Introduction to Dynamic Semantics

1

Oslo, 15 September, 2006

Adrian Brasoveanu

Sam Cumming

A sentence is not an island.

Sentences are embedded in larger *discourses*. They are anaphorically related to

other sentences in the same discourse.



(1) John owns a donkey. He feeds it at night.

Notice the anaphoric connection between the indefinite NP 'a donkey' and the subsequent pronoun 'it'.

(2) is a good (enough) paraphrase of (1):

(2) John owns a donkey. John feeds it at night.

But neither (3) nor (4) is as good:

(3) John owns a donkey. John feeds a donkey at night.

5

(4) John owns Benjamin (the donkey). John

feeds Benjamin at night.

Can't seem to eliminate the pronoun 'it' (bound by the indefinite 'a donkey') from





This becomes a problem once we decide to regiment (1) in the notation of First-Order Logic (FOL):

(5) $\exists x(\underline{donkey}(x) \land \underline{owns}(\underline{John}, x))$ feeds(John, x)

X

(6) $\exists x(\underline{donkey}(x) \land \underline{owns}(\underline{John}, x)) \land \underline{feeds}(\underline{John}, x)$

Х

What we want:

(7) $\exists x(donkey(x) \land owns(John, x) \land feeds(John, x))$

The problem is that, to get this meaning, we must first compose a part of the first sentence with the second sentence, and then combine what we have with the remaining part of the first sentence:

(7): [a donkey] [John owns][He feeds it]

If we restrict ourselves to completing sentences before we compose them with other sentences, then the best we can do is (6).

(6): [John owns][a donkey] [He feeds it]

Who needs it? Discourse semantics is too hard. I'm going to stick with the semantics of sentences.

But the donkey is known for its stubbornness...

(8) If John owns a donkey, he feeds it.
(9) Every farmer who owns a donkey feeds it.

```
Incorrect first-orderizations:
```

(10) $\exists x (donkey(x) \land owns(John, x)) \rightarrow$ feeds(John, x) (11) $\forall y (\exists x (farmer(y) \land donkey(x) \land owns(y, x)))$ $\rightarrow feeds(John, x))$

In both, the final 'x' is not in the <u>scope</u> of ' $\exists x$ '.

Correct first-orderizations:

(12) $\forall x (donkey(x) \land owns(John, x) \rightarrow$ feeds(John, x)) (13) $\forall y \forall x (farmer(y) \land donkey(x) \land owns(y, x))$ $\rightarrow feeds(John, x))$

Moral: the limitations of FOL (on the standard semantics) can be seen even within sentences.

Nor are 'donkey' sentences rare animals. They are as common as the beast of burden itself.

A solution: 'Dynamic semantics' [due (independently) to Kamp (1981) and Heim (1982)]

16

What is dynamic semantics?

Consider the phenomenon of *contextsensitivity*.

The same sentence can be true or false, depending on the context.

'I am standing.'

True as uttered by Sam. False as uttered by Herman.

The *meaning* of a sentence can be thought of as a function (cf. Kaplan (1989)),

that takes in a *context*... ...and gives back a *truth-value* (T or F).

A parallel phenomenon.

Right now, the sentence below is false:

20

'Herman said that snow is black.'

But now Herman says, 'Snow is black.'

In the context arising immediately *after* his utterance, the earlier sentence is true:

21

'Herman said that snow is black.'

Call the context immediately before Herman's utterance of `Snow is black', c_1 .

And call the context immediately after Herman's utterance, c_2 .

Clearly, the sentence 'Herman said snow is black' is *context-sensitive*, since it is true in c_2 but not in c_1 .

Equally clearly, Herman's utterance of 'Snow is black' *changed the context* from C_1 to C_2 .

(c_1 must differ from c_2 since it delivers a different truth-value to the sentence above).

Dynamics takes the semantics of context-sensitivity one step further, to a semantics of *context change*.

According to dynamic semantics, the meaning of a sentence is an 'update',

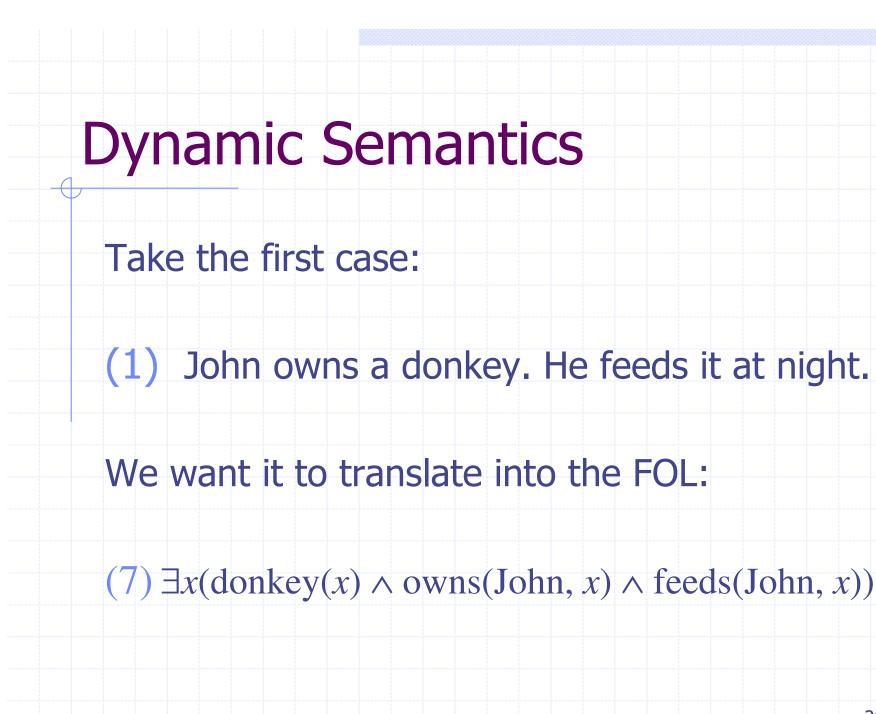
that takes in a *context*,

and gives back a ...

CONTEXT.

But hang on, what does this new view of meaning have to do with the problems with which we began?

John owns a donkey. He feeds it at night.
 If John owns a donkey, he feeds it.
 Every farmer who owns a donkey feeds it.



But the best we can do (compositionally)

is:

(6) $\exists x(\operatorname{donkey}(x) \land \operatorname{owns}(\operatorname{John}, x)) \land \operatorname{feeds}(\operatorname{John}, x)$

What if I told you that, on a <u>dynamic</u> <u>semantics</u> for FOL, the following equivalence holds:

$$\exists x(\phi) \land \psi \iff_{\mathrm{DS}} \exists x(\phi \land \psi)$$

Since (6) and (7) fit the schema on the left and right hand sides, respectively, they are equivalent on dynamic semantics:

 $\exists x (donkey(x) \land owns(John, x)) \land feeds(John, x)$

 $\Leftrightarrow_{\rm DS}$

 $\exists x (donkey(x) \land owns(John, x) \land feeds(John, x))$

The equivalence means that indefinites can bind indefinitely rightwards across \wedge 's:

32

 $\exists x(\phi) \land \psi \land \xi \land \chi$ $\Leftrightarrow_{DS} \exists x(\phi \land \psi) \land \xi \land \chi$ $\Leftrightarrow_{DS} \exists x(\phi \land \psi \land \xi) \land \chi$ $\Leftrightarrow_{DS} \exists x(\phi \land \psi \land \xi \land \chi)$

And what about the other cases?

(8) If John owns a donkey, he feeds it.
(9) Every farmer who owns a donkey feeds it.

