



# Ellipsis, Minimality, and Movement in Bahasa Mandar

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/

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# Introduction



## Dan

- I'm a Ph.D student in linguistics at the University of California, Santa Cruz
- I work with syntax and phonology, in the paradigm of generative linguistics
- My research is focused on a regional language of Sulawesi: Bahasa Mandar
- Since 2019: working with Jupri Talib

## UC Santa Cruz



# Bahasa Mandarin



# The Generative Program

- ▷ My work contributes to **generative linguistic theory**.
- ▷ Generative linguistics is a program that aims to:
  - find abstract similarities between languages,
  - build a theory to describe those similarities,
  - and try to explain **why** we find them.
    - Why do languages do specific things?
    - What is the nature of our linguistic competence?

# The Generative Program

- ▷ There are two goals within this enterprise:
  - Descriptive Adequacy:
    - The theory must capture patterns in language
    - ... and capture differences between languages
  - Explanatory Adequacy:
    - The theory must explain why these patterns exist
    - ... and make predictions about what cannot exist.

# The Generative Program

- ▷ Occasionally, there is tension between these goals:
  - The need for Explanation:
    - can lead to theories which are English-specific
    - ... and make it harder to analyze other languages
  - The need for Description:
    - can lead to theories which are not very deep
    - ... and do not allow us to make predictions
  - Our objective: to meet both goals + enrich the theory.

# Today's Project

- ▷ The goal of my talk today: to use generative theory to understand a phonological pattern in Bahasa Mandar.
- ▷ The pattern involves alternations in phonological size:
  - There are demonstratives that are one syllable long.
  - Under some conditions, they stay small
  - Under others, they are forced to expand

# Today's Project

- ▷ The normal demonstrative:

(1) bengang a'      **do**      buku      o  
give      me      that      book      there

- ▷ If the demonstrative is alone: sometimes it expands

(2) bengangi      **di'o**      \_\_\_\_\_      guru      o  
give      that      \_\_\_\_\_      teacher      there  
'Give that to the teacher.'

- ▷ ... but under other circumstances, it does not.

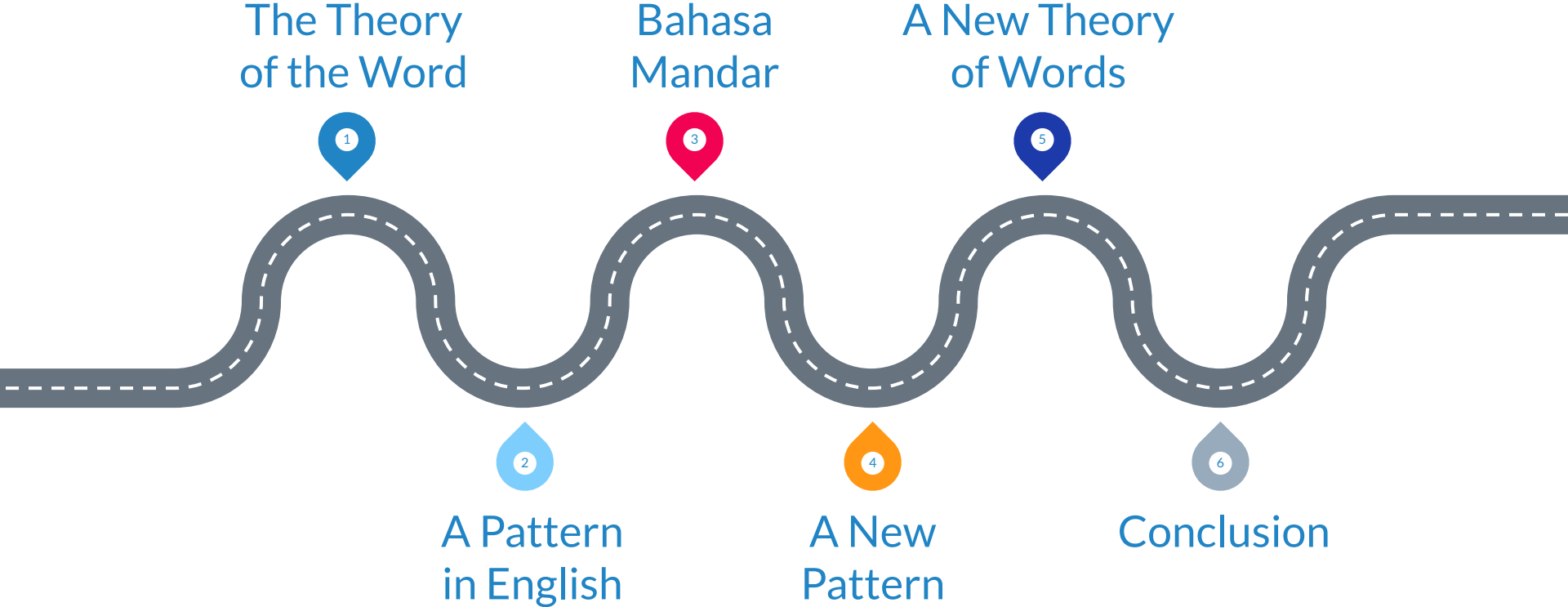
(3) bengangi      **do**      \_\_\_\_\_      guru-mu      o  
give      that      \_\_\_\_\_      teacher-your      there



# Today's Project

- ▷ This humble pattern is similar to something in English.
- ▷ This similarity will lead us to a cross-linguistic theory
  - ... which explains when demonstratives can be small
  - ... and when they will be forced to expand.
- ▷ Our investigation will lead us to questions of depth:
  - on the nature of word-building,
  - on the interaction of syntax and phonology,
  - and ultimately: on the status of movement.

# Roadmap



1.

# Background

The Theory of Word Formation

# The Concept of Modularity

- ▷ Our investigation begins with the theory of sentences.
- ▷ When we produce a sentence, it contains three parts:
  - Words (lexical items, like nouns and verbs)
  - Syntax (an order and organization of words)
  - Phonology (a rhythm and pronunciation)

# The Concept of Modularity

- ▷ Generative theory: our internal knowledge of language contains different components that host these things.
- ▷ There is a **LEXICON**, which contains lexical items (roots, like “dog” and “play”)
- ▷ There is a **SYNTAX**, which organizes lexical items into phrases and then sentences, and
- ▷ There is a **PHONOLOGY**, which places rhythm on the sentence and prepares its pronunciation.

