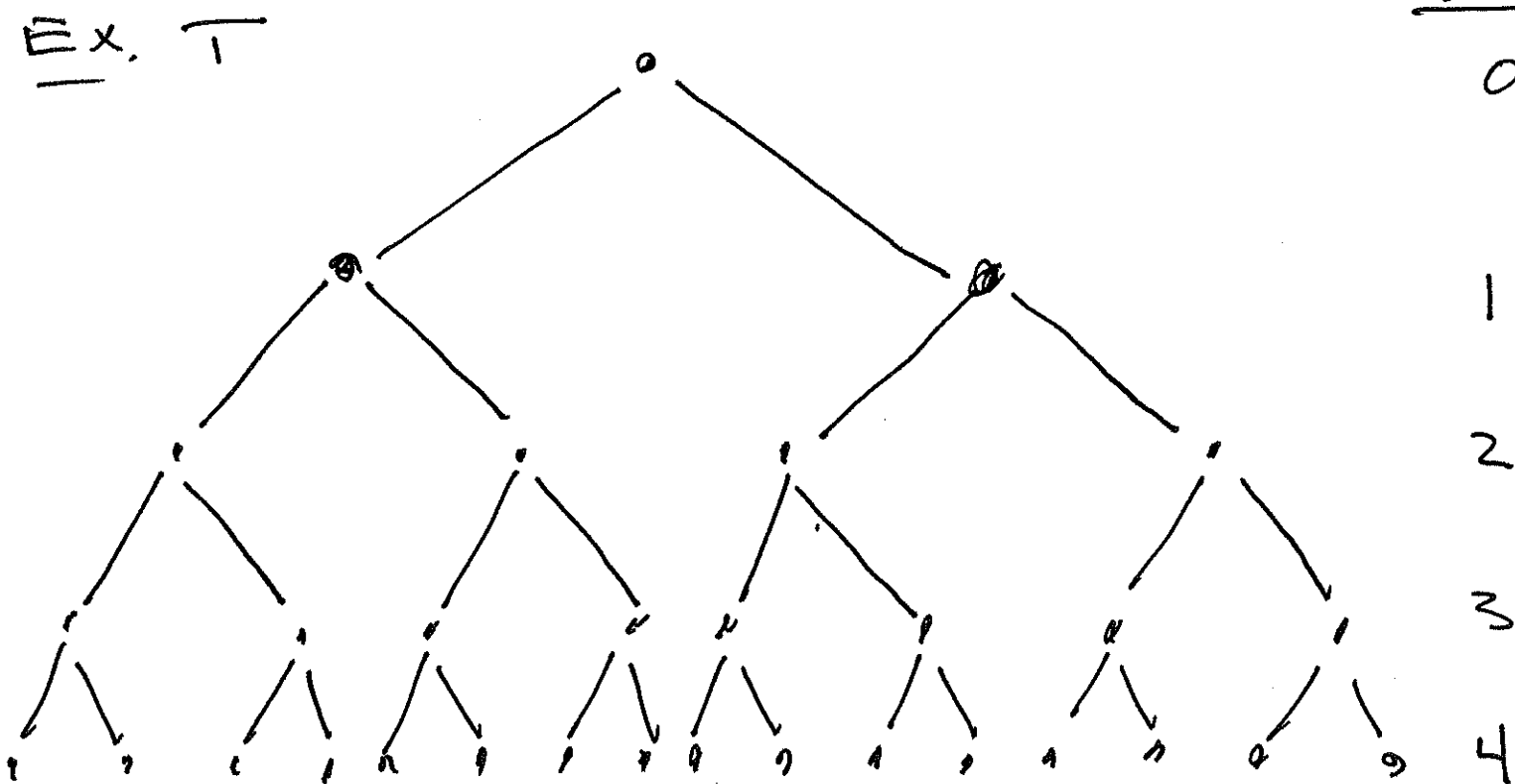
- $\text{Insert}(S, x)$
add a new record x to S .
- $\text{Max}(S)$
returns record with max key
- $\text{ExtractMax}(S)$
returns and deletes record with max key.
- $\text{IncreaseKey}(S, x, k)$
change $\text{key}[x]$ to k if $k > \text{key}[x]$,
else do nothing.