For these the equivalence below will suffice:

$$\exists x(\phi) \to \psi \iff_{\mathrm{DS}} \forall x(\phi \to \psi)$$

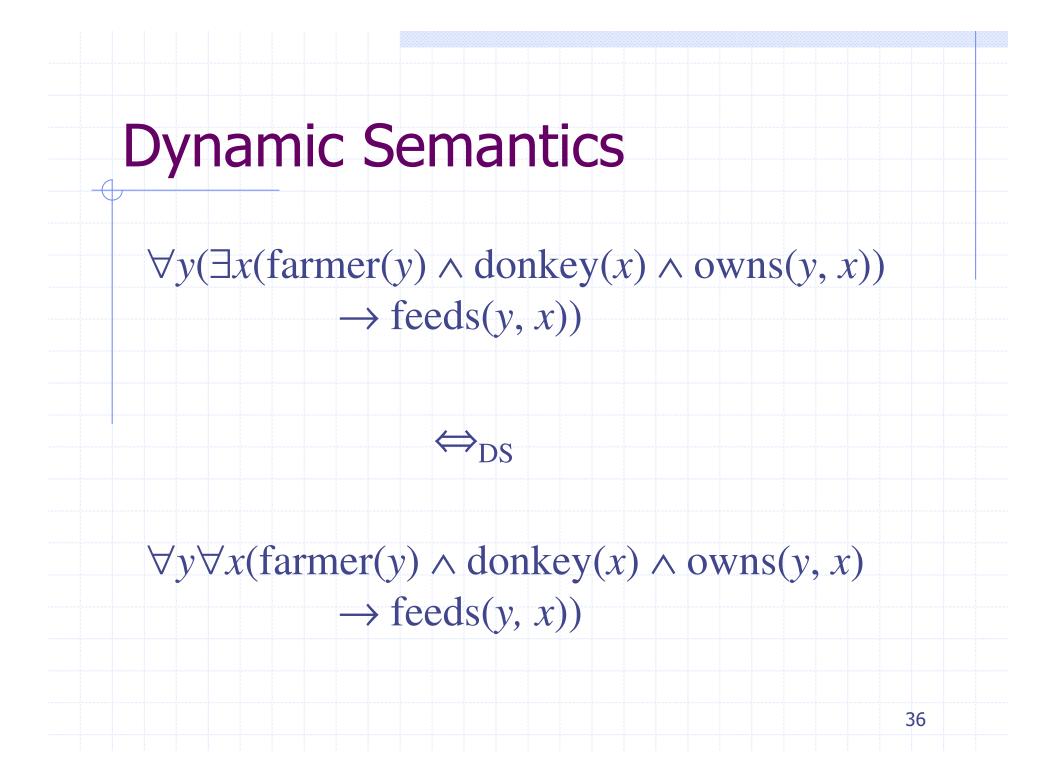
(Only sans the usual restriction to cases where ' ψ ' doesn't contain 'x' free.)

The 2nd equivalence allows us to turn existentials in the antecedent of a conditional into universals taking scope over the whole conditional (but no further).

 $\exists x (\operatorname{donkey}(x) \land \operatorname{owns}(\operatorname{John}, x)) \rightarrow \operatorname{feeds}(\operatorname{John}, x)$

 $\forall x (donkey(x) \land owns(John, x) \rightarrow feeds(John, x))$

 $\Leftrightarrow_{\rm DS}$



Dynamic Semantics

We will now proceed to show you how to construct a dynamic semantics for FOL on which these hold:

 $\exists x(\phi) \land \psi \Leftrightarrow_{\mathrm{DS}} \exists x(\phi \land \psi)$

$$\exists x(\phi) \to \psi \Leftrightarrow_{\mathrm{DS}} \forall x(\phi \to \psi)$$

Dynamic Predicate Logic (DPL)

The particular version of dynamic semantics we will look at is Dynamic Predicate Logic (DPL – Groenendijk & Stokhof 1991).

DPL: The Plan.

semantic values in DPL vs. FOL

definition of DPL semantics relations between DPL connectives formula equivalence in DPL: $\exists x(\phi) \land \psi \Leftrightarrow \exists x(\phi \land \psi)$ $\exists x(\phi) \rightarrow \psi \Leftrightarrow \forall x(\phi \rightarrow \psi)$ Discourse Representation Structures (DRS's) in DPL

Dynamic Predicate Logic (DPL)

DPL semantics is minimally different from the standard Tarskian semantics for first-order logic.

 instead of interpreting a formula as a set of variable assignments (i.e. the set of variable assignments that satisfy the formula in the given model), we interpret it as a **binary** relation between assignments.

Why binary relations between **assignments**?

For our narrow purposes (i.e. cross-sentential and 'donkey' anaphora), a variable assignment is an effective model of a *context*.

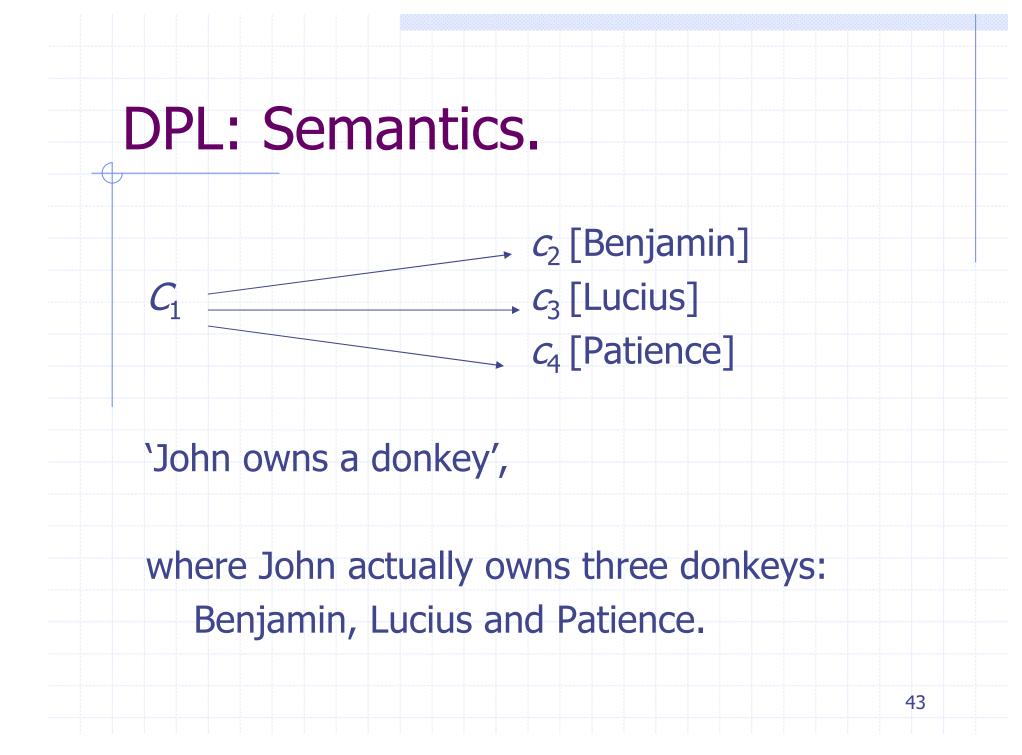
All we ask from a context here is that it keep track of anaphoric relations – hence assignments.

Why a binary **relation** between assignments?

Dynamic semantics associates a sentence with the manner in which it updates any context (i.e. its context change potential).

The update is modeled as a relation (not a function) because it is non-deterministic:

updating from a context c_1 has different possible outcomes.