# The Concept of Modularity

- ▷ Generative Theory: every sentence is built in stages
  - **Numeration:** we select lexical items. (Chomsky 2000)
  - **Syntax:** we place the lexical items into a structure, one by one, gradually building clauses. (Chomsky 2000)
  - **Phonology:** we then figure out the pronunciation of the whole sentence all at once. (Prince & Smolensky 1993)

# The Concept of Modularity

- ▷ The Derivational Hypothesis (Chomsky 1995)
  - The three stages are strictly ordered
    - Once we select roots, we move to syntax
    - Once the syntax is finished, we move to phonology
  - There is no moving backwards.



# The Theory of Late Insertion

- ▷ The Derivational Hypothesis raises a question of **Timing**.
- ▷ The syntax is responsible for operations like movement.

(4) You bought what?

(5)  What did you buy \_\_\_?

(Movement: Chomsky 1965; Ross 1967; McCloskey 2001)



# The Theory of Late Insertion

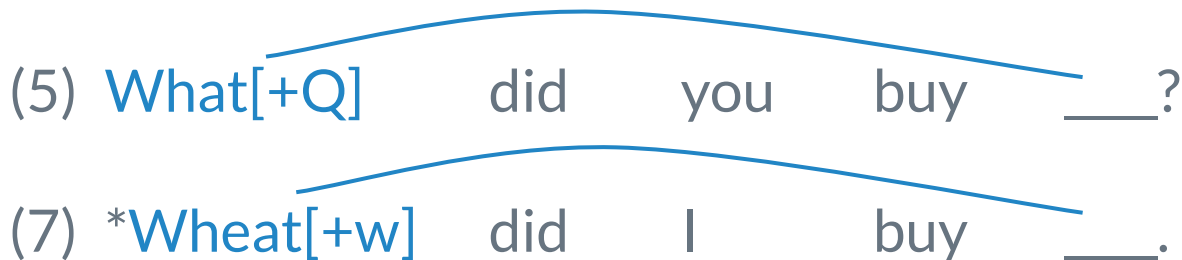
- ▷ Movement is only sensitive to syntactic information.
  - The nouns that move in English are a syntactic class: they are all question words, like “who, how, why”
  - We can sketch the rule like this:

## (6) Question-Displacement Rule

“Syntactic elements that bear the feature [+Question] must move to the left edge of the clause.” (cf. Chomsky 2000)

# The Theory of Late Insertion

- ▷ Syntactic movement rules are not sensitive to phonology.
  - They may refer to syntactic features like [+Question]
  - But they cannot refer to properties like “starts with “w””



- ▷ The Principle of Phonology-Free Syntax  
(Zwicky & Pullum 1986)

# The Theory of Late Insertion

- ▷ The Principle of Phonology-Free Syntax can be explained through the Derivational Hypothesis in terms of **timing**.
  - Syntax precedes phonology.
  - In the syntax, phonological information is absent.
    - When the syntax looks at “what”, it just sees [+Q]
    - It does not know anything about pronunciation.

# The Theory of Late Insertion

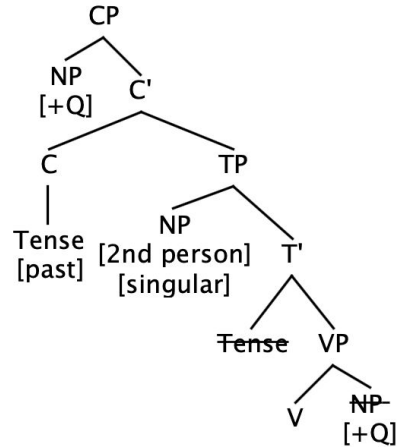
- ▷ The hypothesis: phonological information only appears after the syntax is complete, right here.



- ▷ This is known as the Theory of Late Insertion.  
(Bonet 1991; Halle & Marantz 1993)

# The Theory of Late Insertion

- ▷ Late Insertion → words receive phonological content after syntax
- ▷ This means that the syntax doesn't contain phonological content. Terminals just have syntactic features, so for “what did you buy”:



# The Theory of Word Formation

- ▷ The Theory of Late Insertion raises a puzzle about the Word.
- ▷ Words are often internally complex: English verbs

(8) walk-**ed**, laugh-**ed**, explain-**ed**, request-**ed**  
V-T.past V-T.past V-T.past V-T.past

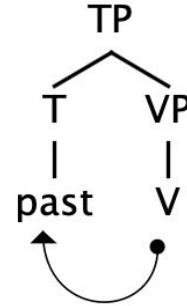
- ▷ These words must be assembled in the syntax, because their component parts can be separated (Halle & Marantz 1993)

(9) **Did** they walk?

(10) They **did** not walk.

# The Theory of Word Formation

- ▷ Usually, the syntax combines the verb with tense:
  - Through more movement;  
Chomsky 1956, 2001  
Harizanov & Gribabova 2018



- ▷ And then it sets up specific instructions for pronunciation:
  - “With this verb, T.past is pronounced as “-ed”.
  - The verb and T.past form a single phonological word  
(McCarthy & Prince 1993; Selkirk 1995; 2009)

# The Theory of Word Formation

- ▷ Within this system, the form of T.past raises a mystery.
- ▷ English past tense is expounded in many different ways:

(11) Vowel change:    run    ran    fall    fell

(12) Vowel change + t: sleep    slept    buy    bought

(13) No change at all: hit    hit    spit    spit



# The Theory of Word Formation

- ▷ These patterns suggest that [T.past] can have four shapes:
  - /-ed/
  - [Vowel change]
  - [Vowel change] + /-t/
  - /Ø/
- ▷ The alternation between these forms is called **Allomorphy**.
  - It is a matter of rich research in modern generative work (Bonet 1991, Embick 2010, Nevins 2011, Bobaljik 2012)

# The Theory of Word Formation

- ▷ Most cases of allomorphy are not sensitive to phonology.
  - The shape of tense does not depend on the shape of V.

