Parasitic Scope (Barker 2007) Semantics Seminar 11/10/08

1. Overview

- Attempts to provide a compositional, fully semantic account of *same*.
- Elements other than NPs in particular, adjectives can be scope-taking items.
- Presents evidence that *same* can scope over non-NP triggers, and formalizes such interactions.
- Provides support for the use of continuations in modeling scope-taking.

2. The data

- Same has two basic readings:
 - o Deictic same
 - (1) Bill read the same book.
 - = Bill read {the book under discussion, the book in the speaker's hand, etc.}
 - → Only the context-dependent reading is available.
 - o Sentence-internal same
 - (2) Anna and Bill read the same book.
 - = there exists some book x, such that both Anna and Bill read x separately
 - → Only possible when the licensing NP *Anna and Bill* is interpreted distributively
 - → Context-dependent (deictic) reading still available.
- Availability of sentence-internal reading depends on material outside of the DP containing same:
 - (3) a. The same waiter served John.
 - b. The *same* waiter served everyone.
 - → Sentence (a) lacks the sentence-internal reading available in (b)
- On the sentence-internal reading, *same* can distribute over:
 - o NP denotations (see (2) above)

- o Events (or perhaps situations...)
 - (4) a. John hit and killed the same man.
 - ≠ John hit a man, thereby killing him
 - = John hit a man, and also killed him (at some other time)
 - b. John read the *same* book yesterday and today.
 - \neq John read book x for 48 hours straight.
 - = John book x yesterday, and also read book x today.
- *same* requires type-identity, not token-identity:
 - (5) I drive a Ford Falcon and Enzo drives the *same* car.
 - → Perhaps better characterized as a matter of degree (Lasersohn 2000)

3. Previous approaches

- Non-compositional accounts of *same* as a (discontinuous) quantifier.
 - (6) [Anna and Bill] read [the *same* two books]



- Anna and Bill...the same two books treated as a discontinuous predicate, taking relations like read as an argument.
- o Keenan (1992): formal proof that no compositional analysis of *same* as generalized quantifier(s) is possible.
- O But *same* is an adjective! It's neither a determiner nor an NP; and it can take an intensifier (e.g. *the very same*).
 - No reason to expect an account in terms of generalized quantifiers.
 - If items other than NPs can take scope, then scopal properties of *same* can be explained without resorting to a generalized quantifer analysis.
- o Non-compositional approaches disregard syntactic constituency → not 'directly compositional'
- Compositional accounts with pragmatically-controlled free variables.
 - Can the internal reading of *same* be reduced to the deictic reading?

- Deictic reading is always available.
- On a parallel with pronouns: variables that can be either pragmatically controlled (deixis), or bound sentence-internally.

(7) [same] =
$$\lambda N \cdot \lambda x \exists f : \{x\} = f(N) \land \forall c < C : Rxc$$
 [Dowty]

- *N* is a (nominal) predicate
- f(N) is a choice function, returning a singleton set $\{x\}$ whose only member is in the denotation of N.
- Contextual variables: comparison class *C* (of individuals), relation *R* (over individuals)
- But the contextually-determined comparison class *C* overgenerates possible readings of sentences containing *same*:
 - (8) The men discussed a house. John read the *same* book. ≠ John read the same book that the men read.
- \circ C / R also fail to generate available readings
 - (9) The men or the women read the same book.

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C: {the men}, {the women}, {{the men} \cup {the women}}
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- \rightarrow No choice of C will provide the correct (disjunctive) truth conditions for (9).
- (10) Ann read and Bill reviewed the same book
 - \rightarrow R: read, review, read-and-review
 - \rightarrow No choice of R will provide the correct truth conditions for (10).
- Suspicious regularities:
 - If either *C* or *R* gets its value from an element in the clause containing *same*, so does the other variable...
 - ...and on the internal reading, *R* is the *full* portion of the clause that remains after removing the element from which *C* is taken.

(11) Anna and Bill must have read the same book.