DPL: The Plan.

semantic values in DPL vs. FOL



relations between DPL connectives formula equivalence in DPL: $\exists x(\phi) \land \psi \Leftrightarrow \exists x(\phi \land \psi)$ $\exists x(\phi) \rightarrow \psi \Leftrightarrow \forall x(\phi \rightarrow \psi)$

Discourse Representation Structures (DRS's) in DPL

The definition of the DPL interpretation function $\|\phi\|_{DPL}^{M}$ relative to a standard first-order model $M = \langle D^M, I^M \rangle$, where:

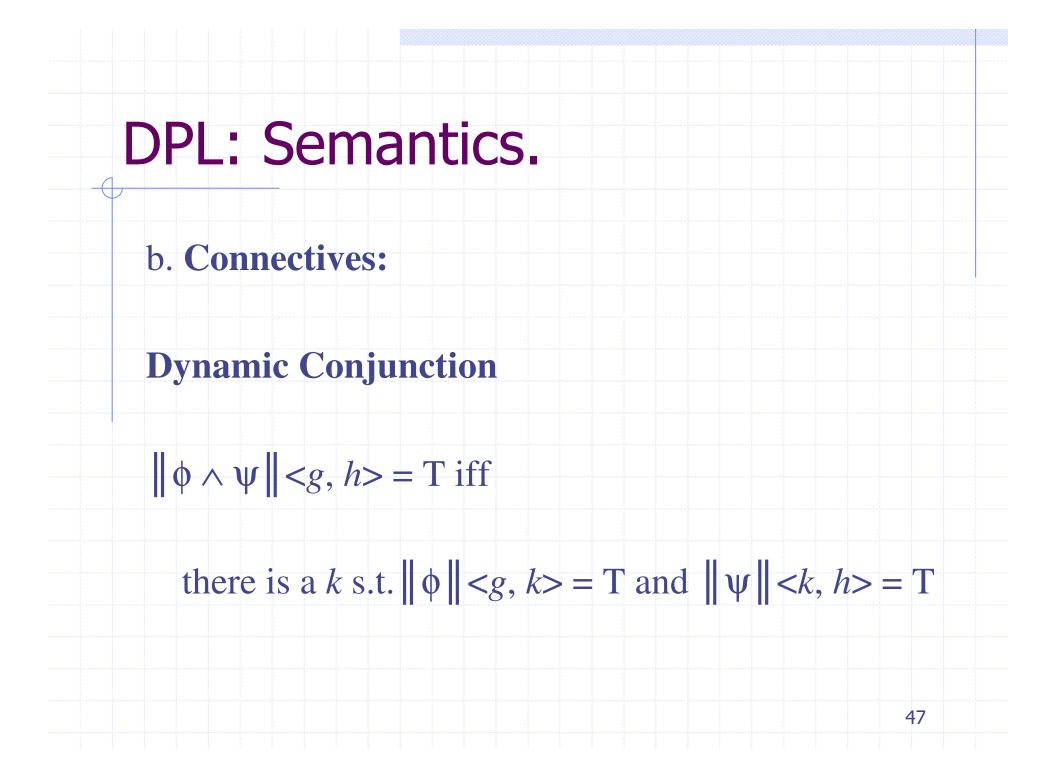
D is the domain of entities

I is the interpretation function which assigns to each *n*-place relation *R* a subset of D^n :

For any pair of *M*-variable assignments <*g*, *h*>:
 Atomic formulas ('lexical' relations and identity):

 $\|R(x_1, ..., x_n)\| < g, h > = T$ iff g=h and $< g(x_1), ..., g(x_n) > \in I(R)$

 $||x_1 = x_2|| < g, h > = T \text{ iff } g = h \text{ and } g(x_1) = g(x_2)$





Dynamic Negation

 $\| \sim \phi \| < g, h > = T \text{ iff}$ g=h and there is no k s.t. $\| \phi \| < g, k > = T$

i.e. $\| \sim \phi \| \langle g, h \rangle = T$ iff g = h and $g \notin Dom(\| \phi \|)$,

where: $\mathbf{Dom}(\|\phi\|) := \{g: \text{ there is an } h \text{ s.t. } \|\phi\| < g, h > = T\}$

c. Existential Quantifier:

 $\|\exists x(\phi) \| < g, h > = T \text{ iff}$ there is a k s.t. g[x]k and $\|\phi\| < k, h > = T$

where g[x]k means that k differs from g at most with respect to the value it assigns to x,

i.e. for any variable v, if $v \neq x$ then g(v) = k(v).

d. Truth:

A formula ϕ is true with respect to an input assignment *g* iff

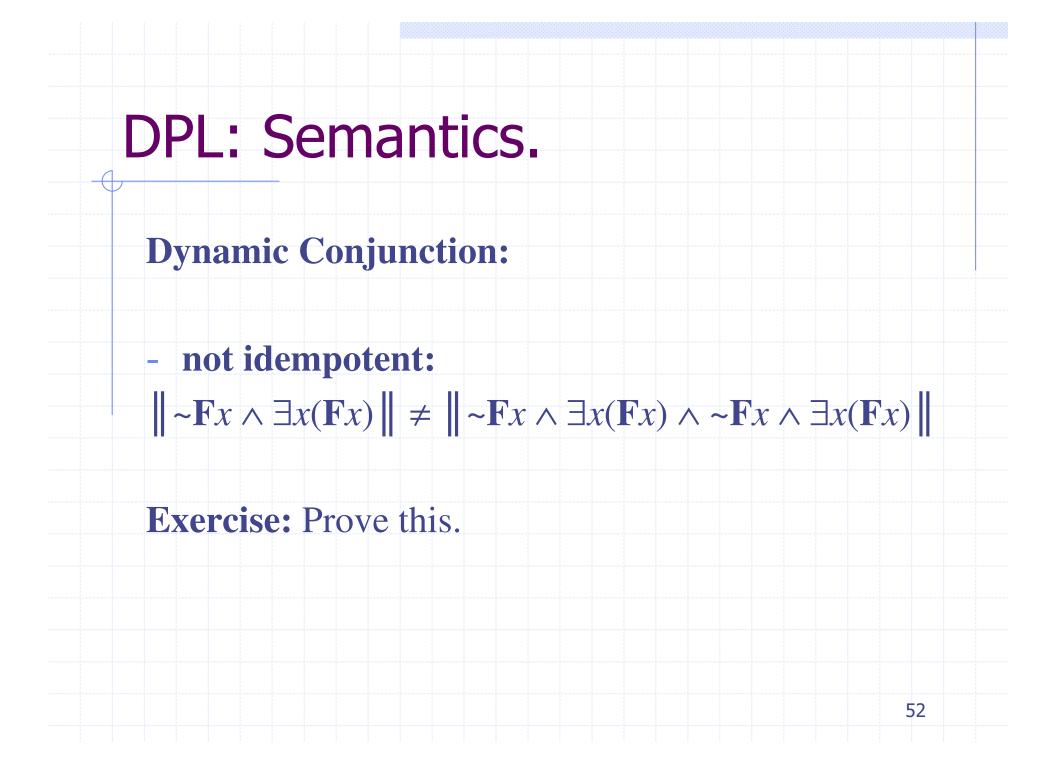
there is an output assignment *h* s.t. $\|\phi\| < g, h > = T$

i.e. ϕ is true with respect to g iff $g \in \mathbf{Dom}(\|\phi\|)$.

NB: Dynamic meanings are more *fine-grained* than truth-conditions.