(14) ride    slide    glide

(15) rode    slid    glided

- Rather: the choice of allomorph is generally arbitrary

(16) [T.past] → o / ride\_, → i / slide, → -ed / glide\_

# The Theory of Word Formation

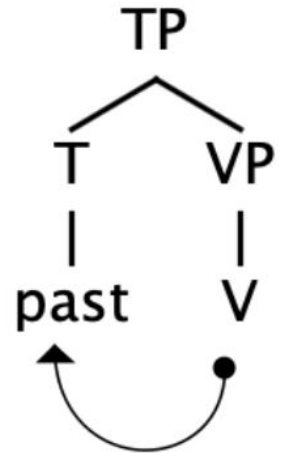
- ▶ The Derivational Hypothesis: this suggests a fact of timing.
  - Allomorph selection occurs before there is phonology.
  - The syntax sees a tree like this:
  - And forces one allomorph to appear.

(17) Realization Rules (Halle & Marantz 1993)

[T.past]  $\longleftrightarrow$  [-ed] / GLIDE

[T.past]  $\longleftrightarrow$  [-Ø] / RIDE

[RIDE ]  $\longleftrightarrow$  [rode ] / T.past



# The Phonology of Allomorphy

- ▷ This hypothesis: **allomorphy does not care about phonology.**
  - In every case where an element has multiple allomorphs,
  - The choice between the two
    - Cannot be sensitive to phonology
    - Cannot be driven by phonological constraints.
  - Rather: the syntax gives instructions that are sensitive to
    - Syntactic features (Tense.Past), and
    - The lexical identity of roots (Glide, Ride, Slide)

# The Phonology of Allomorphy

- ▷ Puzzle: there are exceptions to this pattern.

(18) **ber**-jalan      ber-usaha

(19) **be**-per**gian**      be-ker**ja**

(20) omah**h-e**      (rumah-nya; bahasa Jawa)

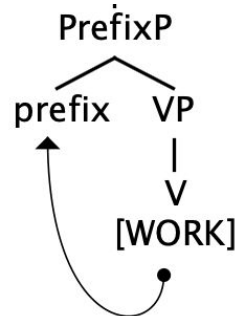
(21) kanca**a-ne**      (teman-nya; bahasa Jawa)

(22) kiring**-ang**      (kirim-kan; bahasa Mandar)

(23) baca**-ngang**      (baca-kan; bahasa Mandar)

# The Phonology of Allomorphy

- ▷ These exceptions suggest that the phonology can play a role.
- ▷ A phonological account:
  - The syntax attaches the affix “ber” to a verb.
  - It doesn’t say anything about its pronunciation.
  - The syntax of “bekerja” looks like this:



# The Phonology of Allomorphy

▷ These exceptions suggest that the phonology can play a role.

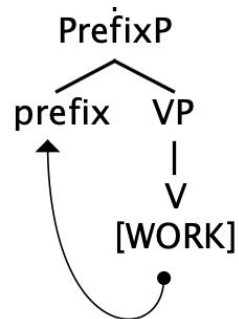
▷ A phonological account:

- The phonology looks at this and makes a choice.
- It sees two possible allomorphs for the prefix:

[**be**-kerja]

[**ber**-kerja]

- The phonology does not want two syllables in a row to end in “r”, so
- It forces the prefix to be pronounced **be-**.  
(Mester 1994, Mascaró 2007, Wolff 2009, Bennett 2018)



# The Phonology of Allomorphy

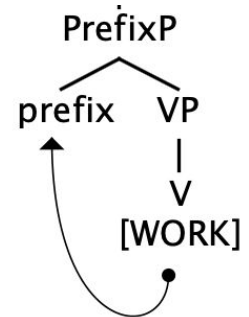
- ▶ However: there is a large literature that rejects this idea. (Paster 2006, Embick 2010; Bobaljik 2012; Gouskova & Bobaljik 2022)

- ▶ This literature says: it's about roots and features.

- From the same starting syntax...
- The root [WORK] contains an instruction:

(24) [ber] → [be] / \_\_-[WORK]

- The pattern of allomorphy does not refer to phonology.
- It is accidental and irrelevant that it avoids a sequence of coda r's.





# The Phonology of Allomorphy

- ▷ The debate between these two camps is serious and deep, and it touches on two central questions in the theory:
  - What is the role of phonology in word formation?
  - What is the division of labor between syntax and phonology?
- ▷ The goal of this talk: to work through this mystery.

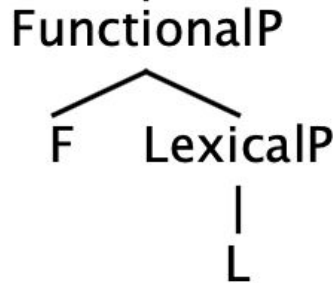
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# An English Effect

A New Kind of Allomorphy

# Functional Words

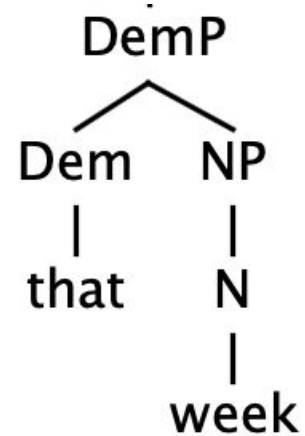
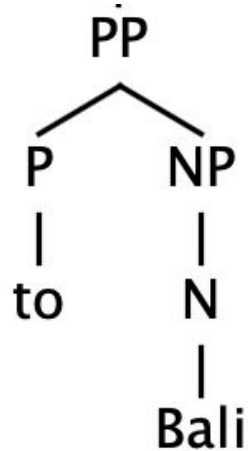
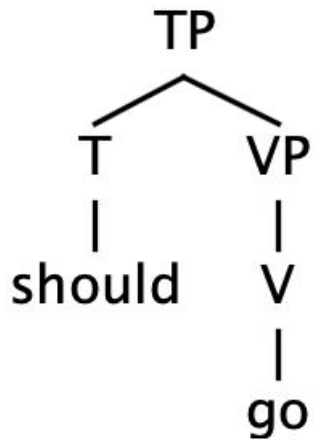
- ▷ We will contribute to this debate by looking into “Stranding.”  
(Ross 1967; Hornstein & Weinberg 1981; Merchant 2000 Anderson 2008)
- ▷ In English, there are many strings with this shape:



- “F” is a functional element: an auxiliary or preposition
- “L” is a lexical element: a verb or a noun

# Functional Words

- ▶ Some examples of Functional and Lexical Projections:



# Functional Words

- ▷ In English, functional heads are often phonologically small.
- ▷ Many prepositions are pronounced as a single letter.
- ▷ Many auxiliaries are also pronounced in that way.