R =must-have-read

 $R \neq \mathbf{read}$

- Carlson (1987): sentence-internal reading requires distributing over multiple events
 - o But NP-internal same allows for sentence-internal reading with only one event.
 - (12) [Two men with the *same* name] are sitting in this room.
 - Suggests changing the precondition to distributing over *multiple situations*.

4. Denotation for same

- *same* is essentially quantificational.
 - O Shows a "truth-conditional sensitivity to mere existence" that is characteristic of existential quantification (419):
 - (13) Two men with the *same* name are sitting in this room. (can be true without a specific name in mind)
 - o Can be captured with a choice function:
 - (14) $\exists f_{\text{choice}}$. two men with the f name are sitting in this room.
 - f_{choice} takes a predicate P as an argument and returns a singleton set whose only member is in the denotation of P
 - E.g. f_{choice} (name) \rightarrow {Fauntleroy}
 - Similar choice function present in Dowty (1985) denotation for *same* in (7).
- Denotation for *same*:

(15)
$$[same] = \lambda F_{(Adj,N)} \lambda X_e . \exists f_{choice} \forall x < X : Ffx$$

- f_{choice} : takes the denotation of a property $P_{\langle e, t \rangle}$ (i.e. a set of individuals of type e), and returns a different a property $H_{\langle e, t \rangle}$, which holds of exactly one member of $[P_{\langle e, t \rangle}]$.
 - o f_{choice} returns a property of type $\langle e, t \rangle$, rather than an individual of type $\langle e \rangle$, because same N co-occurs with a determiner, and determiners take arguments of type $\langle e, t \rangle$.

- X: a variable ranging over plural individuals, i.e. 'non-atomic entities'
 - o Builds distributivity properties of *same* into its denotation $(... \forall x < X_e ...)$
 - x is a proper subpart of non-atomic individual X
 - o After function application [same] ($F_{\langle Adj,N\rangle}$), we're left with a λ -expression denoting a property of type $\langle e, t \rangle$, holding of non-atomic individuals, than can serve as an argument for a generalized quantifier.
 - E.g. [two men with the same name] = $\mathbf{two}(\lambda X.\exists f \forall x < X : [\mathbf{with(the}(f(\mathbf{name})))(\mathbf{men})](x))$
- No reference to events, as suggested by NP-internal uses of *same* like (see (12) above)

5. same and nominal scope

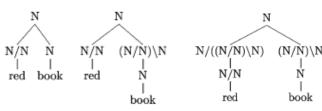
- Where does *same* take scope?
 - o If we allow *same* to take scope outside its containing DP e.g., at the clause level we derive inappropriate truth conditions:
 - (16) John met **fewer** than three men with the **same** name.
 - scope: same >> fewer
 - $\exists f$. John met fewer than three men with the f name.
 - Truth conditions are too weak: (15) predicted to be true if there is any name (e.g. Fauntleroy) such that John has met fewer than three people with that name.
 - o Expected if NP is a scope island, as in Barker (2002) and elsewhere.
 - o So same takes scope at the level of the nominal: [three men with the same name]



- Barker assumes a categorial grammar (discussed in more detail in §7 below):
 - o Categories A\B and B/A are constructed from atomic categories {NPe, Net, St}
 - o Slashes lean towards the expected argument.
 - o Categories A\B and B/A are of type $\langle A', B' \rangle$

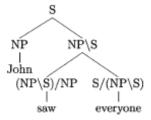
- LIFT
 - o Basic type-shifting operation.
 - \circ Expressions of category A are also expressions of category B/(A\B)
 - o $NP \rightarrow S/(NP \backslash S)$
 - o $\langle e \rangle \rightarrow \langle \langle e, t \rangle, t \rangle$
 - o Individual-denoting NP \rightarrow generalized quantifier
 - o Non-scope-taking → scope-taking
- Not all LIFTed NPs have a (lexical) semantics that interacts with scope-taking properties of generalized quantifiers.
 - o Some raising of LIFTed NPs will be semantically vacuous.
- Adjectives are of category N/N (i.e. $\langle \langle e, t \rangle, \langle e, t \rangle \rangle$)
 - o LIFT (Adj): $N/N \rightarrow N/((N/N)\backslash N)$
 - o LIFT (noun): $N \rightarrow (N/N) \setminus N$