Dynamic Conjunction:

- not commutative: $\| \sim \mathbf{F}x \land \exists x(\mathbf{F}x) \| \neq \| \exists x(\mathbf{F}x) \land \sim \mathbf{F}x \|$



DPL: The Plan.

semantic values in DPL vs. FOL definition of DPL semantics

relations between DPL connectives

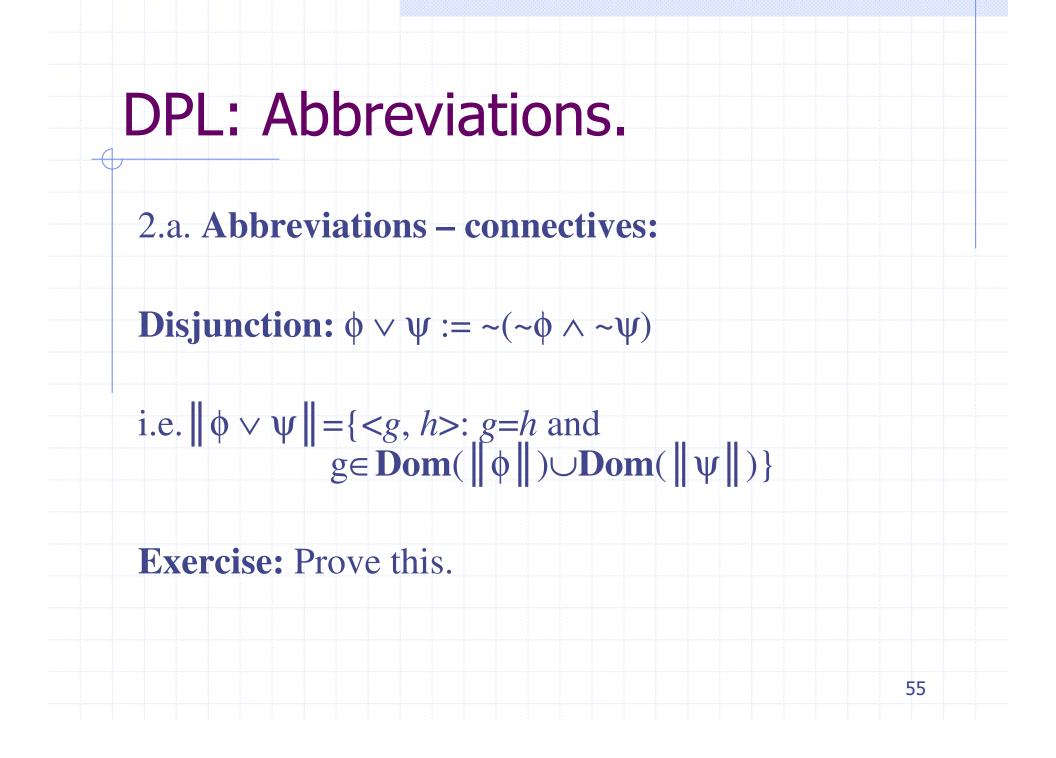
formula equivalence in DPL: $\exists x(\phi) \land \psi \Leftrightarrow \exists x(\phi \land \psi)$ $\exists x(\phi) \rightarrow \psi \Leftrightarrow \forall x(\phi \rightarrow \psi)$ Discourse Representation Structures (DRS's) in DPL

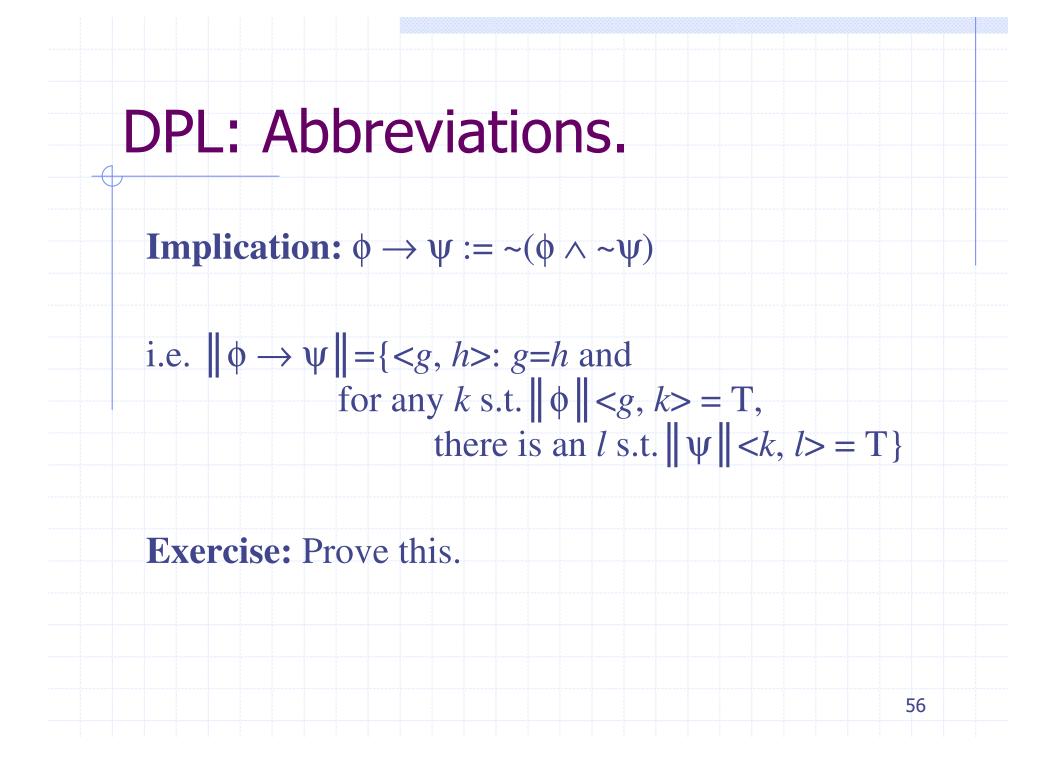


2.a. Abbreviations – connectives:

Anaphoric closure: $|\phi := -\phi$

i.e.
$$\| !\phi \| = \{ \langle g, h \rangle : g = h \text{ and } g \in \mathbf{Dom}(\| \phi \|) \}$$







Implication as inclusion:

 $\|\phi \rightarrow \psi\| = \{\langle g, h \rangle : g = h \text{ and } (\phi)^g \subseteq \mathbf{Dom}(\|\psi\|) \}$

57

where

 $(\phi)^g := \{h: ||\phi|| < g, h > = T\}$



b. Abbreviation – universal quantifier:

 $\forall x(\phi) := \neg \exists x(\neg \phi)$

i.e. $\|\forall x(\phi)\| = \{\langle g, h \rangle : g = h \text{ and} \\ \text{for any } k \text{ s.t. } g[x]k, \\ \text{there is an } l \text{ s.t. } \|\phi\| \langle k, l \rangle = T \}$

58





Show that $\| \forall x(\phi) \| = \| [x] \rightarrow \phi \|$, where:

 $\|[x]\| = \{\langle g, h \rangle: \text{ for any variable } v, \\ \text{ if } v \neq x \text{ then } g(v) = h(v) \}$

DPL: The Plan.

semantic values in DPL vs. FOL definition of DPL semantics relations between DPL connectives

→ formula equivalence in DPL: $\exists x(\phi) \land \psi \Leftrightarrow \exists x(\phi \land \psi)$ $\exists x(\phi) \rightarrow \psi \Leftrightarrow \forall x(\phi \rightarrow \psi)$