Defn

A complete binary tree (CRT)

is a B.T. in which all leaves have same depth, and all internal nodes have ≥ 2 children.

depth
0



observe

$$(\# \text{ nodes at depth } d) = 2^d$$

The total # of nodes n is

$$n = \sum_{d=0}^h 2^d = \frac{2^{h+1} - 1}{2 - 1} = 2^{h+1} - 1$$

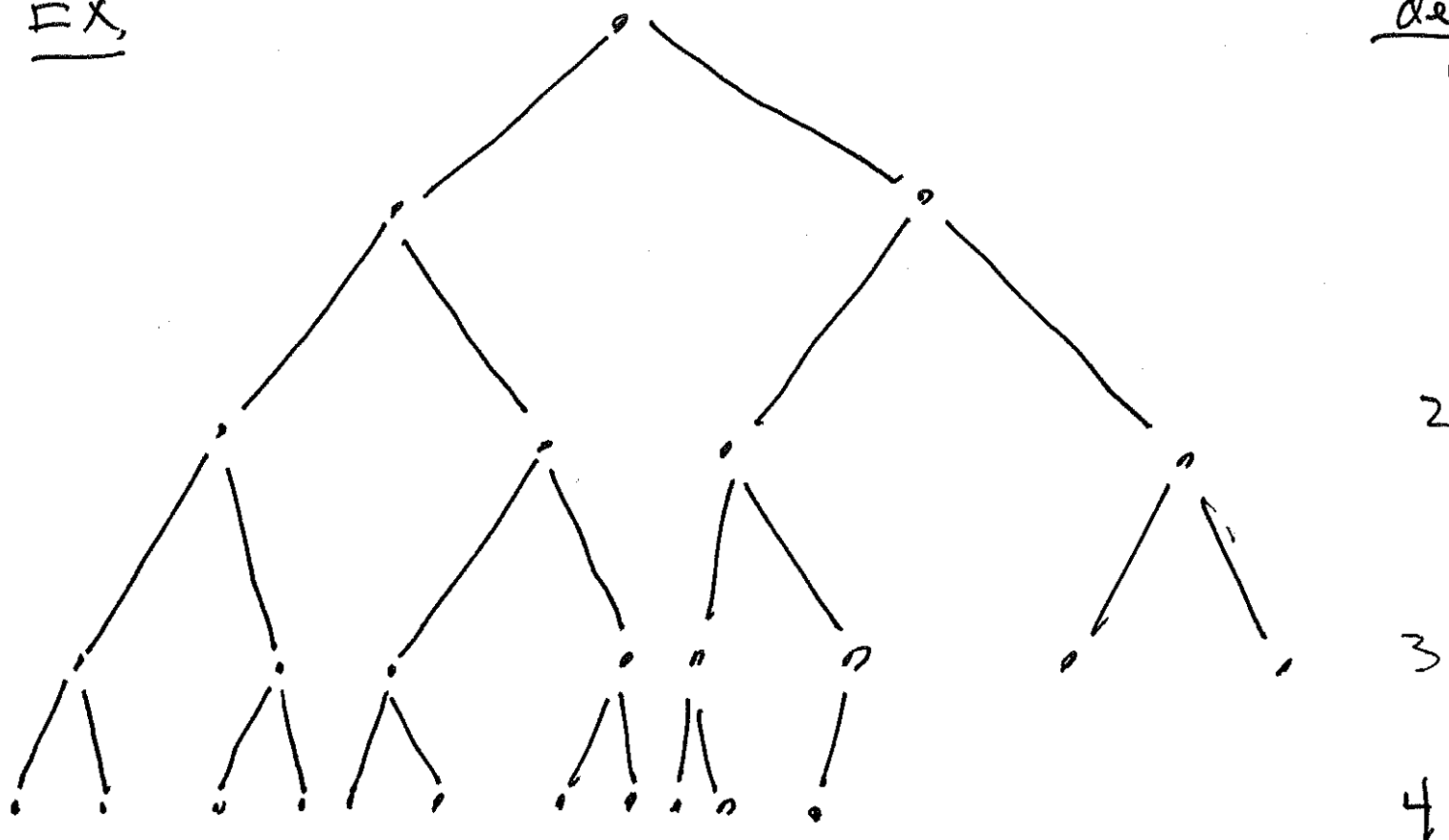
Defn

an almost complete binary tree (ACBT)

is a B.T. filled at all levels,
except possibly the last (deepest),
which is filled left to right.