(17)



- Quantifier Raising (QR)
 - o In direct object position, generalized quantifiers give rise to a type clash:

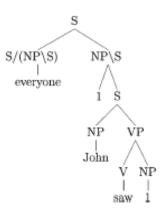
(18)



- o Following Heim and Kratzer (1998), type clash is resolved by QR.
 - Adjoins the generalized quantifier in direct object position to its scope target (here, S)
 - Leaves a variable (trace) in situ

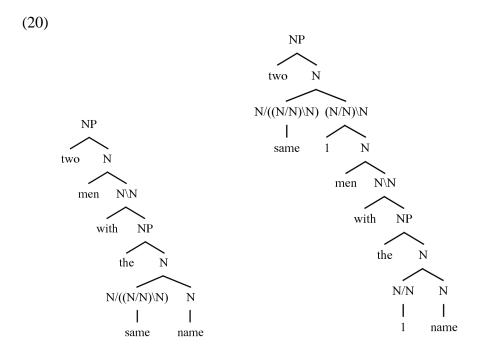
- The same variable is adjoined to the scope target
 - Prevents type clash from being repeated at the adjunction site.
 - Serves as a λ-abstract
 - Intermediate node created by this adjunction is category NP\S, the appropriate category for an argument of the raised generalized quantifier.

(19)

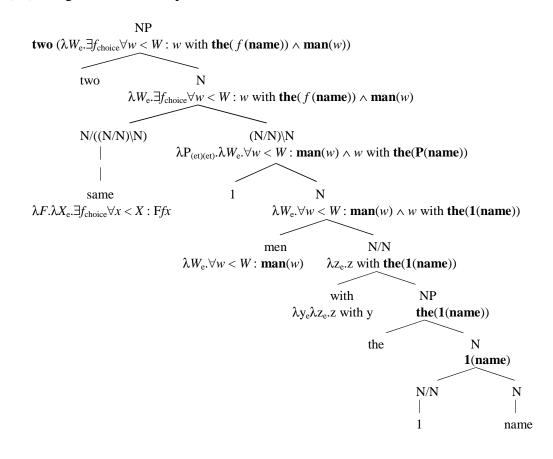


- More generally, if the raised scope-taking element is of category $R/(P \setminus T)$ (= q(P, T, R)), then the intermediate node will be of category $P \setminus T$
 - $\langle P', T' \rangle$ is the correct type to serve as the argument of the raised scope-taking element, which has the type $\langle \langle P', T' \rangle, R' \rangle$.
- Just as with NPs, raising a LIFTed scope-taking element like an adjective only makes a semantic contribution if the denotion of the scope-taking element is quantificational.

• Raising *same*:



(21) Rough sketch of composition for two men with the same name:

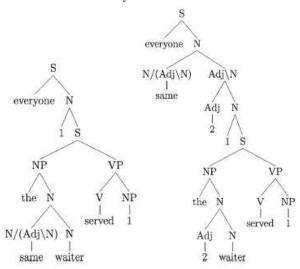


- Note that *same* adjoins above the nominal *men* (at a node of category N) rather than above the determiner *two* (at a node of category NP)
 - o two is the wrong category (NP) to serve as the scope-target of LIFTed same ($N/((N/N)\N)$)
 - o In principle, nothing prevents *same* from being lifted to $NP/((N/N)\NP)...$
 - ...but the lexical semantics of *same* require an argument of type $\langle \langle et, et \rangle, et \rangle$ (i.e. $F_{\langle Adj, N \rangle}$), and adjunction at the NP node would give an argument of type $\langle \langle et, et \rangle, e \rangle$ (i.e. $(N/N)\backslash NP$)
- If *same* takes scope at some dominating N, why not at the N node that immediately dominates it (trivial scope)?
 - o Consider *two same men
 - "...the property denoted by *same men* would be true of a non-atomic entity X just in case there is some choice function f and every proper subpart of X is $f(\mathbf{men})$." (427)
 - Let *same* take scope at *men*, and assume that $X = [Bill \ and \ Cam] = \mathbf{b} \oplus \mathbf{c}$
 - $f(\mathbf{men}) = \{\mathbf{b}\}\$ and $f(\mathbf{men}) = \{\mathbf{c}\}\$, since f only returns singleton sets, and every x < X must be in $f(\mathbf{men})$
 - But **b** and **c** are supposed to be distinct; here they are identical.