Discourse Representation Structures (DRS's) in DPL

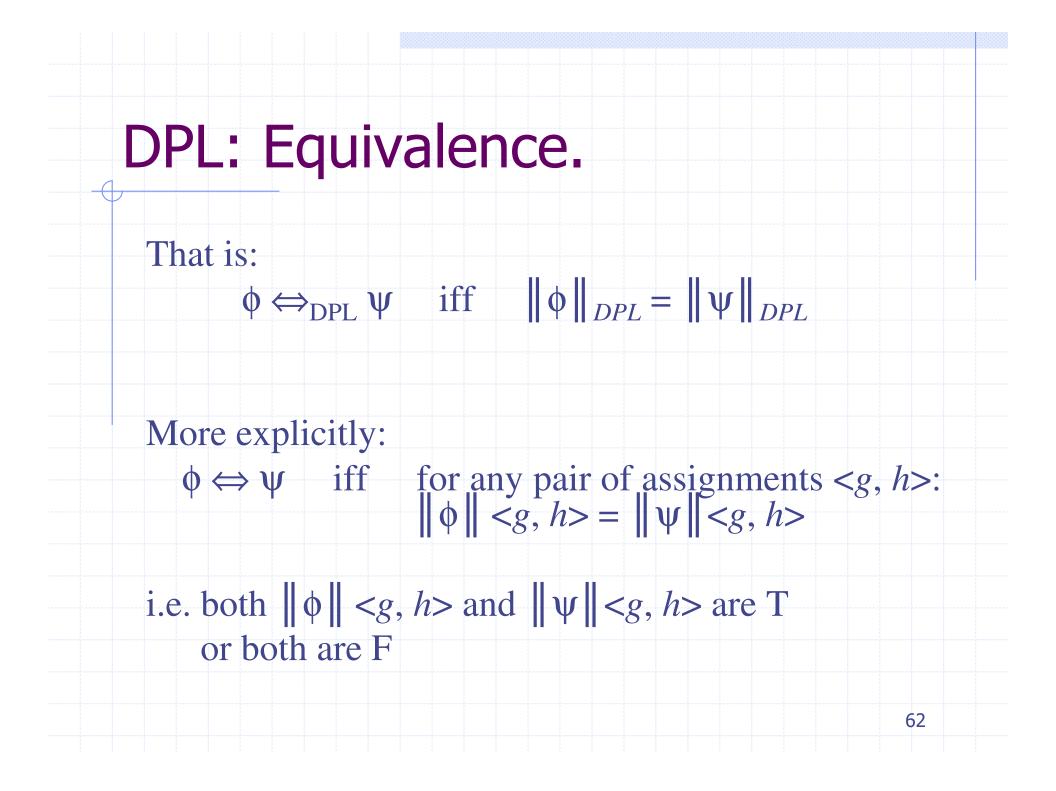
DPL: Equivalence.

Let's return to the general equivalences we wanted to prove.

Equivalence:

Two formulas are DPL-equivalent, symbolized as '⇔_{DPL}', iff they denote the same set of pairs of variable assignments,

i.e. iff they denote the same binary relation over assignments.



DPL: Equivalence.

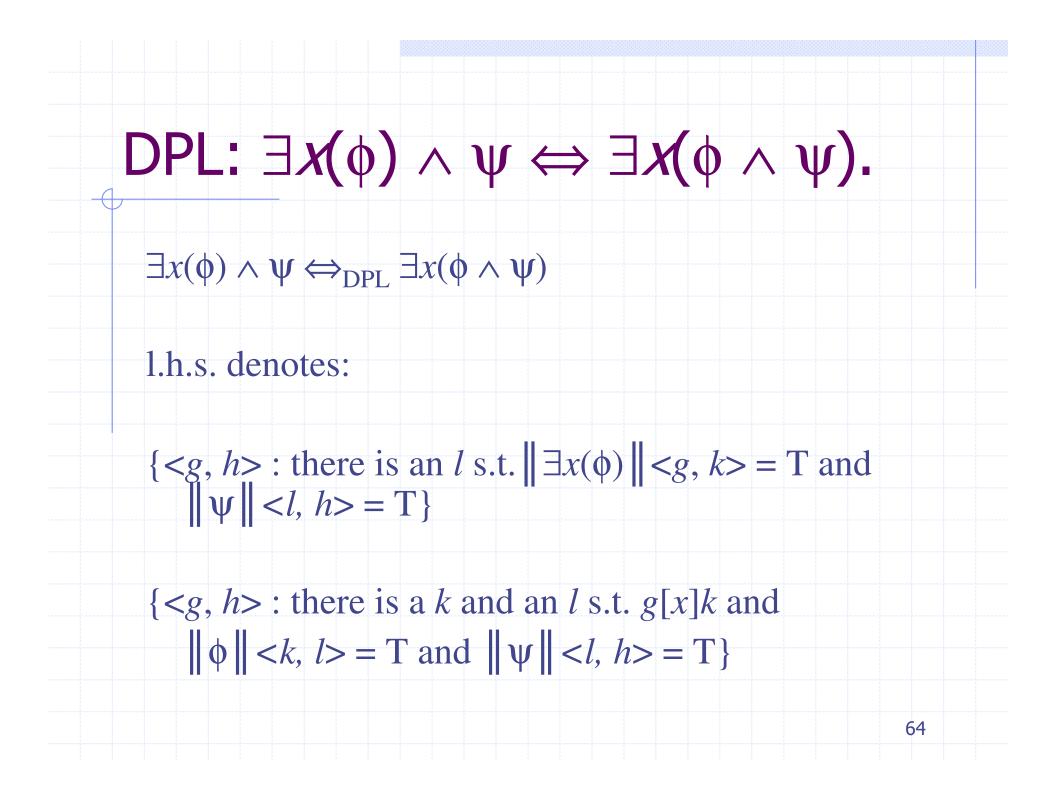
Since DPL denotations determine truth-conditions, two DPL-equivalent formulas will have the same truth-conditions.

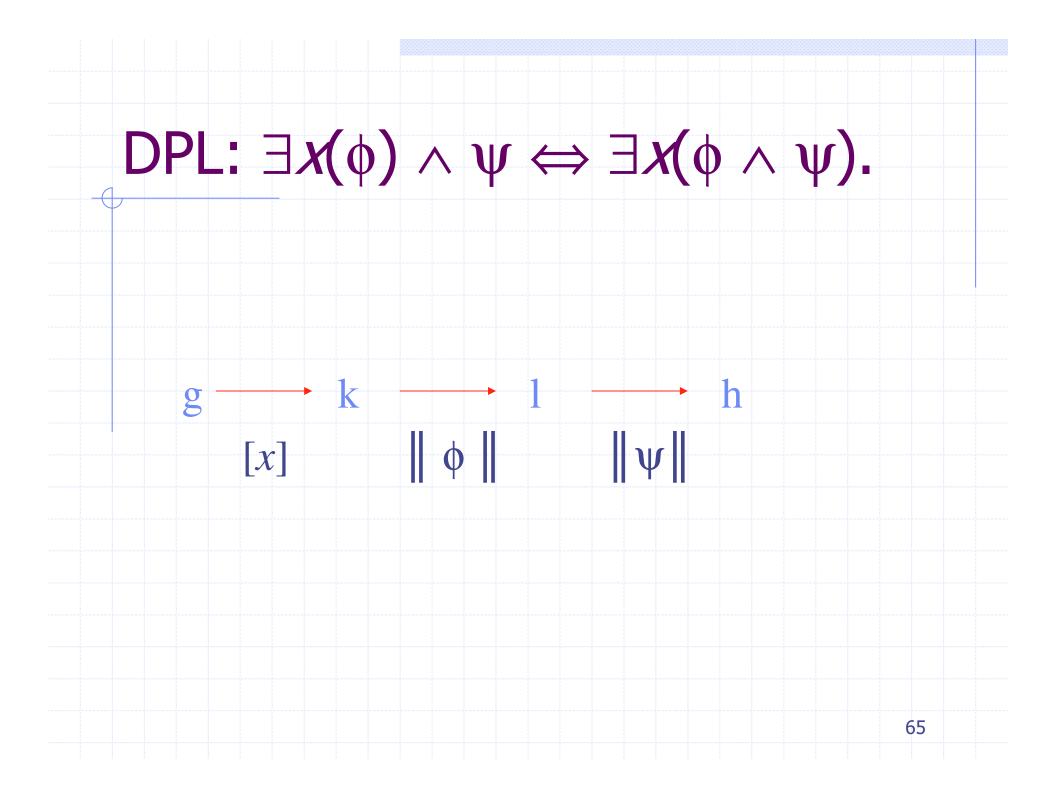
Recall that:

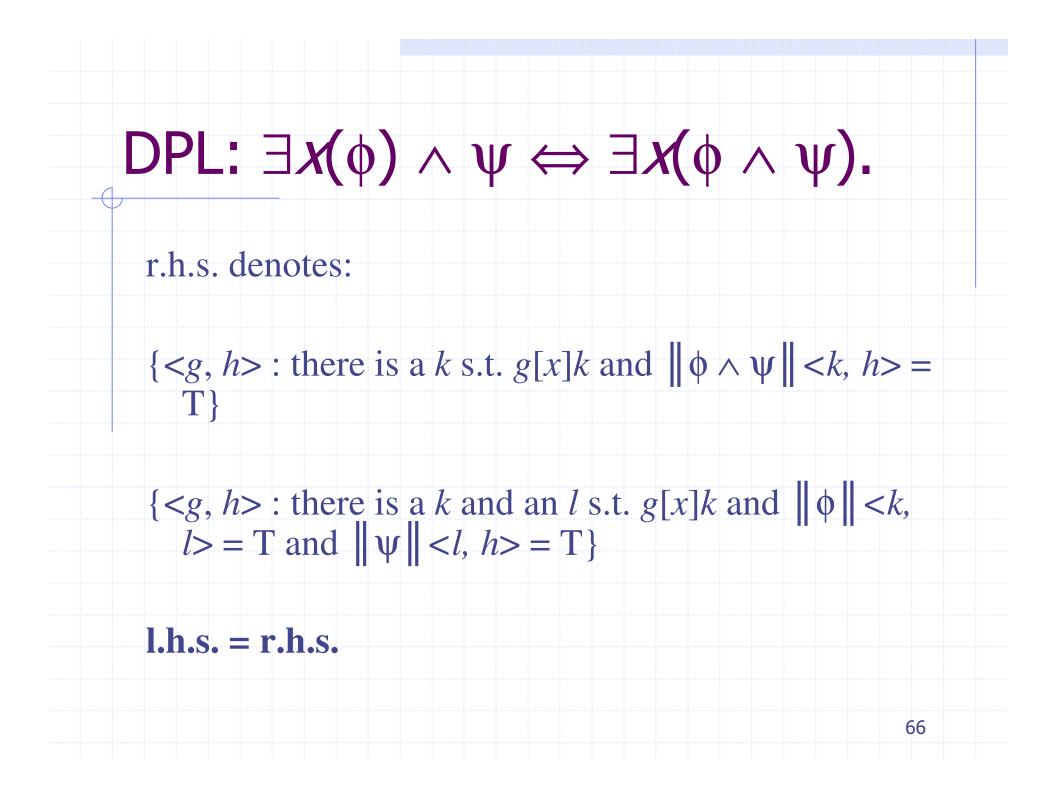
 ϕ is *true* with respect to g iff $g \in Dom(||\phi||)$.

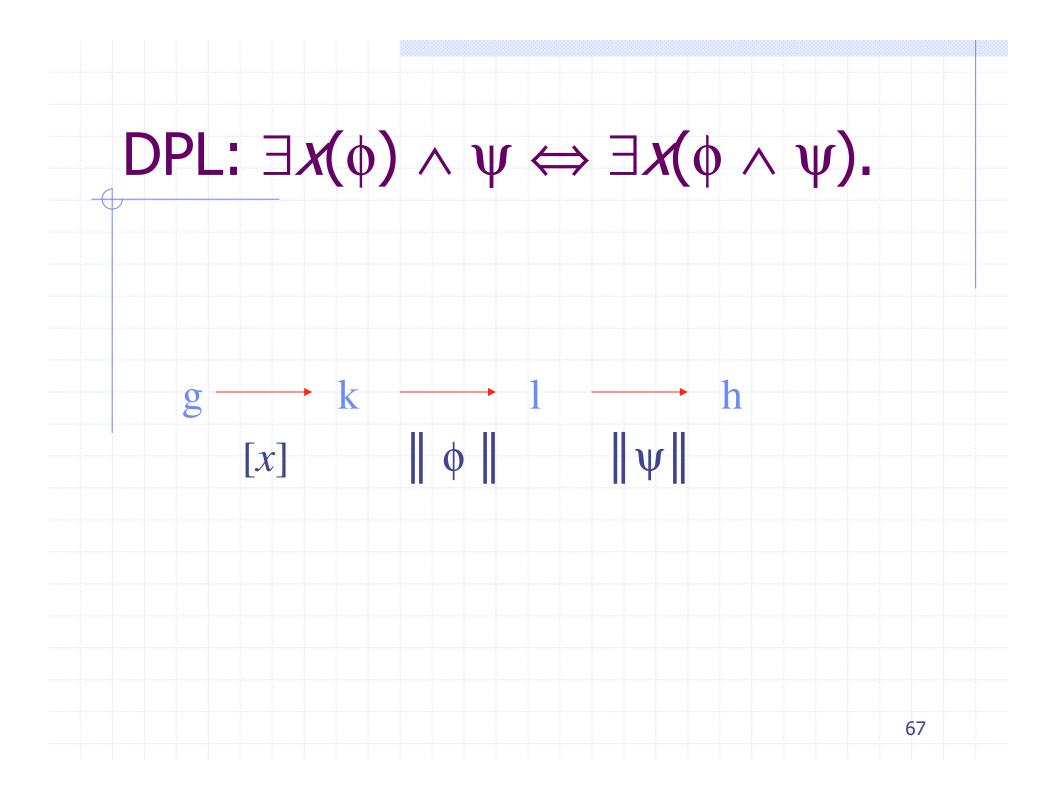
Thus:

Suppose $\phi \Leftrightarrow \psi$. Then $\|\phi\| = \|\psi\|$. Then $\mathbf{Dom}(\|\phi\|) = \mathbf{Dom}(\|\psi\|)$.









Now let's ensure that DPL gives the intuitively correct truth-conditions to $\exists x(\phi \land \psi)'$.

We will instantiate the schema with our favorite example:

(7) $\exists x(\operatorname{donkey}(x) \land \operatorname{owns}(\operatorname{John}, x) \land \operatorname{feeds}(\operatorname{John}, x))$

(7): {<*g*, *h*> : there is a *k* and an *l* s.t. *g*[*x*]*k* and donkey(*x*) \land owns(John, *x*) \parallel <*k*, *l*> = T and feeds(John, *x*) \parallel <*l*, *h*> = T}

 $\{\langle g, h \rangle : \text{there are } k, l \text{ and } m \text{ s.t. } g[x]k \\ \text{and} \quad \left\| \text{donkey}(x) \right\| \langle k, m \rangle \\ \text{and} \quad \left\| \text{owns}(\text{John}, x) \right\| \langle m, l \rangle = T \\ \text{and} \quad \left\| \text{feeds}(\text{John}, x) \right\| \langle l, h \rangle = T \}$

(7) $\exists x(\operatorname{donkey}(x) \land \operatorname{owns}(\operatorname{John}, x) \land \operatorname{feeds}(\operatorname{John}, x))$