Ex

LS
depth
0



The # of nodes n in an ACBT of height h must satisfy

$$2^h - 1 < n \leq 2^{h+1} - 1$$

∴

$$2^h \leq n < 2^{h+1}$$

∴

$$h \leq \lg(n) < h+1$$

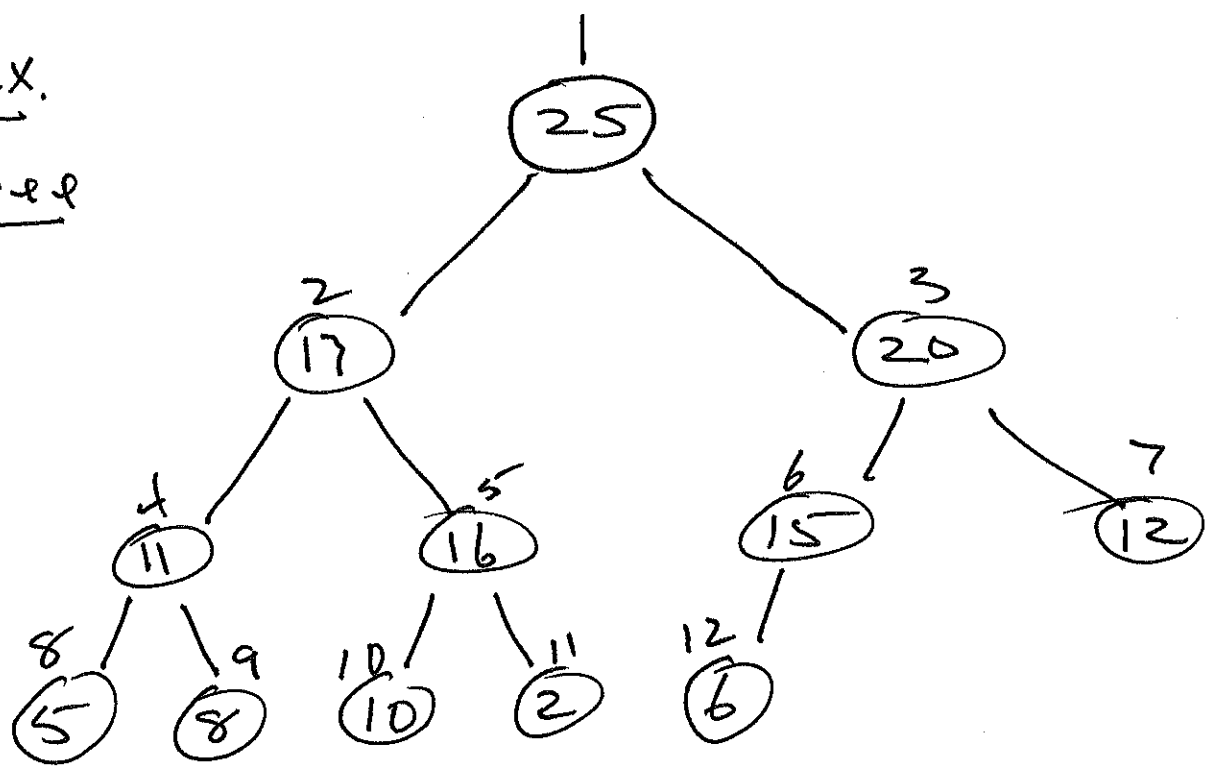
so
$$h = \lfloor \lg n \rfloor$$

6.1 Heaps

new data struct: Binary heap $\begin{cases} \text{min} \\ \text{max}^* \end{cases}$

it is an array used to represent an ACRIT.

Ex.
tree



Array

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
A	25	17	20	11	16	15	12	5	8	10	2	6	0	0	0	0

Attributes:

$$\text{length}[A] = 16$$

$$\text{heapSize}[A] = 12$$

helper-functions

$$\begin{cases} \text{Parent}(i) = \lfloor \frac{i}{2} \rfloor & i \geq 2 \\ \text{left}(i) = 2i \\ \text{right}(i) = 2i + 1 \end{cases}$$

