Incremental computation of scalar implicatures in the Maze task John Duff, Pranav Anand, and Amanda Rysling UC Santa Cruz Linguistics

XPrag X @ Paris * 21 September 2023 jduff@ucsc.edu 1

Incremental uncertainty about meaning

Decisions are made quickly, sensitive to heuristics and context, and costly to revise.

(context prompts early generation of non-default meaning)

The farm owners discussed the cotton.



(incremental meaning decisions are revised at cost)

The farm owners discussed the cotton.





(self-paced reading; Foraker & Murphy, 2012)





Fitting pragmatic meaning into the picture

(context prompts early generation of enriched meaning)

Some of the executives were fired.



(incremental enrichments are revised... at cost?)

Some of the executives were fired.

 $\exists \land \neg \forall \blacktriangleright$



In fact, they all were.





In this talk: The waters are murky!

Review existing work on implicature generation and consequences.

Attempt to extend existing findings in SPR experiment. Some downstream effects of implicatures do not replicate.

Compare performance in a Maze task. Patterns somewhat more organized, but context-insensitive. Deeper implicature consideration facilitated cancellation.

Weak evidence for context-specific generation and cancellation.



Roadmap

- 1. Introduction
- 2. Existing work
- 3. Materials
- 4. E1: Self-paced reading
- 5. E2: A-Maze reading
- 6. Discussion and conclusions





The costs and consequences of implicature

- Implicature generation is costly. •
 - Slowdowns in reading at triggers. (Breheny et al. 2006, Bergen & Grodner 2012)
 - Slowdowns in picture verification. (Bott & Noveck 2004, Bott et al. 2012)
 - Generation less likely under other cognitive load.

- Implicature generation has consequences.
 - In supportive contexts, implicature consistent continuations are read faster. (Breheny et al. 2006, Bergen & Grodner 2012)

(De Neys & Schaeken 2007, Marty & Chemla 2013)









For MPL and not **ENTAIL**, in RTs at and after some, KNOW > NEUT.

For MPL and not **ENTAL**, in RTs after subj, NEUT > KNOW.

NO EVIDENCE OF REANALYSIS

LATER EVIDENCE

OF GENERATION

GENERATION

COST







KNOW + IMPL + CANCEL

I carefully inspected... Some were fake...



Sor	n	е

	_	1	

NEUT + IMPL + CANCEL

I helped unload...









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Materials





Factivity and scalar implicature: Assumptions



She realized that some were fired...



Spk: ∃ ∧ ~∀

She realized that some were fired...







Norming

How likely is it that at least one marketing executive kept their job?



I {wrote an article, heard a bit} ... Some of the executives were fired.

Petra {wrote an article, heard a bit} ... She realized that some of the executives were fired.







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Materials



E1: Self-paced reading

Response Latencies in S2 Regions





n = 80 on Prolific 40 critical items + 70 fillers linear m.-e. model fit in brms to log RTs, uninf. priors

Response Latencies in S3 Regions

Prediction: GENERATION COST

Interaction (special cost for Know + Implic)

Results:

- Unexpected trend of Entail > Implic e.g. at some of, (-0.21, 0.09)95%
- Anecdotal evidence against predicted interaction (BF_{10} ranges from 0.49 to 0.21)

Context Type

(BF₁₀ generated using bayes_factor and a secondary model with priors informed by the results of Bergen & Grodner, 2012)





E1: Self-paced reading

Response Latencies in S2 Regions

Prediction: EVIDENCE OF GENERATION

Interaction (facilitation for Know + Implic)

Results:

- Entail > Implic again trending
- Moderate evidence against predicted interaction (BF_{10} ranges from 0.26 to 0.05)





n = 80 on Prolific 40 critical items + 70 fillers linear m.-e. model fit in brms to log RTs, uninf. priors

Response Latencies in S3 Regions The rest suffered... 5.7 Entail -0.5 Begion 1.5 RTs Per-Word Log 5.6 Avg. Know Neut Know Neut Context Type



Implic



E1: Self-paced reading

Response Latencies in S2 Regions

Prediction: COSTLY CANCELLATION

Interaction (special cost for Know + Implic)