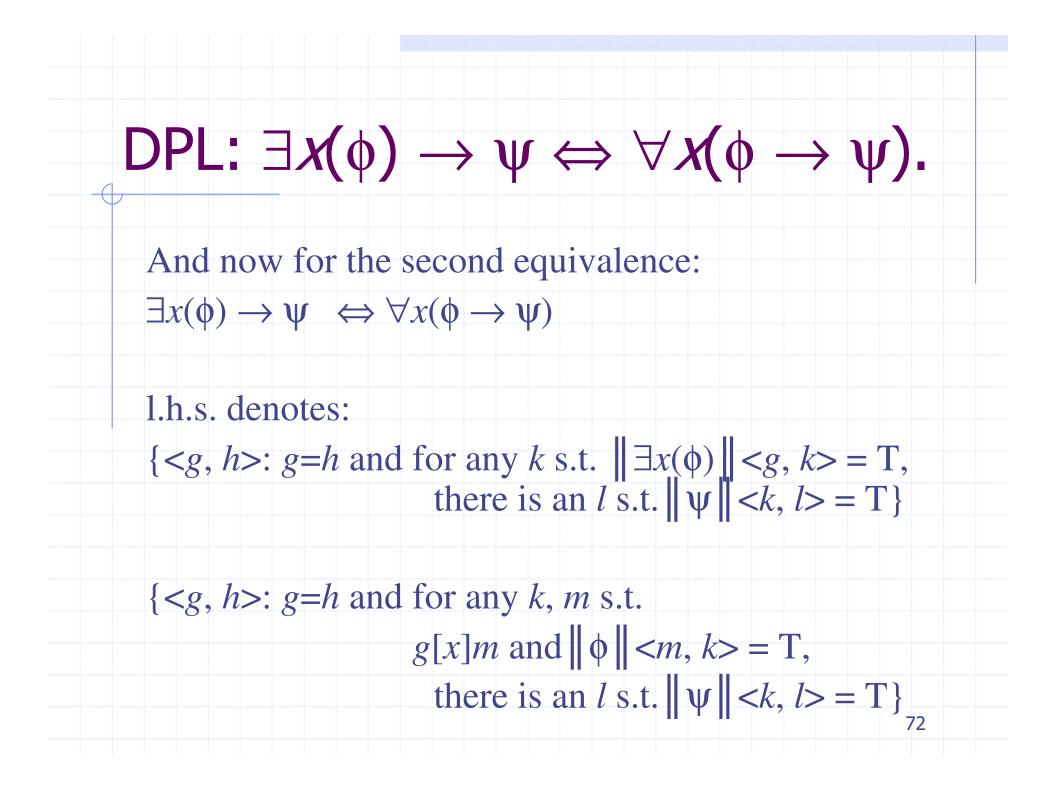
Now we apply the definition of truth (1d).

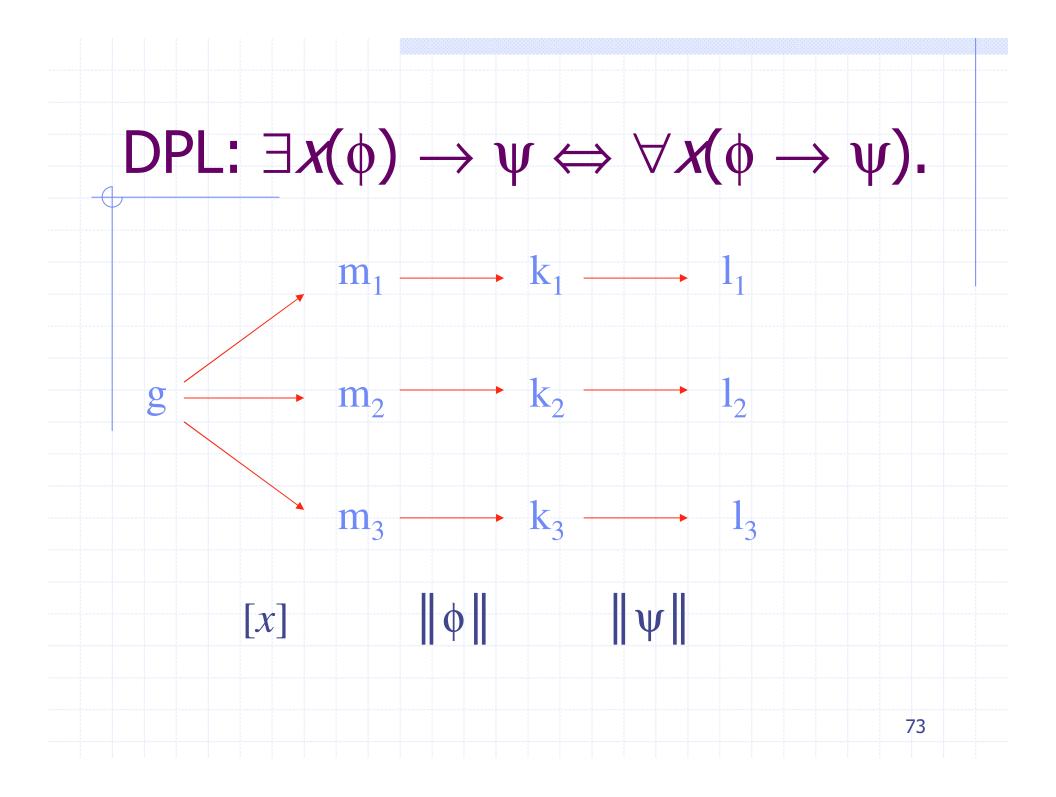
(7) is true with respect to an input assignment g iff there is an output assignment h and intermediate assignments k, l and m s.t.

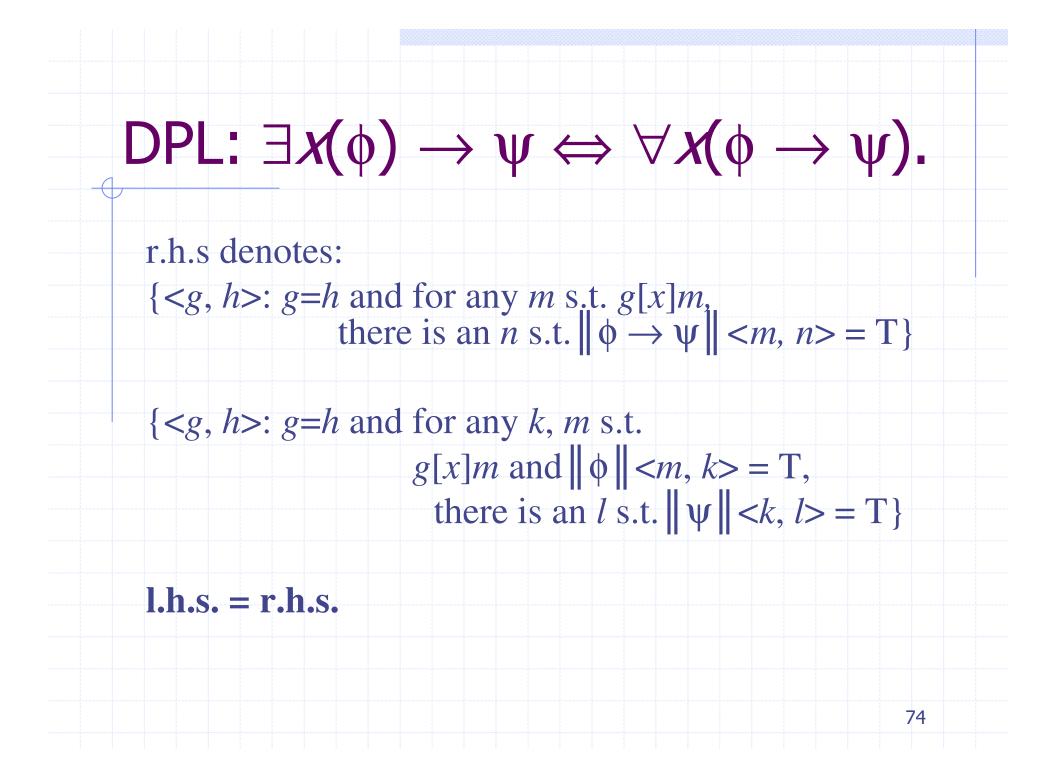
g[x]k and $\|$ donkey $(x) \| < k, m >$ and $\|$ owns $(John, x) \| < m, l > = T$ and $\|$ feeds $(John, x) \| < l, h > = T$