Heap Properties

$$\text{max: } A[\text{Parent}(i)] \geq A[i]$$

$$\text{min: } A[\text{Parent}(i)] \leq A[i]$$

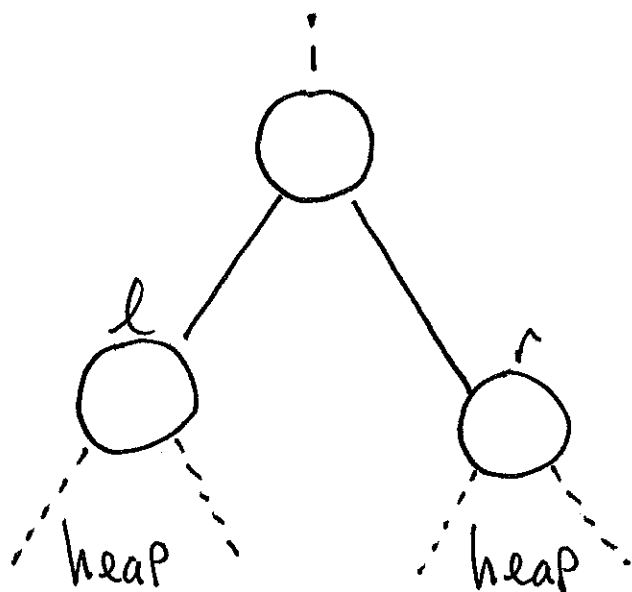
Operations on heaps:

- Heapify
- BuildHeap
- Heapsort
- P.Q. OPS:

6.2 Heapify (max)

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establishes heap property at one node



where $l = \text{left}(i)$, $r = \text{right}(i)$

Pre: left and right subtrees are valid
heaps

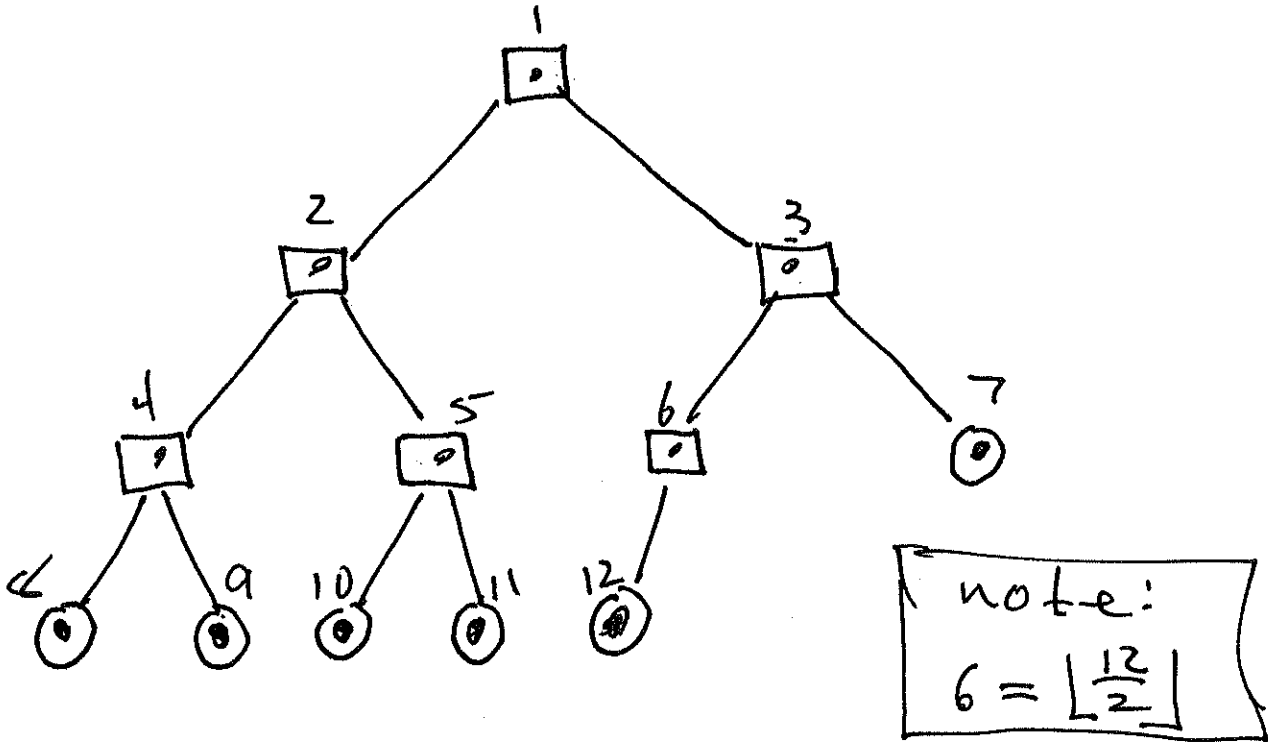
runtime = $\Theta(\log n)$

where $n = \# \text{ nodes in subtree at } i$

6.3 BuildHeap

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Ex.