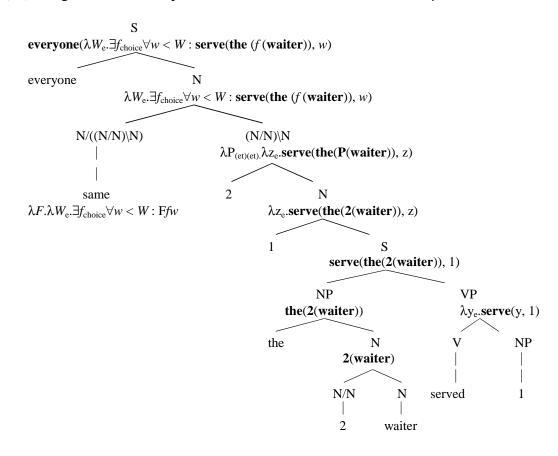
6. Parasitic scope

- LIFTed *same* takes scope by adjoining to some higher N node.
- QR of a generalized quantifier NP inserts a node of category N at the adjunction site.
- This N node, which *did not exist* until the generalized quantifier NP was raised, can now serve as the scope target for raising of *same* hence the term 'parasitic scope'

(22) The same waiter served everyone.



(23) Rough sketch of composition for *The same waiter served everyone*:



- Plural NPs are often the key ingredient that allows for a sentence-internal reading of *same* (compare (1) and (2) above)
- Assume that plural NPs are always LIFTed to scope-taking category S/(NP\S)
 - o LIFTing plural NPs is already needed to allow coordination with a generalized quantifier:
 - (24) Every woman and the men left.
 - o Allows plural NPs to undergo QR, creating an intermediate adjunction site for (parasitic) raising of *same*.
- Same reasoning holds for singular NPs but the result of QR on a singular NP would be incoherent!
 - O Denotation of *same* makes reference to the proper subparts of its NP argument (... $\forall x < X ...$), but singular NPs do not have the appropriate proper subparts.
- Is it a problem that *same*, which quantifies over non-atomic entities, interacts with *everyone*, which quantifies over atomic entities?
 - o Everyone can occur with collective predicates generally
 - (25) #John gathered in the living room.
 - (26) Everyone gathered in the living room.
 - o Barker assumes we can extend any analysis of (25) and (26) to cover *same* as well.

7. A cursory outline of Type Logical Grammar and continuations

- Type Logical grammar is a formal deductive system.
 - o Expressions like NP, N, etc. can be thought of as *premises* or *assumptions*, which are used to draw conclusions.
 - Non-terminal nodes can be thought of as conclusions, derived from the nodes (i.e. premises) they dominate.
- Since the well-formedness of a natural language expression is often sensitive to linear order (*John left* vs. *left John*), so is TLG
 - o $A \setminus B \Leftrightarrow A \to B$, and A must be to the left of $A \setminus B$
 - o $B/A \Leftrightarrow A \to B$, and A must be to the right of B/A

- Notation:
 - : the *product connective*
 - Neutral as to the linear order of its arguments.
 - Can be thought of as a placeholder for '/' or '\'
 - ο Γ , Σ : arbitrary sets of formulas
 - o $\Sigma[p]$: a formula containing a distinguished occurrence of the term p.