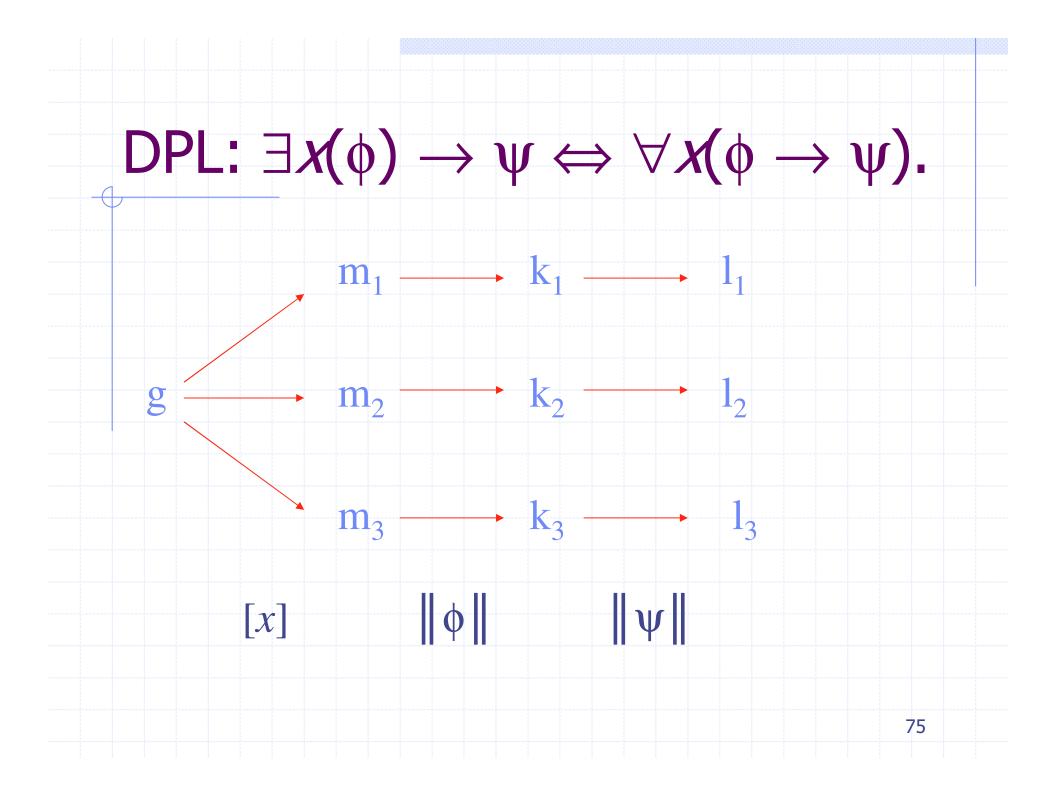
iff there is an *h* s.t. g[x]h and $h(x) \in I(\text{donkey})$ and $<\text{John}, h(x) > \in I(\text{owns})$ and $<\text{John}, h(x) > \in I(\text{feeds})$

iff there is an individual *a* s.t. $a \in I(\text{donkey}) \text{ and } < \text{John}, a > \in I(\text{owns})$ and $< \text{John}, a > \in I(\text{feeds})$









DPL: The Plan.

✓ semantic values in DPL vs. FOL
 ✓ definition of DPL semantics
 ✓ relations between DPL connectives
 ✓ formula equivalence in DPL:
 $\exists x(\phi) \land \psi \Leftrightarrow \exists x(\phi \land \psi)$ $\exists x(\phi) \rightarrow \psi \Leftrightarrow \forall x(\phi \rightarrow \psi)$

Discourse Representation Structures (DRS's) in DPL

To represent Discourse Representation Structures (DRS's), i.e. 'boxes', in DPL, we first need to define:

- the semantic notion of test
 - the syntactic notion of condition.

Tests: A wff ϕ is a *test* iff $\|\phi\| \subseteq \{\langle g, g \rangle : g \in G\}$, where *G* is the set of all *M*-variable assignments,

Conditions: The set of *conditions* is the smallest set of wff's:

containing atomic formulas and negative formulas (i.e. negation '~' is the main connective)

and closed under dynamic conjunction.

Negative formulas include:

~\$

anaphoric closure, since $|\phi := \sim \sim \phi$

disjunctions, since $\phi \lor \psi := \sim (\sim \phi \land \sim \psi)$

implications, since $\phi \rightarrow \psi := \sim (\phi \land \sim \psi)$

universal quantifications, since $\forall x(\phi) := \neg \exists x(\neg \phi)$

The relation between **tests** (semantic notion) and **conditions** (syntactic notion):

Among non-contradictory formulas,

 ϕ is a **condition** iff ϕ is a **test**.

80

where: ϕ is *contradictory* iff $\|\phi\| = \emptyset$

Tests / Conditions are externally static – they do not pass on bindings to conjuncts yet to come:

(14) Every donkey is in the corral. #It is happy.

(15) It is not true that John owns a donkey.#He feeds it at night.

Conjunctions and existential quantifiers are externally dynamic – they pass on bindings to conjuncts yet to come:

82

(16) A farmer owns a donkey. He feeds it at night.

But **test** / **conditions** can be internally dynamic, i.e. they can pass bindings between sub-formulas:

(17) Every farmer who owns a donkey feeds it at night.

We indicate that a formula is a **condition** by placing square brackets around it,

e.g. $[\phi]$ is a wff iff ϕ is a *condition* and $\|[\phi]\| = \|\phi\|$

That is, square brackets are just a graphical way of showing that a formula is a condition.

Abbreviation: $[\phi_1, ..., \phi_m] := [\phi_1] \land ... \land [\phi_m]$

Exercise: Prove that conjunction is commutative over conditions, i.e. $\| [\phi_1] \wedge [\phi_2] \| = \| [\phi_2] \wedge [\phi_1] \|$. **Exercise:** Prove that conjunction is idempotent over conditions, i.e. $\| [\phi] \| = \| [\phi] \wedge [\phi] \|$.

Abbreviation: $[x_1, ..., x_n] := [x_1] \land ... \land [x_n],$

where: $\|[x]\| = \{\langle g, h \rangle: \text{ for any variable } v, \text{ if } v \neq x \text{ then } g(v) = h(v) \}$ [x] is called a **random assignment** of value to x. **Exercise:** Prove that conjunction is commutative and idempotent over random assignments, i.e.: $\|[x_1] \wedge [x_2]\| = \|[x_2] \wedge [x_1]\| \text{ and } \|[x]\| = \|[x] \wedge [x]\|.$

DRS's, a.k.a. boxes:

 $[x_1, ..., x_n | \phi_1, ..., \phi_m] := [x_1, ..., x_n] \land [\phi_1, ..., \phi_m]$

$$\begin{aligned} \| [x_1, \dots, x_n | \phi_1, \dots, \phi_m] \| &:= \\ \{ \langle g, h \rangle : g[x_1, \dots, x_n] h \text{ and} \\ \| \phi_1 \| \langle h, h \rangle = T \text{ and } \dots \| \phi_m \| \langle h, h \rangle = T \} \end{aligned}$$

Exercise: Prove that

$$[x_1, \dots, x_n | \phi_1, \dots, \phi_m] \Leftrightarrow \exists x_1 \dots \exists x_n ([\phi_1, \dots, \phi_m])$$

DPL: The Duality of \exists and \forall .

The existential and universal quantifiers are partly duals:

 $\neg \exists x(\phi) \Leftrightarrow \forall x(\neg \phi)$

(Exercise: Prove this.)

Clearly, $\exists x(\neg \phi) \Leftrightarrow \neg \forall x(\phi)$ doesn't hold:

 $\neg \forall x(\phi)$ is a test, while $\exists x(\neg \phi)$ isn't.