(25)    you    **sh** travel            **t** Bali  
         NP    T    V                            P    NP

(26)    I            **c** take            **a** cup            **a** coffee  
         NP        T    V            D    NP            P    NP

# Functional Words

- ▷ 2 prepositions can be pronounced as single segments: t' (to) and a (of)
- ▷ Many more auxiliaries show the same effect:

Form	Example	Orthography
<b>sh'</b>	I <b>sh'</b> go	should
<b>c'</b>	<b>C'</b> I get some?	could
<b>d'</b>	<b>D'</b> you already go?	did
<b>d'</b>	<b>D'</b> you wanna?	do
<b>'d</b>	<b>I'd</b> go	would
<b>'ll</b>	<b>I'll</b> go	will
<b>'s</b>	<b>He's</b> here	is
<b>'s</b>	<b>He's</b> done it	has
<b>'ve</b>	<b>We've</b> done it	have
<b>'re</b>	<b>You're</b> leaving?	are
<b>'m</b>	<b>I'm</b> going to.	am

# Stranding

- ▷ Everything changes when we separate the sequence F-L.
- ▷ English has a process of “VP-ellipsis” which deletes the VP.
  - This construction has been extensively studied:  
(Hankamer 1978; Johnson 2001, 2009; Merchant 2013)
  - It also exists in Indonesian, with very similar properties (Fortin 2007)

(27) They would do it, and I would \_\_\_\_\_, too.  
**(do it)**

# Stranding

- ▷ VP-Ellipsis forces functional elements to appear on their own.
- ▷ In this context, many of them take different forms.

(28) [ T ...                                   $\forall$ VP , too ]  
They'd do it, and I **would** / \*I'd \_\_\_\_\_, too.  
They sh' do it, and I **should** / \*I sh' \_\_\_\_\_, too.  
They c' do it, and I **could** / \*I c' \_\_\_\_\_, too.  
They're here, and I **am** / \*I'm \_\_\_\_\_, too.



# Stranding

- ▶ This is allomorphy, not regular phonology.
  - There are no identical alternations with similar words

(29) I would buy wood from there.  
I'd buy wood from there  
\*I'd buy 'd from there

- ▶ These alternations are similar to those with the other T:

(30) [WOULD] → 'd / \_\_\_ verb  
→ would / stranded

# Stranding

- ▶ The same kind of pattern can be seen with prepositions.
  - English allows prepositions to be stranded by movement.
  - When this happens...

(31) Somebody get me a cup **a** coffee. [ P NP ]

(32) What do you want a cup **of** \_\_\_\_\_? [ P \_\_\_\_\_ ]  
\*What do you want a cup **a** \_\_\_\_\_?

# Stranding

- ▶ We can summarize the changes in this system as follows.

Regular	Stranded	Regular	Stranded
<b>sh'</b>	should	<b>'s</b>	is
<b>c'</b>	could	<b>'s</b>	has
<b>c'</b>	can	<b>'ve</b>	have
<b>d'</b>	did	<b>'re</b>	are
<b>d'</b>	do	<b>'m</b>	am
<b>'d</b>	would	<b>t'</b>	to
<b>'ll</b>	will	<b>a</b>	of

- ▶ The analysis of this pattern is a matter of contentious debate (Selkirk 1995, Johnson 2001; Anderson 2008; Ito & Mester 2019)

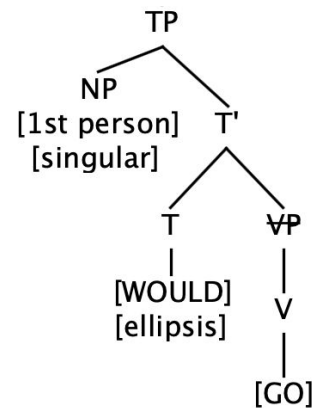
# Stranding

- ▶ Some researchers argue that this is a syntactic effect:
  - Movement is a syntactic operation, and ellipsis is, too.
  - When these operations occur, they require a syntactic mark on the stranded functional head. (Merchant 2001)

- ▶ Syntactic accounts:
  - Allomorphy depends on the presence of this mark.

(33) [WOULD] → would / [ellipsis]  
→ 'd elsewhere

(34) He won't go, but I would [+ellipsis].



# Stranding

- ▷ Nevertheless: there is a good case for phonology here.
- ▷ The alternation is sensitive to overt phonological content:
  - (even though that correlates with a syntactic feature)

(28) They'd do it, and I **would** / \*I'd \_\_, too.

(32) What do you want a cup **of** / \*a \_\_?

- ▷ And: the alternation has a consistent phonological shape.
  - Functional heads are always longer when alone.

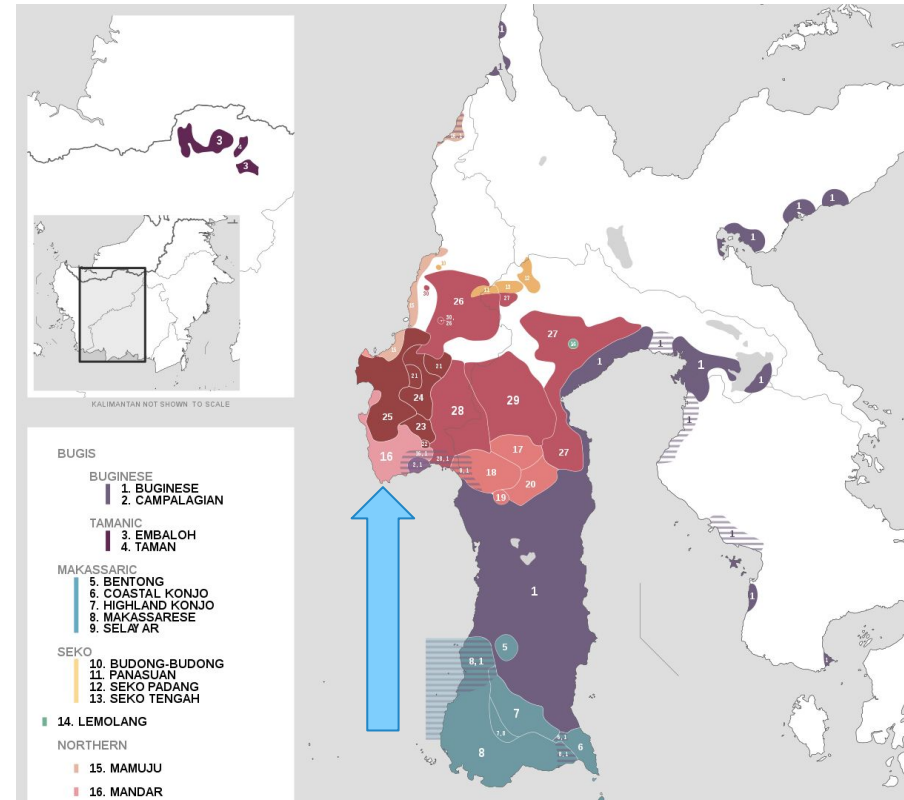
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# Bahasa Mandar

