Kinetic limitations on droplet formation in clouds

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The ‘indirect’ radiative cooling of climate due to the role of anthropogenic aerosols in cloud droplet formation processes (which affect cloud albedo) is potentially large, up to ~1.5 W m⁻² (ref. 1). It is important to be able to determine the number concentration of cloud droplets to within a few per cent, as radiative forcing as a result of clouds is very sensitive to changes in this quantity², but empirical approaches are problematic³. The initial growth of a subset of particles known as cloud condensation nuclei and their subsequent ‘activation’ to form droplets are generally calculated with the assumption that cloud droplet activation occurs as an equilibrium process described by classical Köhler theory⁴. Here we show that this assumption can be invalid under certain realistic conditions. We conclude that the poor empirical correlation between cloud droplet and cloud condensation nuclei concentrations is partly a result of kinetically limited growth before droplet activation occurs. Ignoring these considerations in calculations of total cloud radiative forcing based on cloud condensation nuclei concentrations could lead to errors that are of the same order of magnitude as the total anthropogenic greenhouse-gas radiative forcing¹.

Cloud droplet activation and subsequent treatments of cloud droplet growth in atmospheric models generally rely on the assumption that pre-activation growth is accurately described by an equilibrium model in which the particle diameter is always at equilibrium with the local supersaturation⁶. The equilibrium relationship between supersaturation and particle size for a particle composed of highly soluble inorganic species can be described by the well-known Köhler equation (curve A, Fig. 1). Cloud droplet nuclei (CDN) activate when they grow larger than their critical diameter, Dᶜ, after which they can grow spontaneously, limited only by growth kinetics. The concept of CDN is distinct from that of CCN in that, whereas CCN are defined as those particles that activate to become cloud droplets within a cloud chamber of fixed or prescribed supersaturation, CDN are those particles that actually activate in the atmosphere under conditions of time-varying supersaturation.

To evaluate the conditions under which the equilibrium activation model is valid, two timescales will be defined. One is the timescale for particle growth that would be required for that particle to remain at its equilibrium size, tₑ. Hence, if tₑ is smaller than the timescale for activation, tᵃ, then the equilibrium activation model is reasonable; otherwise, CDN activation, and hence the cloud droplet number concentration, can be accurately predicted only if the kinetics of droplet growth are considered. To calculate tₑ, the rate of change of the droplet diameter that would be required for that droplet to remain at its equilibrium size, Dᶜ/dt, is determined from the combination of two effects. First, the time rate of change of supersaturation, dS/dt, can be determined using a simple onedimensional adiabatic parcel model⁻⁷. Next, the rate of change of Dᶜ with respect to supersaturation, dDᶜ/dS, is determined by differentiating


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the Köhler relationship. Combining these two expressions yields \[dD_p/ dt = (dD_p^c/ dS)(dS/ dt)\], after which \(\tau_e\) can be determined from \(\tau_e = D_{eq}(dD_p^c/ dt)\). Similarly, the time rate of change of the particle diameter resulting from condensational growth, \(dD_p/ dt\), can be computed using established expressions of the form \(\tau = D_{eq}(dD_p^c/ dt)\), after which \(\tau_e\) can be determined from \(\tau_e = D_{eq}(dD_p^c/ dt)\).

Figure 2 shows the two timescales as a function of particle critical supersaturation \(S_c\). The base-case parameters given in the figure were estimated for typical marine stratiform clouds, which are climatically the most important cloud type. The most notable feature of Fig. 2 is that for \(S_c < 0.042\%\), \(\tau_e > \tau_c\); that is, these pre-activated droplets do not grow sufficiently quickly to follow the changes in the equilibrium diameter, implying that for particles with \(S_c < 0.042\%\) (for example, pure NH\(_4\)HSO\(_4\) particles of size >0.23 \(\mu\)m dry diameter), condensational growth kinetics are important. The fraction of CCN in this regime can be estimated using the common empirical parameterization of the cumulative CCN spectrum, \(N = CS^8\), where \(N\) is the CCN number concentration, \(S\) is the supersaturation, and \(C\) and \(k\) are empirically determined parameters. If the peak supersaturation achieved in an air parcel is \(S^\text{base}\), the fraction of CCN for which growth kinetics are important is \((S_c/S^\text{base})^k\), where \(S^\text{base}\) is the value of \(S\) at which \(\tau_e = \tau_c\). When this fraction is significant, accurately predicting cloud droplet size distributions requires a kinetic description of CNP pre-activation growth. Assuming a value of \(S^\text{base}\) of 0.1%, this fraction is 0.76, 0.63 and 0.53 for \(k\) values of 0.3, 0.5, and 0.7, respectively, for the base case of Fig. 2. These exponents are representative of data from observations of marine stratiform clouds.