 - o \R , \R , \R , \R , \R , \R , \R ('right rules'): \A -binding of a variable
- Underlining indicates which portions of the preceding line (i.e. which premises) are being used to derive (i.e. conclude) the following line.
 - o Underlining is akin to representing dominance:

$$\frac{NP \vdash NP \qquad S \vdash S}{\frac{NP \bullet NP \backslash S \vdash S}{John \bullet left} \vdash S} \backslash L$$

$$NP \qquad NP \backslash S$$

$$| \qquad \qquad |$$

$$John \qquad left$$

- o Not an exact correspondence in Barker (2007); but see Barker (2003) for a simplified exposition of type logical grammar.
- In TLG, LIFT is a theorem, and need not be stipulated:

$$\frac{\Gamma \vdash A \qquad B \vdash B}{\Gamma \vdash B / (A \backslash B) / R} \backslash L$$

- Curry-Howard correspondence:
 - o There is a direct mapping between syntactic and semantic composition.

•
$$\{A \backslash B, B/A\} \rightarrow \langle A, B \rangle$$

| Logic | λ-calculus | TLG |
|----------|------------|--|
| formulas | types | syntactic categories |
| proofs | terms | syntactic derivation (= semantic composition) |

(Barker 2003:7)

So demonstrating that a sentence is is syntactically well-formed (i.e. carrying out a derivation) is equivalent to determining the (non-lexical) meaning of the sentence.

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(28) \\ \frac{\Pr{\bullet} (\operatorname{read} \bullet (\operatorname{the} \bullet (\operatorname{N/N} \bullet \operatorname{book}))) \vdash \operatorname{S}}{\operatorname{NP} \circ \lambda x (x \bullet (\operatorname{read} \bullet (\operatorname{the} \bullet (\operatorname{N/N} \bullet \operatorname{book})))) \vdash \operatorname{S}}{\lambda x (x \bullet (\operatorname{read} \bullet (\operatorname{the} \bullet (\operatorname{N/N} \bullet \operatorname{book})))) \vdash \operatorname{NP} \mathbb{S}} \mathbb{R}} \\ \frac{\lambda x (x \bullet (\operatorname{read} \bullet (\operatorname{the} \bullet (\operatorname{N/N} \bullet \operatorname{book})))) \vdash \operatorname{NP} \mathbb{S}}{\lambda x (x \bullet (\operatorname{read} \bullet (\operatorname{the} \bullet (y \bullet \operatorname{book})))) \vdash \operatorname{NP} \mathbb{S}} \mathbb{R}} \\ \frac{\lambda y \lambda x (x \bullet (\operatorname{read} \bullet (\operatorname{the} \bullet (y \bullet \operatorname{book})))) \vdash 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- o Line 4 shows application of QR to N/N (ultimately *same*)
- o Lines 5-6 show application of LIFT to same

Continuations

- o "continuations are nothing more than a perspective" (Barker 2002:5)
- Intuitively, the continuation for some expression *P* in a sentence *S* is the part of *S* that 'remains' when *P* is 'removed'
 - "a continuation represents the entire (default) future for the computation" (qtd. in Barker 2002:4)
- John saw Mary
 - Default future of *John*: have the property of seeing Mary predicated of it.
 - So the continuation of **j** is λx . saw (**m**,**x**)...
 - ...and the continuation of saw Mary is $\lambda P.P(\mathbf{j})$

- A continuation of a term P (of type α) is thus a function from the type of P to $\langle t \rangle$, the type of sentences.
 - ο In general, a continuation on P_{α} written as \underline{P} is of type $\langle \alpha, t \rangle$
 - o NPs of type $\langle e, t \rangle$ will have continuations of the type $\langle \langle e, t \rangle, t \rangle$ the type of a generalized quantifier!
- Denotations can also be 'continuized': $[P_{\alpha}] \rightarrow \{\{P_{\alpha}\}\}$
 - o $\llbracket P_{\alpha} \rrbracket$ is a function of type $\langle \alpha, t \rangle$
 - o $\{\{P_{\alpha}\}\}\$ is a function of type $\langle\langle \alpha, t \rangle, t \rangle$
 - E.g. a continuized VP is a function from VP continuations to truth values.
- There are multiple ways to 'continuize' a given expression:

(29) S
$$\rightarrow$$
 NP VP
a. $\lambda \underline{p}.\{\{VP\}\}\ (\lambda P.\{\{NP\}\}(\lambda x.\underline{p}(Px)))$
b. $\lambda p.\{\{NP\}\}\ (\lambda x.\{\{VP\}\}(\lambda P.\underline{p}(Px)))$