**A Similar System**

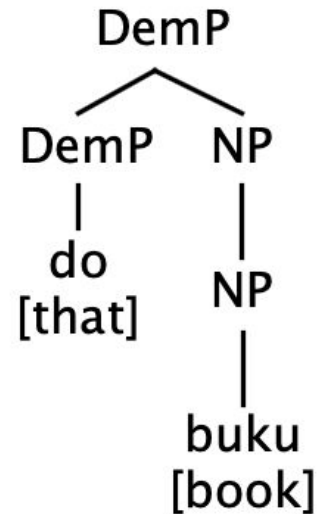
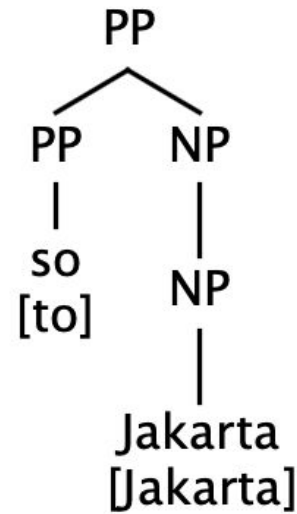
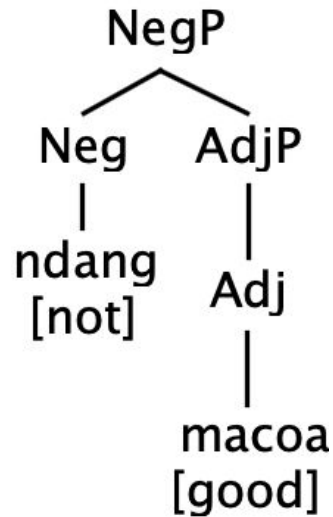
# Bahasa Mandar

- ▶ Bahasa Mandar is a regional language of West Sulawesi Province.
- ▶ Roughly 400.000 speakers
- ▶ Historical lingua franca
- ▶ Data on Bahasa Mandar: gathered with Jupri Talib.



# Bahasa Mandar

- ▶ Bahasa Mandar shows similar structures to English.





# Bahasa Mandar

- ▷ The functional elements in these structures are also small
- ▷ Before lexical items, they are usually one syllable long.

Auxiliary	Gloss	Preposition	Gloss	Demonstrative	Gloss
<b>ndang</b>	tidak	<b>de'</b>	ke atas	<b>de</b>	ini
<b>rwa</b>	pernah	<b>nong</b>	ke bawah	<b>do</b>	itu

# Bahasa Mandar

- ▶ Like English, Bahasa Mandar has a process of VP-ellipsis.

(35) Usanga dionging ndangi rwa napikkiri fonologi.  
Kupikir kemarin tidak pernah dia pikirkan fonologi

(36) Jari tiwikke a' apa' **rua i** [\_\_\_\_\_]  
Jadi terkejut aku karena pernah ia (memikirkan fonologi)

“I used to think he had never thought about phonology,  
So now I'm shocked, because he has.”

# Bahasa Mandar

- ▷ VP-Ellipsis forces a similar pattern of allomorphy:

When the negator is stranded, it gets longer.

(37) do ande **ndam** macoa o.  
that food not good there.  
“That food is not good.”

(38) mau macoai de ande e,  
Though good this food here  
do ande **andiang** \_\_\_\_\_ o.  
that food not there.

# Bahasa Mandar

- ▶ The same pattern can be seen with preposition-stranding.
  - It is possible to strand prepositions in Bahasa Mandar,
  - And stranded prepositions are forced to expand.

(39) Bemmei      **sung**      basket-mu,  
It fell          out          basket-your  
`It fell out of your basket.'

(40) Apa      nabemmei      **su'ung**      \_\_\_\_\_      ?  
What      it fell          out  
`What did it fall out **of** / \*a?'

# Bahasa Mandar

- ▷ The same pattern can be seen with demonstratives.
  - It is possible to strand demonstratives in the language,
  - And stranded demonstratives are forced to expand.

(41) Bengangi      **do**   buku      guru      o.  
give              that book      teacher      there.  
'Give that book to the teacher.'

(42) Bengangi      **di'o**      \_\_\_\_      guru      o.  
give              that \_\_\_\_      teacher      there.  
'Give that to the teacher.'

# Bahasa Mandar

- ▷ These alternations look just like the English pattern:
  - Functional elements are small before nouns/verbs
  - But when they are stranded, they are forced to expand.
- ▷ Some alternations involve regular phonology... (sung-su'ung)
- ▷ But others look like allomorphy.

Normal	Stranded	Gloss
<b>ndang</b>	<b>andiang</b>	not
<b>de</b>	<b>di'e</b>	this
<b>do</b>	<b>di'o</b>	that

# The Case for Phonology

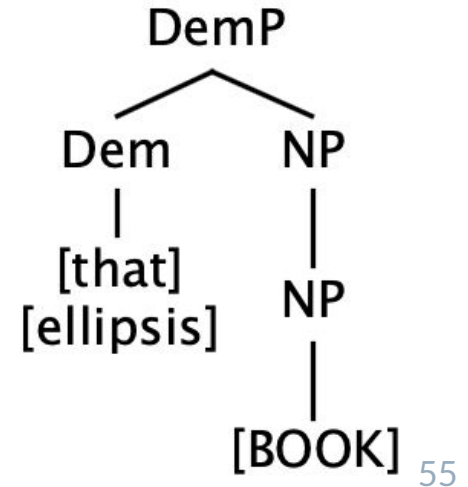
- ▷ It seems possible to analyze this pattern in the syntax:
  - “The stranding operations require syntactic features.”
  - “The allomorphy is conditioned by that.”