$A_1, A_2, \dots, A_6$ $A_7, \dots, A_{12}$
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internal nodes leaves

call Heapify on: 6, 5, 4, 3, 2, 1

runtime: $\Theta(n)$

6.4 Heapsort

III

Start with an unordered array A .

- Call $\text{Heapify}(A)$
- swap $A[1] \leftrightarrow A[\text{heapSize}]$
- decrement heapSize
- Call Heapify on root.

⋮

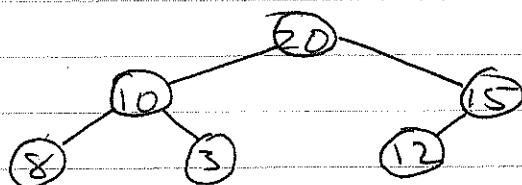
repeat

runtime: $\Theta(n \log n)$

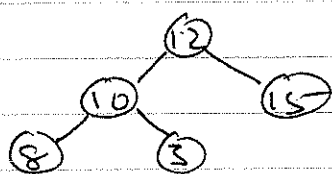
Start: 10 8 12 20 3 15

Ex. AFTER Build-Heap (A) :

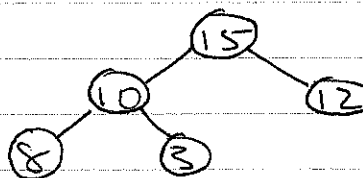
20 10 15 8 3 12 |



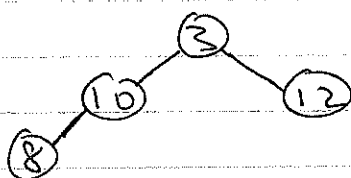
12 10 15 8 3 | 20



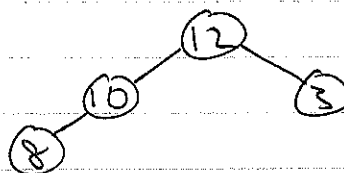
Heapify



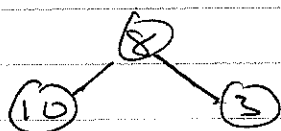
15 10 12 8 3 | 20
3 10 12 8 | 15 20



Heapify



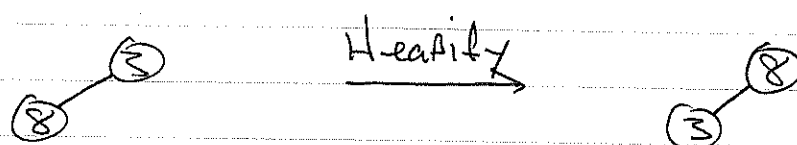
12 10 3 8 | 15 20
8 10 3 | 12 15 20



Heapify



10 8 3 | 12 15 20
3 8 | 10 12 15 20



8	3		10	12	15	20
3		8	10	12	15	20

Run time

THE CALL TO BUILD-HEAP TAKES $\Theta(n)$ TIME IN WORST CASE. EACH OF THE $n-1$ CALLS TO HEAPIFY TAKES $\Theta(\lg n)$ TIME IN WORST CASE.

THEREFORE THE WORST CASE RUN TIME OF HEAPSORT IS

$$T(n) = \Theta(n) + (n-1)\Theta(\lg n) = \Theta(n \lg n).$$

NOTE THIS IS AS GOOD AS MERGESORT (ASYMPTOTICALLY SPEAKING), BUT HEAPSORT SORTS IN-PLACE, WHILE MERGESORT REQUIRES EXTRA MEMORY.

6.5 Priority Queue

- $\text{HeapMaximum}(A)$

cost: $\Theta(1)$

- $\text{HeapDeleteMax}(A)$

cost: $\Theta(\log n)$

- $\text{HeapExtractMax}(A)$

cost: $\Theta(\log n)$

- $\text{HeapIncreaseKey}(A, i, K)$

cost: $\Theta(\log n)$