Results:

- Entail > Implic credible, $(-0.13, 0.03)_{95\%}$
- Anecdotal evidence for predicted interaction at *in fact* ($BF_{10} = 1.25$)
 - $P(\delta_{\text{Context} | \text{Implic}} < 0) = 0.85$





RTs

n = 80 on Prolific 40 critical items + 70 fillers linear m.-e. model fit in brms to log RTs, uninf. priors

Response Latencies in S3 Regions The rest suffered... 5.7 in Region 5.5









E1: Within-trial correlations

S2 and S3 RTs in Implicature Conditions



S3 Type Affirm Cancel Obvious positive relationship (slower trials are slower consistently).

Step 1: Fit S3 ~ S2 model on a control condition (affirmed Entailments).

Step 2: Generate predictions for S3 in critical conditions and calculate residuals.









E1: Within-trial correlations



S3 Type Affirm Cancel *Predictions*: More time in S2 \rightarrow less time in affirmative S3 \rightarrow more time in cancellation S3

Result: Slower S2s yield slower S3s regardless of condition.

> S2: (0.15, 0.25)95% **S2 X S3Type:** (-0.02, 0.04)_{95%}

Fails to replicate Bergen & Grodner's key correlation.

Struggling with an implicature predicts continued difficulty?





E1: Discussion

GENERATION COST?

No concurrent evidence.* (*unless you subset to earlier trials)

EVIDENCE OF GENERATION?

No concurrent evidence for pre-activation of the complement set.

COSTLY CANCELLATION?

Some novel but weak evidence for cancellation costs. (*especially in earlier trials)









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The (A-) Maze task

The A-Maze task (Boyce et al. 2020):

localizes	brown	ten	may
potatoes	costs	but	hip

Duff et al. 2020/21:

- ightarrow

Forster, Guerrera & Elliot (2009), Boyce, Futrell & Levy (2020), Duff, Brasoveanu & Rysling (Posters @ AMLaP 2020, CUNY 2021)

introduce effects task riverbeds closest

Eager lexical commitments in the Maze: ambiguities are resolved earlier than normal.

• Is the Maze appropriate for more complex pragmatic comprehension?

Does the Maze also motivate earlier, firmer implicature generation?









Response Latencies in S2 Regions



n = 71 on Prolific 40 critical items + 70 fillers linear m.-e. model fit in brms to log RTs, uninf. priors

Response Latencies in S3 Regions

The rest

suffered...

Prediction: GENERATION COST
Interaction (special cost for Know + Implic)

Results:

- Trending main effect of Implic > Entail e.g. at some of, (-0.21, 0.09)_{95%}
- Moderate evidence against predicted interaction ($BF_{10} = 0.11$)
- But an ecdotal evidence **for** general difficulty for **Implic** ($BF_{10} = 2.07$)

Context Type



E2: A-Maze

Response Latencies in S2 Regions

Prediction: EVIDENCE OF GENERATION

Interaction (facilitation for Know + Implic)

Results:

- Moderate evidence against predicted interaction (*BF*₁₀ ranges from 0.17 to 0.16)
- But anecdotal evidence for general facilitation for **Entail** (*BF*₁₀ = 1.13, *the rest*)



n = 71 on Prolific 40 critical items + 70 fillers linear m.-e. model fit in brms to log RTs, uninf. priors

Response Latencies in S3 Regions





il C

E2: A-Maze

Response Latencies in S2 Regions

Prediction: COSTLY CANCELLATION

Interaction (special cost for Know + Implic)

Results:

- Very strong evidence against predicted interaction (*BF*₁₀ ranges from 0.003 to 0.03)
- But anecdotal evidence for general difficulty with **Entail** (*BF*₁₀ = 2.14, *they all...*)



n = 71 on Prolific 40 critical items + 70 fillers linear m.-e. model fit in brms to log RTs, uninf. priors

Response Latencies in S3 Regions





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E2: Within-trial correlations

S2 RTs and S3 Residual RTs in Implicature Conditions 1.5





Predictions: More time in S2 \rightarrow less time in affirmative S3 \rightarrow more time in cancellation S3

Result: Slower S2s yield faster S3s, even (especially?) for cancellation.