▷ Concretely:

(41) Bengangi **do** buku guru o.  
 give that book teacher there.

(42) Bengangi **di'o** \_\_\_\_\_ guru o.  
 give that \_\_\_\_\_ teacher there.

(43) [that] → di'o / [ellipsis]  
 → do elsewhere



# The Case for Phonology

- ▷ But when we look further, we will find that a classical syntactic account is impossible.
- ▷ Our case will involve two separate arguments:
  - The allomorphic alternations in Mandar form part of a larger system within the phonology, so they must be localized to that system.
  - The alternations refer to information that is absent in the syntax, so there is no way to construct a syntactic account of the pattern.
- ▷ The result: this must be phonologically-driven allomorphy.



4.

# A Conspiracy

Classical Evidence for Phonology

# The Phonological Phrase

- ▷ Our starting point is an observation on phrasal phonology.
  - Sentences are organized into phonological constituent structures (Selkirk 1984; Nespor & Vogel 1986; Kubozono 1989, Elfner 2015)
  - Syntactic XPs usually form phonological phrases ( $\varphi$ s; Selkirk 2009)

(44)      They      bought      rice  
          [TP      [VP      ]  
          ( $\varphi$       ( $\varphi$       ))

# The Phonological Phrase

- ▷ Our starting point is an observation on phrasal phonology.
- ▷ Functional elements form phonological phrases with the nouns and verbs that follow them. (Selkirk 1995, Hall 1997)
  - They're not single words, since they can be separated
  - But they're clearly phonological units.

(44) All a those people                    might appeal                    to the president  
       [NP    ] [T'    ] [PP    ]  
       (φ    ) (φ    ) (φ    ))

# The Phonological Phrase

- ▷ This same pattern can be seen in Mandar.
  - Functional elements form phonological phrases with following Ns/Vs.
  - The right edge of the phonological phrase can also be seen in a tone.

(45) Bengangi<sup>H</sup> do buku<sup>H</sup> lo guru-mu<sup>H</sup> o<sup>H</sup>.  
give that book to teacher-your there.  
[DemP ] [PP ]  
(ϕ ) (ϕ )

# The Phonological Phrase

- ▷ The stranding pattern is connected to this tone.
  - The sequence “dem + noun” carries the tone at its edge. This tone persists when the demonstrative is stranded.
  
- ▷ (41) Bengangi<sup>H</sup> **do** buku<sup>H</sup> guru<sup>H</sup> o<sup>H</sup>.  
give that book teacher there.  
(φ            ) (φ            )
  
- (42) Bengangi **di'o**<sup>H</sup> \_\_\_\_\_ guru<sup>H</sup> o.  
give that \_\_\_\_\_ teacher there.  
(φ            ) (φ            )

# The Phonological Phrase

- ▷ The same tone persists when other elements are stranded.

(39) Bemmei **sung** basket-mu<sup>H</sup>.  
It fell out basket-your  
'It fell out of your basket.'  
(φ )

(40) Apa nabemmei **su'ung**<sup>H</sup> \_\_\_\_\_ ?  
What it fell out  
'What did it fall out **of** / \*a?'  
(φ )

# The Phonological Phrase

- ▷ This allows us to update our generalization as below:

## (46) The Stranding Generalization:

When functional heads are stranded,  
they always form phonological phrases.

(cf. Itô & Mester 2019)

Baseline:             $(\varphi \quad \mathbf{Fnc} \quad L \quad )$

Stranding:          $(\varphi \quad \mathbf{Fnc} \quad \_ \quad )$

# The Conspiracy

- ▷ The Stranding Generalization opens up a new hypothesis:

## (47) The Prosodic Hypothesis:

The size alternation is conditioned by position in the  $\varphi$ .

When functional elements appear at the right edge of a phonological phrase, they must be disyllabic. Otherwise, they can be monosyllabic.

- ▷ Schematically:

Outside the right edge:	( $\varphi$	$\sigma$	N/V )
At the right edge:	( $\varphi$	$\sigma\sigma$	— )



# The Conspiracy

- ▷ The Prosodic Hypothesis suggests that this is all phonology.
  - The motive for allomorphic alternations is not a syntactic feature.
  - Rather: it is a phonological constraint

(48) The Positional Constraint:  $(\varphi \dots * \sigma)$   
“The final constituent in the  $\varphi$  must be at least disyllabic.”

(Itô & Mester 1992; Downing 1998, Booij 1999, de Lacy 2001,  
Smith 2002, Prieto 2005, Elordieta 2008, McCarthy 2011)

# The Conspiracy

- ▷ Prediction: this constraint should drive other patterns too.

(48) The Positional Constraint:  $(\varphi \dots * \sigma)$   
“The final constituent in the  $\varphi$  must be at least disyllabic.”

- ▷ Specifically: we should see similar patterns at the right edges of all phonological phrases, not just those created in this way.

# The Conspiracy

- ▷ Observation 1: there's a restriction on vowel coalescence.
  - Mandar: Noun-adjective sequences form one phonological phrase.
  - In this context: many nouns appear as monosyllabic.

(49)      **bo** kaiyang<sup>H</sup>      **to**      malutta<sup>H</sup>      **sya** macoa<sup>H</sup>  
fish big      person lazy      salt good  
'a big fish'      'a lazy person'      'good salt'

- When these nouns appear at the right edge of the  $\varphi$ , they expand.

(50)      **bau**<sup>H</sup>      **tau**<sup>H</sup>      **siya**<sup>H</sup>

# The Conspiracy

- ▷ These Ns show the same alternation as functional elements

(49)    **bo** kaiyang<sup>H</sup>            **to**            malutta<sup>H</sup>            **sya** macoa<sup>H</sup>  
         fish big                    person lazy            salt good  
         `a big fish'                `a lazy person            `good salt'

(50)    **bau**<sup>H</sup>                            **tau**<sup>H</sup>                            **siya**<sup>H</sup>

Outside the right edge:    ( $\varphi$      $\sigma$     Adj )  
At the right edge:        ( $\varphi$      $\sigma\sigma$     — )

# The Conspiracy

▷ This alternation suggests a real phonological constraint:

- Vowel sequences are generally reduced, when they can be.