The sensitivity of \(S_c^*\) to temperature, pressure, droplet diameter, updraft velocity, and thermal and mass accommodation coefficients (these coefficients represent the fractions of gas–particle collisions that result in actual transfer) is presented in Fig. 3. The base case is seen actually to be a conservative estimate of \(S_c^*\), as almost all the values in Fig. 3 lie above \(S_c^* = 0.042\%\). Changes in pressure and temperature do not greatly affect \(S_c^*\). Changes in the updraft velocity are more significant, as \(dS/ dt\) is directly proportional to this quantity. \(S_c^*\) is sensitive to changes in the accommodation coefficients only if \(\alpha_c\) or \(\alpha_m\) is less than 0.1. Values for \(\alpha_m\) in the range of 0.03 to 1.0 have been reported. \(S_c^*\) is most sensitive to the particle diameter (increasing by more than a factor of 2 for a 50% change in diameter) primarily because \(\tau_e\) is directly proportional to \(D_p^c\). This implies that a particle that began in the equilibrium regime may, through condensational growth, cross over into the kinetic regime while it is still unactivated, indicating that the fraction of particles for which growth kinetics is important is even higher than estimated in the base case.

There is initial evidence that organic compounds make up a significant fraction of CCN mass and can alter a particle’s Köhler curve. The effect of organics on \(\tau_e\) and \(\tau_c\) can be divided into three distinct factors: (1) changes in the droplet surface tension; (2) gradual dissolution of solute due to limited solubility; and (3) changes in the mass accommodation coefficient.

The presence of water-soluble organics decreases in varying amounts the surface tension of these solutions. In the absence of any other perturbations, a decrease in the droplet surface tension \(\sigma\) by \(\Delta\sigma\) can be shown to decrease the critical diameter by a factor of \(\Delta\sigma/\sigma\), as illustrated by curves A and B in Fig. 1. One consequence of this decrease is to increase \(\tau_e\) because at a given value of \(S_c\), curve B is shifted to smaller sizes relative to curve A, which causes a decrease in \(dD_p/ dS\). Another consequence is that \(\tau_e\) decreases by roughly 2\(\Delta\sigma/\sigma\) because \(\tau_e\) is directly proportional to \(D_p^c\). The combination of these effects shifts \(S_c^*\) to smaller values. Using the base-case parameters, for \(\Delta\sigma/\sigma = 15\%\), \(\tau_e\) decreases by about 15%, \(\tau_c\) decreases by 28%, and \(S_c^*\) decreases by 11%. As a result, the fraction of particles in the kinetic regime decreases by 6%.

If the solubility limit of the organic fraction in a droplet is reached, then the amount of solute within that droplet increases as the droplet grows in order to maintain saturated conditions. Qualitatively, the gradual dissolution of organics broadens the Köhler curve as illustrated in curve C, Fig. 1. In some cases, local maxima and minima can arise in the Köhler curve when organic components fully dissolve. The overall effect of gradual dissolution on both time constants seems to be small compared with surface tension effects for realistic conditions. We do not consider here a possibly related influence, the kinetics of dissolution.

It has already been shown that \(S_c^*\) changes rapidly with changes in the condensation coefficient \(\alpha_c\) for \(\alpha_c \lesssim 0.1\) as a result of changes in \(\tau_c\). Many organic compounds can be present at the solution/air interface in quantities in excess of the bulk average as a result of their partially hydrophobic nature. Organics can change the evaporative

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**Figure 1** Köhler curves for three different particles. All curves are for \(S_c = 0.071\%\). For curve A, \(\sigma = \sigma_{\text{surf}}\), and the solute is infinitely soluble. For curve B, \(\sigma = \sigma_{\text{surf}}\), but the solute is still infinitely soluble. Curve C is as curve B, except the organic solubility is assumed to be 0.02M, \(D_{\text{eq}}\) changes from 1.97 \(\mu\)m in curve A to 1.67 \(\mu\)m in curves B and C because of the surface tension decrease. Curves B and C join together when the organic fraction of curve C fully dissolves.