> S2: (-0.18, -0.06)95% **S2 X S3Type:** (-0.09, 0.02)_{95%}

Opposite results from SPR.

Lingering at an implicature trigger predicts general facility, even with later cancellation.





E2: Discussion

GENERATION COST?

General cost for implicatures vs. *only*, but no context effects.

EVIDENCE OF GENERATION?

Only may facilitate the complement set more than implicatures, no context effects.

COSTLY CANCELLATION?

CAREFUL IMPLICATURES CANCEL EASILY?

Slowdowns at some are associated with <u>ease</u>, not difficulty, of cancellation.



Contradictions of only yield larger slowdowns than implic. cancellation, no context effects.







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Task sensitivity in pragmatic processing

- Long experiments with many repetitions generate high power but can dampen desired effects.
- SPR studies are especially noisy and likely to cause fatigue or adaptation.
- The Maze can offer more precise measurement, but may encourage context-free processing.
 - Use with caution when investigating pragmatic sentence processing.



Insights into implicature generation

- Considering an implicature comes with a cost...
 - Compared to implicature-inconsistent contexts (in early SPR trials)
 - Compared to entailments (in Maze)
- The size of this cost on any given trial may have different explanations:
 - In SPR, costs seem to diagnose pragmatic difficulty that continues.
 - In the Maze, costs seem to diagnose pragmatic care and attention.



Insights into implicature cancellation

- Mixed evidence for costly cancellation.
 - Some weak evidence for predicted costs in SPR.
 - In the Maze data, no ability to prove cancellation costs.
 - If present, they are smaller than contradiction costs.
 - Unexpected negative correlation: cancellation may be facilitated by careful construction of the implicature.



Thanks!

Happy to share more information and answer questions about:

- Items, procedure and modeling details for these experiments
- Related SPR/Maze experiments on lexical and distributive ambiguities and online generation of causal discourse inferences





- Special thanks to RAs Sebastian Bissiri and Kasey La, plus Alexander Göbel and Matt Wagers for helpful discussion.





Appendix 1: Early time window for E1



E1: Results from first four exposures **Response Latencies in S2 Regions Response Latencies in S3 Regions** executives were the marketing some of 5.65-Results: 5.60- Anecdotal evidence for predicted interaction at some of $(BF_{10} = 1.51)$ • $P(\delta_{\text{Context} | \text{Implic}} < 0) = 0.90$ 5.55- 5.50^{-1} 5.45-5.5 Know Neut Neut Neut Know Neut Know Know Neut - Entail Context Type Context Type Implic









Appendix 2: Other sample items

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(1-KNOW) Albert carefully inspected the new shipment of jewelry. (1-NEUT) Albert helped unload the new shipment of jewelry. (2) He noticed that (only) some of the gold watches were fakes. (3-AFF) The rest were real, but the company is still planning to sue. (3-CAN) In fact, they all were, so the company is planning to sue.



(1-NEUT) At his client's request, Wilbur skimmed the investment report. (2) He noticed that (only) some of the real estate investments lost money. (3-AFF) The others were successful, in spite of the recent economic downturn. (3-CAN) In fact, they all did, because of the recent economic downturn.

- (1-KNOW) At his client's request, Wilbur meticulously compiled the investment report.



friendly soaps.

(2) He was glad that (only) some of the bottles were recyclable.

(3-AFF) The rest were compostable, but consumers didn't seem to care.

(3-CAN) In fact, they all were, but consumers didn't seem to care.

- (1-KNOW) Jonathan designed the packaging for the company's new environmentally-
- (1-NEUT) Jonathan read a profile of the company's new environmentally-friendly soaps.



Appendix 3: Bergen & Grodner (2012)













Full-Knowledge - Partial-Knowledge



Appendix 4: Polysemy in the Maze

(Duff, Brasoveanu & Rysling @ CUNY 2021)



Underspecification

Unfortunately, the newspaper was destroyed...

after it lost its advertising profits.