(49)     **bo** kaiyang<sup>H</sup>     **to**     malutta<sup>H</sup>     **sya** macoa<sup>H</sup>  
fish big     person lazy     salt good  
'a big fish'     'a lazy person'     'good salt'

- However, the final word in the phonological phrase must be disyllabic.
- If vowel reduction would put a monosyllabic word there, it is blocked.

(50)     **bau**<sup>H</sup>     **tau**<sup>H</sup>     **siya**<sup>H</sup>

# The Conspiracy

- ▷ Observation 2: the same pattern emerges in another place.
  - Question words generally appear at the front of the sentence.
  - In this context, they are always disyllabic and always form  $\varphi$ s.

(51)      **Apa**<sup>H</sup>              milloa<sup>H?</sup>  
          what                is ringing?

- But: they can be protected from the right edge of the  $\varphi$  by adverbs.
- In that context: they also become monosyllabic.

(52)      **A**              rua<sup>H</sup>              milloa<sup>H</sup>  
          what            still              is ringing

# The Conspiracy

- ▷ This alternation has the same exact shape:
  - Wh-words are monosyllabic if protected from the right edge of the  $\varphi$

(52)     **A**            rua<sup>H</sup>            milloa<sup>H</sup>  
          what        still            is ringing

- However, the final word in the phonological phrase must be disyllabic.
- If wh-words take that position, they are forced to show allomorphy.

(51)     **Apa**<sup>H</sup>            milloa<sup>H</sup>?  
          what            is ringing?

# The Conspiracy

- ▷ We have thus found another pattern of allomorphy that is driven by the same phonological constraint.

Outside the right edge:      ( $\varnothing$        $\sigma$       Adv )  
At the right edge:            ( $\varnothing$        $\sigma\sigma$       — )

- ▷ The inventory of wh-words:

Normal	Exposed	Gloss
<b>a</b>	<b>apa</b>	what
<b>ne</b>	<b>innai</b>	who
<b>na</b>	<b>inna</b>	which



# The Conspiracy

- ▷ This kind of allomorphy must be handled in the phonology.
  - Phonological phrasing is absent in the syntax (Zwicky & Pullum 1986) ... so it is not possible to characterize the context of alternation.
  - The best syntactic attempt:
    - “Stranded functional heads (which bear a feature),  
“plus question words that are not followed by adverbs (????)”
  - Syllables are absent too, so we can’t explain the shape of the pattern.

Short	Long	Gloss
<b>a</b>	<b>apa</b>	what
<b>ne</b>	<b>innai</b>	who
<b>na</b>	<b>inna</b>	which

Short	Long	Gloss
<b>ndang</b>	<b>andiang</b>	not
<b>de</b>	<b>di’e</b>	this
<b>do</b>	<b>di’o</b>	that

# The Conspiracy

- ▷ Proposal: the choice is made in phonology.
- ▷ The syntax does not decide which allomorphs are selected
- ▷ Once the syntax ends, the phonology begins
  - It constructs phonological phrases,
  - looks at where functional elements appear,
  - and decisions about allomorphy are made here.



5.

# An Alternative Repair

Classical Evidence for Phonology

# The Suspension Effect

- ▷ This account makes a prediction about allomorphy:
  - as the choice of allomorph is handled in the phonology,
  - it might be possible for phonological factors to interfere.

- ▷ If the driving force of allomorph selection is this constraint:

(48) The Positional Constraint:  $(\varphi \dots * \sigma)$   
“The final constituent in the  $\varphi$  must be at least disyllabic.”

- ▷ Then we may be able to keep short allomorphs in many contexts if this constraint can be satisfied in another way.

# The Suspension Effect

- ▷ As it turns out, this seems roughly correct.
  - There is a context where functional heads are stranded...
  - But where they are able to retain their monosyllabic forms.
- ▷ When they are stranded before three-syllable nouns:

(42) Bengangi    **di'o**    \_\_\_\_\_    guru    o.  
                 give            that    \_\_\_\_\_    teacher there.  
                 `Give that to the teacher'

(53) Bengangi    **do**    \_\_\_\_\_    guru-mu            o.  
                 give            that    \_\_\_\_\_    teacher-your        there.  
                 `Give that to your teacher'

# The Suspension Effect

- ▶ The same pattern can be seen with prepositions.

(54)	Tambusi	<b>naung</b>	_____	allo.
	go	down	_____	sun
	`The sun set.'			
(55)	Tambusi	<b>nong</b>	_____	allo-na.
	go	down	_____	matahari-nya
	`The sun set.'			

# The Suspension Effect

- ▷ It can also be seen with question words.

(56) **Innai**            lamba?  
who                    left?  
`Who left?'

(57) **Ne**                na-lamba?  
who                    will-leave?  
`Who will leave?'

# The Suspension Effect

- ▷ And also with regular nouns.

(58) Wannei      **siya**      peca'.  
I added      salt      bubur  
'I added salt to the bubur'

(59) Wannei      **sya**      waro'bo.  
I added      salt      bubur jagung  
'I added salt to the corn chowder'



# The Suspension Effect

- ▷ The generalization that emerges from this pattern:

## (60) The Updated Generalization

Outside the right edge:	( $\varphi$	$\sigma$	Adv )
At the edge, before a disyllabic word:	( $\varphi$	$\sigma\sigma$	— )
At the edge, before a trisyllabic word:	( $\varphi$	$\sigma$	— )

# The Suspension Effect

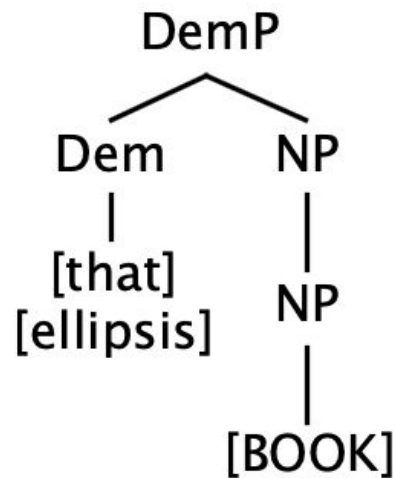
- ▷ This means that there is no syntactic analysis of allomorphy.
  - Stranding operations may require syntactic features,
  - But allomorphy **cannot** be conditioned by those features.