- This is equivalent to reversing the functor-argument relation between NP and VP, exactly what we do with type-shifting.
- Corresponds to different orders of computation/evaluation/execution.
- Order of evaluation gives us scope relations, so writing truth conditions in terms of continuations automatically gives us:
 - o Scope ambiguity, from distinct continuizations of the same expression.
 - VP >> NP, if we interpret NP as providing the continuation for VP, i.e. VP is functor for argument NP (29a)
 - NP >> VP, if we interpret VP as providing the continuation for NP, i.e. NP is functor for argument VP (29b)
 - o Scope displacement.
- Since any syntactic category can be continuized, any syntactic category can participate in differing scope relations.
 - As before, the semantic consequences depend on the lexical semantics of the scope items.

- The generality of continuization, and LIFT, allows us to explain how *same* functions with non-NP triggers:
 - o Requires generalizing lexical entry for *same*, using α , a metavariable over categories.

Old:
$$(NP\S) / ((N/N) (NP\S))$$
New: $(\alpha\S) / ((N/N) (\alpha\S))$

- Semantically, allow *X* in denotation to range over any type, not just $\langle e \rangle$.
- (31) John hit and killed the same man.
 - Set $\alpha = (NP\S)/NP$, the type of a transitive verb.

 $(32) \\ \vdots \\ \frac{john \bullet (\mathsf{V} \bullet (the \bullet (\mathsf{N/N} \bullet man))) \vdash \mathsf{S}}{\mathsf{V} \circ \lambda x (john \bullet (x \bullet (the \bullet (\mathsf{N/N} \bullet man)))) \vdash \mathsf{S}} \lambda}{\mathsf{V} \wedge \lambda x (john \bullet (x \bullet (the \bullet (\mathsf{N/N} \bullet man)))) \vdash \mathsf{V} \backslash \mathsf{S}} \lambda} \\ \frac{\lambda x (john \bullet (x \bullet (the \bullet (\mathsf{N/N} \bullet man)))) \vdash \mathsf{V} \backslash \mathsf{S}}{\mathsf{N/N} \circ \lambda y \lambda x (john \bullet (x \bullet (the \bullet (y \bullet man)))) \vdash \mathsf{V} \backslash \mathsf{S}} \lambda}{\mathsf{N/N} \wedge \mathsf{N/N} \wedge$

- o Line 4 shows application of QR to N/N (ultimately *same*)
- o Lines 5-6 show application of LIFT.

8. Some remaining puzzles

- Definiteness: *same* must appear with the definite determiner *the*
 - But same doesn't have the existence presupposition characteristic of definite descriptions:
 - (33) John and Bill didn't read the long book.
 - (34) John and Bill didn't read the same book.
 - (35) Did John and Bill read the long book?
 - (36) Did John and Bill read the same book?

- O Use of *the* might result from presence of f_{choice} in the denotation of *same*, which denotes a property $H_{\langle e, t \rangle}$ that in turn denotes a singleton set.
- o Still unclear why existence presupposition of *the* is suspended in *the same NP* constructions.
- Each can co-occur with same, and allows a sentence-internal reading.
 - (37) Each student follows the same core curriculum.
 - (38) ... you can furnish *each* student with the *same* tessellating shape.
 - o But each seems to require a predicate that holds of atomic entities only
 - (39) #Each person gathered in the living room.
 - Remember that *same* denotes a predicate that holds of non-atomic entities.
 - o A possible solution: revise the denotation of *same* to make use of *covers*
 - Cover function Cov (*X*) turns subgroups of atomic individuals into various non-atomic individuals.
 - Other scope-taking adjective, like *different*, seem to require covers:
 - (40) The men and the women gathered in different rooms.
 - So *each* forces an atomic cover but why?
 - o Moreover, *each* is the canonical overt distributivity operator if we need to make use of covers here, why not everywhere else as well?
- Buying, selling, and *same*.
 - (41) John bought and Mary sold the same book.
 - o (41) can only be read as describing two different events so *same* treats buying and selling as distinct situations/events.

References

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