Claim: Full commitment to a particular meaning of a polyseme is delayed.

Why?

Utility: Because it's efficient when possible: prevents costly reanalysis. **Necessity:** Because the processor cannot resolve polysemes without

context.

What happens when underspecification wouldn't be useful?

Eye-tracking-while-reading: Frazier & Rayner (1990), Frisson & Pickering (1999), Pickering & Frisson (2001), Foraker & Murphy (2012), Brocher, Koenig, Mauner, & Foraker (2018)

Reportedly, the jam displeased Tom...

after it doubled his morning commute.













(Obviously, the referee had...) **Enter the Maze** DROPPED WELFARE (~40%) The A-Maze (Boyce et al. 2020) encourages eager interpretation. - Representing semantic context necessary to pick the correct target Underspecification is no longer useful.

utility-based won't If underspecification is see it in the Maze. then we will necessary

> Reanalysis costs for homonymy and polysemy. More reanalysis costs for homonymy.

> > Forster, Guerrera, & Elliot (2009), Boyce, Futrell, & Levy (2020)









64 Latin-squared items (32 POL, 32 HOM); 128 fillers; n = 24 UCSC + 24 Prolific E1: No underspecification in the Maze Unfortunately, after it was soaked with rain the newspaper was destroyed. M1, EARLY Unfortunately, the **newspaper** was destroyed <u>after it was soaked with rain</u>. M1, LATE M2, EARLY Unfortunately, after it lost its advertising profits the **newspaper** was destroyed.

POSITION: LATE read faster, presumably due to cataphora in EARLY

M2, LATE

- POSITION X MEANING: Reduced for M2, apparent reanalysis costs
- No Pos x Pol/Hom (x M): no difference in reanalysis for Pol v. Hom
- Replicated in error rates (not shown): No Pol/Hom difference

No evidence for necessary underspecification in the Maze.

Log RTs residualized over position and length, summed, analyzed via LMER fit in STAN, fixed effects treatment-coded. Effects reported if 95% credible interval excludes 0.

Unfortunately, the **newspaper** was destroyed <u>after it lost its advertising profits</u>.









SPR replication to ensure the Maze results are due to the task.

- POSITION: LATE read faster, again due to cataphora in EARLY
- POSITION X POL/HOM: Crossover for HOM, extra reanalysis costs
- E1 results can be attributed to a Maze-specific task effect.

Log RTs residualized over position and length, summed, analyzed via LMER fit in STAN, fixed effects treatment-coded. Effects reported if 95% credible interval excludes 0.

Unfortunately, after it was soaked with rain the newspaper was destroyed. Unfortunately, the **newspaper** was destroyed <u>after it was soaked with rain</u>. Unfortunately, after it lost its advertising profits the **newspaper** was destroyed. Unfortunately, the **newspaper** was destroyed <u>after it lost its advertising profits</u>.







Upshots

- Underspecification effects in polysemy are mediated by task demands. Underspecification is optional and apparently strategic. **Open questions remain: what makes it possible?**

The Maze task modulates strategies of incremental interpretation.

> Shouldn't be used as a 1:1 replacement for eyetracking or SPR.

BUT: a powerful tool for clarifying the source of behavior.*



* e.g. Sloggett, Van Handel, Sasaki, Duff, Rich, Orth, Anand, & Rysling (2020 CUNY Poster)







Appendix 5: Causal inferences in the Maze (Duff, Anand & Rysling @ AMLaP 2023)



Sally lives in a small city, where recently there candidates, and she had to decide among them			
Knowledgeable	She spent some tin candidates before n		
Ignorant	She didn't have any before mailing in he		
S1	In the end, she vote		
S2	He has the most pr		
S 3	He's from a very so		
S4	She voted for him b		

e was a citywide election for a new mayor with several on her mail-in ballot.

me reading everything she could about the nailing in her ballot.

v time to read anything about the candidates er ballot.

ed for Pat Mirabella.

rogressive platform in the race.

ocio-economically diverse area...

because his name was first on the ballot.