▷ Concretely:

(42) Bengangi **di'o** \_\_\_\_\_ guru o .  
 give that \_\_\_\_\_ teacher there.

(53) Bengangi **do** \_\_\_\_\_ guru-mu o.  
 give that \_\_\_\_\_ teacher-your there.

(43) [that] → di'o / [ellipsis, sometimes]  
 → do / [ellipsis, other times]



# Phonological Movement

- ▷ Still: this pattern raises a huge mystery in the phonology.
- ▷ The driving force of allomorphy is this constraint:

(48) The Positional Constraint:  $(\varphi \dots * \sigma)$   
“The final constituent in the  $\varphi$  must be at least disyllabic.”

- ▷ How can that be squared with this?

(60) The Updated Generalization

Outside the right edge:	$(\varphi$	$\sigma$	Adv )
At the edge, before a disyllabic word:	$(\varphi$	$\sigma\sigma$	___ )
At the edge, before a trisyllabic word:	$(\varphi$	$\sigma$	___ )

# Phonological Movement

- ▷ A solution falls into place when we look at the high tone.
- ▷ When words seem to be monosyllabic at the right edge, the tone that marks the right edge of the  $\varphi$  shifts right.

(58)    Wannei<sup>H</sup>    **siya**<sup>H</sup>    peca'<sup>H</sup>.  
          I added    salt        bubur  
          `I added salt to the bubur'

(59)    Wannei<sup>H</sup>    **sya**        wa<sup>H</sup>ro'bo<sup>H</sup>.  
          I added    salt        bubur jagung  
          `I added salt to the corn chowder

# Phonological Movement

- ▷ This suggests a change in the position of the right edge.

(58) (φ            )  
Wannei<sup>H</sup>    **siya**<sup>H</sup>    peca'<sup>H</sup>.  
I added     salt        bubur  
'I added salt to the bubur'

(59) (φ            )  
Wannei<sup>H</sup>    **sya**        wa<sup>H</sup>ro'bo<sup>H</sup>.  
I added     salt        bubur jagung  
'I added salt to the corn chowder'

# Phonological Movement

- ▶ The same effect can be seen in all cases of “suspension”:

(54) (φ )  
Tambusi<sup>H</sup> **naung**<sup>H</sup> allo<sup>H</sup>.  
go down sun  
'The sun set.'

(55) (φ )  
Tambusi<sup>H</sup> **nong** al<sup>H</sup>lo-na.  
go down matahari-nya  
'The sun set.'

# Phonological Movement

- ▶ The same effect can be seen in all cases of “suspension”:

(42) (φ )  
Bengangi<sup>H</sup> **di'o**<sup>H</sup> guru<sup>H</sup> o<sup>H</sup>.  
give that teacher there.  
'Give that to the teacher'

(53) (φ )  
Bengangi<sup>H</sup> **do** gu<sup>H</sup>ru-mu<sup>H</sup> o<sup>H</sup>.  
give that teacher-your there.  
'Give that to your teacher'

# Phonological Movement

- ▶ When we look at a pitch track, this process is easy to spot.

(61) (φ                    )  
a            mu<sup>H</sup>pogau<sup>'H</sup>?  
what      are you doing?



a	mu	po	ya	u?
a	mupogau'			
what are you doing?				



# Phonological Movement

- ▶ This suggests that our constraint is resolved in a new way:
  - A syllable is literally pulled across a phrase boundary
  - And placed within the preceding word.

(62) Syllable Movement



# Phonological Movement

- ▷ In derivational terms, this means that the phonology builds phonological phrases and then discovers a problem:

( φ σ ) ...

- ▷ And then it makes a choice:
  - Resolve with allomorphy, or
  - Resolve with movement.

( φ σ σ ) ( σ σ )  
( φ σ σ ) ( \_ σ σ )

# Phonological Movement

- ▷ The result is that these patterns of allomorph selection must be resolved in an extremely sophisticated phonology:
  - One which is able to force allomorph selection
  - And weigh that against other tools like movement
  - In a calculus that is aware of word-external phonology.
- ▷ This fits with the classical theory of phonology in Prince & Smolensky 1994- and falsifies much later work (Embick 2010)

6.

# Conclusions

What have we learned?

# The Takeaways

- ▷ The most immediate consequence of this analysis is that we have to think hard about the meaning of the term “word.”
- ▷ The classical perspective:
  - Words correspond to lexical heads in the syntax (Chomsky 1956; Di Sciullo & Williams 1987 et seq)
  - And the phonological word’s edges are aligned with those of the syntactic word (McCarthy & Prince 1993)
- ▷ Our results show that phonological words have a life of their own - and need not be so faithful to syntactic heads.

# The Takeaways

- ▷ At a higher level, our results deliver a decisive argument that allomorph selection can be resolved in the phonology.
- ▷ In order to choose allomorphs for these six heads,

Short	Long	Gloss
<b>a</b>	<b>apa</b>	what
<b>ne</b>	<b>innai</b>	who
<b>na</b>	<b>inna</b>	which

Short	Long	Gloss
<b>ndang</b>	<b>andiang</b>	not
<b>de</b>	<b>di'e</b>	this
<b>do</b>	<b>di'o</b>	that

- ▷ It is not enough to reference the syntax: instead, we need to look at the pattern of phonological phrasing and the phonology of the following word.

# The Takeaways

- ▷ This result suggests that we are on the right track when we think about a phonological analysis of the English pattern:
  - These alternations are likely also driven by the phonology: stranded functional elements form  $\varphi$ s, and  $\varphi$ s have to contain phonologically licit words

Regular	Stranded	Regular	Stranded
<b>sh'</b>	should	<b>'s</b>	is
<b>c'</b>	could	<b>'s</b>	has
<b>c'</b>	can	<b>'ve</b>	have
<b>d'</b>	did	<b>'re</b>	are
<b>d'</b>	do	<b>'m</b>	am
<b>'d</b>	would	<b>t'</b>	to
<b>'ll</b>	will	<b>a</b>	of

# The Takeaways

- ▷ Finally, we arrive at a natural confirmation of the value of:
  - Generative linguistic theory,
    - Which allows us to explain remarkably fine patterns in the phonology of Mandarin, and connect those to a conceptually similar set of alternations in English,
  - And research on regional languages,
    - Which show intricate and revealing patterns
    - That could not be found without this kind of work.



# Thank you!

## Any questions?

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