**Figure 2** Base-case comparison of the equilibrium \(\tau_e\) and droplet growth \(\tau_c\) timescales as a function of critical supersaturation. Base-case parameters are temperature \(T = 280\) K, pressure \(p = 900\) mbar, updraft velocity \(u = 20\) cm s\(^{-1}\), and mass \((\alpha_m)\) and thermal \((\alpha_t)\) accommodation coefficients equal to unity. To calculate the timescales, a diameter must be specified; the equilibrium diameter at 100% relative humidity was chosen for the base case. It can be seen that \(S_c^*\) is a good indicator of the transition from kinetic to equilibrium regimes because the ratio \(\tau_c/\tau_e\) is a strong function of critical supersaturation. Note that there is an inverse relationship between \(S_c^*\) and particle diameter. For example, an ammonium bisulphate particle with \(S_c = 0.01\%\) has a dry diameter of 0.6 \(\mu\)m, and for \(S_c = 0.2\%\), dry particle diameter is 0.09 \(\mu\)m.
properties of bulk water even in minute quantities\textsuperscript{14}. Therefore, it seems plausible at least that organics could cause substantial changes in $\alpha_c$, and therefore $S_C$, although no attempt at quantifying such an effect will be made here.

There do not seem to be any observations of CDN and cloud droplets where the full set of possible controlling variables has been measured. It may not in fact be possible at present to make the necessary measurements \textit{in situ} that could support or negate the above model calculations. However, there is evidence that cloud droplet growth can be delayed in cloud chambers\textsuperscript{15}. The effect has been attributed to the presence of an organic coating\textsuperscript{16}, but kinetic variations of activation could also explain these observations.

Neglecting kinetic limitations on pre-activation droplet growth has direct consequences for models of cloud droplet populations, and therefore cloud radiative climate forcing. First, models that use an equilibrium assumption such as $N = CS^c$ must overestimate the droplet number concentration that actually would form, because kinetically limited growth means that some of those droplets fail to activate. To estimate the error in cloud droplet concentration and hence the magnitude by which the equilibrium activation model overestimates cloud radiative forcing, we compare results from the approximation $N = CS^c$ with those from a one-dimensional adiabatic cloud model that includes explicit pre-activation growth kinetics\textsuperscript{8}. Using the above base case, and for $k = 0.3, 0.5$ and $0.7$, the equilibrium model estimates CDN concentrations ([CDN]) to be 69%, 29% and 14%, higher respectively, than the cloud model. These differences in [CDN] can be translated into estimates of the overprediction of globally averaged cloud forcing using a previously published relationship between global-mean cloud radiative forcing and [CDN] changes\textsuperscript{8}. On the basis of this calculation, the equilibrium activation model overestimates the magnitude of the total (natural plus anthropogenic) cloud radiative forcing by $3.6, 1.8$ and $0.9\text{ Wm}^{-2}$, respectively, which are significant compared with the total estimated greenhouse forcing\textsuperscript{1} of $2.4\text{ Wm}^{-2}$. Although these numbers are approximate, it is clear from their magnitude that climate models must consider activation kinetics in order to accurately predict the radiative climate forcing by clouds.

Another consequence of kinetically limited activation is that CCN chambers that have residence times that differ from typical growth times found in real clouds will incorrectly measure the CDN concentration. Figure 2 shows typical activation timescales between a fraction of a second and hundreds of seconds, whereas cloud chambers tend to have residence times of a few seconds to a few tens of seconds. The measured CDN concentration will be either too small or too large, depending on whether the CCN instrument had a time constant that was shorter or longer than that in the actual cloud. In either case, the data will not be appropriate for use with climate models unless the discrepancy is considered. CDN measurements under conditions that mimic cloud conditions would yield data more useful for calculations of cloud radiative forcing.

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**Vanishing atomic migration barrier in SiO$_2$**

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Understanding the high-pressure behaviour of SiO$_2$, a prototypical network-forming material, is important for resolving many problems in the Earth sciences. For pressures of 1–3 GPa (~1–3 x 10$^4$ atm), it has been shown that increases in pressure result in higher rate constants for atomic transport processes such as diffusion, viscous flow and crystal growth in SiO$_2$ as well as in some silicate melts\textsuperscript{1–5}. Structural transitions and coordination changes observed beyond 10 GPa (refs 5–9) may also be related to this pressure-induced increase in atomic mobility. There must be limits, however, on the extent to which pressure can enhance mobility, as a migration barrier decreasing linearly with pressure should vanish at a critical pressure, beyond which a sudden change in behaviour should be observed\textsuperscript{6,11}. Here we report measurements of the pressure dependence of the growth rate of quartz from amorphous SiO$_2$ for pressures up to 6 GPa. We observe a sharp peak in growth rate—implying a minimum in viscosity—at 3 GPa, which we interpret as evidence that the critical pressure is being traversed. The corresponding depth below the Earth’s surface at which this peak occurs (~100 km)